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Dr. Robert Glenn, President
University of Houston-Victoria
3007 N Ben Wilson
Victoria, Texas 77901

Dear Dr. Glenn,

I am attaching the final report on *A Compliance Monitoring Desk Review of College Access Loan at University of Houston-Victoria*, Report No. THECB-CM-FA-21-019. There were no findings resulting from this engagement.

This Compliance Monitoring review report will be presented to the THECB Committee on Agency Operations, a standing committee of the THECB Board, on July 21, 2021.

The cooperation of your staff during this review is greatly appreciated. If you have any questions or comments, please let me know.

Sincerely,

A handwritten signature in black ink that reads "Mark A. Poehl".

Mark A. Poehl, CPA, CIA, CISA, CFE
Assistant Commissioner, Internal Audit and Compliance

EXECUTIVE SUMMARY

University of Houston-Victoria complied with relevant Coordinating Board (THECB) rules and regulations for the College Access Loan (CAL) Program and with Texas Administrative Code (TAC), Title 19, Part 1, Chapter 22, Subchapter C, Sections 22.42 - 22.55.

We reviewed the following areas:

Award Amounts

No reportable findings were noted.

Student Eligibility

No reportable findings were noted.

Financial Need

No reportable findings were noted.

Satisfactory Academic Progress

No reportable findings were noted.

Residency

No reportable findings were noted.

Enrollment Requirement

No reportable findings were noted.

Approved Degree Program

No reportable findings were noted.

Reconciliation of CAL Funds

No reportable findings were noted.

Scope, Objective, and Methodology

Our review included tests of CAL eligibility requirements data reported and certified by University of Houston-Victoria. Our review focused on CAL data for the financial aid year 2019-2020.

Our work included procedures to verify:

- Students met all eligibility criteria including SAP requirements;
- Students demonstrated financial need;
- Students fulfilled residency requirements;
- Reported award amounts reconciled with student information system data and payment records;

- Students were enrolled at least half-time; and
- Students were in a course of study leading to an associate, bachelor's, graduate, or higher degree.

Background

The CAL Program provides alternative educational loans to Texas students who are unable to meet the cost of attendance. Students must meet the following eligibility requirements to receive a CAL:

- Be a Texas resident;
- Be enrolled at least half-time in a course of study leading to an associate, bachelor's, graduate, or higher degree or be enrolled in an approved Alternative Educator Certification Program; and
- Meet the satisfactory academic progress requirements set by the institution.

Texas Administrative Code, Title 19, Part 1, Chapter 1, Subchapter A, Section 1.13 (b)(2) states, "The purpose of the Board's risk assessment process and compliance methodologies is to maximize the effectiveness of monitoring funds allocated by the Board and data reported to the Board. The agency-wide, risk-based compliance monitoring function is established for... (A) funds allocated by the Board to institutions of higher education, private or independent institutions of higher education, and other entities, including student financial assistance funds, academic support grants, and any other grants, to ensure that those funds are distributed in accordance with applicable law and Board rule."

University of Houston-Victoria disbursed \$37,400 in College Access Loans for the financial aid year 2019-2020.

PERFORMED BY:

Ms. Jamyen Robinson-Hall, Senior Compliance Specialist

CC:

THECB

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