TEXAS HIGHER EDUCATION
COORDINATING BOARD

P.O. Box 12788 Austin, Texas 78711

Stuart W. Stedman
CHAIR

Fred Farias III, O.D.
VICE CHAIR

John T. Steen, Jr.
SECRETARY OF THE BOARD

Lauren McKenzie
STUDENT REPRESENTATIVE

S. Javaid Anwar
Ricky A. Raven
Donna N. Williams
Welcome Wilson, Jr.

Raymund A. Paredes
COMMISSIONER
OF HIGHER EDUCATION

(512) 427-6101
Fax (512) 427-6127

Web site:
http://www.thecb.state.tx.us

June 20, 2019

Dr. Mark A. Hussey, President
Texas A&M University – Kingsville
700 University Blvd., MSC 102
Kingsville, TX 78363

RE: Desk Review of Formula Funding at Texas A&M University – Kingsville

Dear Dr. Hussey:

I am attaching the final report for *A Compliance Monitoring Desk Review of Formula Funding at Texas A&M University-Kingsville*, Report No. THECB-CM-FF-19-006. There was one finding related to information technology controls resulting from this engagement. Management's response has been incorporated into the final report.

Summary

Information security controls over student enrollment information at Texas A&M University – Kingsville (TAMUK) require improvement. TAMUK did not perform an information security review, as required biennially by Texas Administrative Section §202.76(c) Security Control Standards Catalog. Management's response indicates that an IT audit is scheduled for June-July 2019.

This Compliance Monitoring report will be presented to the Texas Higher Education Coordinating Board (THECB) Committee on Agency Operations, a standing committee of the THECB Board, in July 2019.

The cooperation of your staff during this review is greatly appreciated. If you have any questions or comments, please let me know.

Sincerely,

Mark A. Poehl

Mark A. Poehl, CPA, CIA, CISA, CFE
Director, Internal Audit and Compliance

Detailed Observation, Recommendation, and Management's Response

1. Information technology processes and controls over security access to the student information system should be strengthened to ensure compliance with the Texas Administrative Code Section §202.76.

Information technology processes and controls over security access to the student information system should be strengthened to ensure compliance with the Texas Administrative Code Section §202.76. There has not been a comprehensive or formalize information security review performed over the student information system at Texas A&M University – Kingsville in over four years, in 2014. Texas A&M University - Kingsville has not met the security standards requirement established by the Department of Information Resources (DIR) for institutions of higher education.

The Texas Administrative Code Section §202.76(c) states that "a review of the institution's information security program for compliance with these standards will be performed at least biennially…."

Enhanced oversight of the University's student information system and compliance with statutory requirements provides greater assurance that student information security and integrity are achieved.

Recommendation:

Strengthen oversight of student information security and comply with Texas Administrative Code §202.76 and DIR security standards.

Management Response:

"We concur with the findings of the Desk Review of Formula Funding at Texas A&M University – Kingsville. The iTech Department will undergo a TAMU System IT audit during June-July, 2019, which will address the findings. The report of the TAMU System IT audit will be submitted to the THECB, if requested."

PERFORMED BY:
Ms. Elizabeth M Steele, Compliance Specialist
Ms. Jamyen Robinson-Hall, Compliance Specialist

Cc:

THECB
Board Members

Commissioner's Office
Dr. Raymund A. Paredes, Commissioner of Higher Education
Ms. Linda Battles, Deputy Commissioner for Agency Operations and Communication/COO
Dr. David Gardner, Deputy Commissioner for Academic Planning and Policy
Mr. William Franz, General Counsel

Strategic Planning and Funding
Dr. Julie Eklund, Assistant Commissioner for Strategic Planning and Funding

Texas A&M University-Kingsville
Mr. J. Randy Hughes, Chief of Staff
Dr. Jaya Goswami, Associate Vice President for Academic Affairs
Mr. Robert Paulson, Chief Information Officer

Texas A&M University System
Ms. Elaine Mendoza, Chairman, Board of Regents
Mr. John Sharp, Chancellor
Mr. Charlie Hrncir, CPA, Chief Auditor

Texas Council of Public University Presidents and Chancellors
Dr. Rissa McGuire, Executive Director

State Auditor's Office
Internal Audit Coordinator

Sunset Advisory Commission
Ms. Jennifer Jones, Director

Office of the Governor-Budget and Policy Division
Mr. John Colyandro, Policy Director

Legislative Budget Board
Ms. Julie Ivie, Assistant Director