

AGENCY OPERATIONS COMMITTEE

A G E N D A

10:30 A.M., Wednesday, April 26, 2023

*(or upon adjournment of the Committee on Academic and Workforce Success
meeting, whichever occurs later)*

Live broadcast available at: highered.texas.gov

Barbara Jordan Building (Room 2.035)
1601 N. Congress Ave
Austin, TX

*This meeting is conducted in person or via video conference, pursuant to Texas
Government Code, Section 551.127. A quorum of the Board may be present in the
Board Room, which is open to the public.*

Chair: Emma W. Schwartz

Vice Chair: Welcome W. Wilson, Jr.

Members: S. Javaid Anwar; Fred Farias III, O.D.; Robert P. Gauntt; Donna N. Williams

Student Representative: Georgia A. Hejny (Ex-Officio)

AGENCY OPERATIONS COMMITTEE

A G E N D A

Public Testimony: The chair shall designate whether public testimony will be taken at the beginning of the meeting, at the time the related item is taken up by the board of the Texas Higher Education Coordinating Board (Board) after staff has presented the item, or any other time as determined by the chair. For procedures on testifying, please go to higher.ed.texas.gov/public-testimony.

- I. Welcome and committee chair's opening remarks
- II. Consideration and possible action to approve the minutes for the January 25, 2023, committee meeting
- III. Public testimony on agenda items relating to the Agency Operations Committee
- IV. Consideration and possible action to approve the consent calendar
- V. Agency operations
 - A. Report on grants and contracts
 - B. Update on the 88th Texas Legislative Session
 - C. Consideration and possible action to adopt proposed amendments to Board Rules, Chapter 1, Subchapter C, Standards of Conduct
 - D. Pursuant to Texas Government Code, Section 551.076, the Board will meet in closed session to discuss security recommendations from a Department of Information Resources contractor.
- VI. Finance
 - A. Review of the "Fiscal Year 2023 Financial Report" to the Board
 - B. Consideration and possible action to approve payment to the U.S. Treasury in August 2023 of an amount up to \$6 million from the Texas Opportunity Fund for excess earnings relating to the 2013A refunding
- VII. Internal and external audit
 - A. Discussion of Statements on Auditing Standards No. 114, "The Auditor's Communication with Those Charged with Governance"

B. Auditor's update on Internal Audit reports and activities

(1) GEER Funds Administration Review and Advisory Services - Interim Report
March 2023

(2) Internal Audit Follow-Up Status Report - April 2023 (summary report)

VIII. Compliance monitoring

A. Auditor's update on state and federal compliance monitoring reports and activities

(1) "Compliance Monitoring Desk Review of Formula Funding at The University of North Texas HSC at Ft. Worth" (no findings)

(2) "Compliance Monitoring Audit of Formula Funding at Central Texas College" (no findings)

(3) "Compliance Monitoring Desk Review of Formula Funding at Temple College" (no findings)

(4) "Compliance Monitoring Corrective Action Status Report – April 2023"

IX. Adjournment

Executive Session: *The Texas Higher Education Coordinating Board Agency Operations Committee may convene in Executive Session at any point in this meeting, concerning any item listed in the agenda or to seek or to receive its attorney's advice on legal matters related thereto, pursuant to Texas Government Code § 551.071.*

Note: *Because the Board members who attend the committee meeting may create a quorum of the full Board, the meeting of the Agency Operations Committee is also being posted as a meeting of the full Board. Only assigned committee members act upon any item before the Agency Operations Committee at this meeting.*

Weapons Prohibited: Pursuant to Texas Penal Code § 46.03(a)(14), a person commits an offense if the person intentionally, knowingly, or recklessly possesses or goes with a firearm, location-restricted knife, club, or prohibited weapon listed in Penal Code § 46.05, in the room or rooms where a meeting of a governmental entity is held, if the meeting is an open meeting subject to the Open Meetings Act (Tex. Gov't Code ch 551), and if the entity provided notice as required by that chapter.

Agency Operations Committee

AGENDA ITEM I

Welcome and committee chair's opening remarks

Ms. Emma Schwartz, chair of the Agency Operations Committee, will provide the committee an overview of the items on the agenda.

Agency Operations Committee

AGENDA ITEM II

Consideration and possible action to approve the minutes for the January 25, 2023, committee meeting

RECOMMENDATION: Approval

Agency Operations Committee

AGENDA ITEM III

Public testimony on agenda items relating to the Agency Operations Committee

RECOMMENDATION: No action required

Background Information:

The presiding chair shall designate whether public testimony will be taken at the beginning of the meeting, at the time the related item is taken up by the Board after staff has presented the item, or any other time as determined by the presiding chair.

Agency Operations Committee

AGENDA ITEM IV

Consideration and possible action to approve the consent calendar

RECOMMENDATION: Approval

Background Information:

To ensure meetings are efficient and to save institutions time and travel costs to attend the Agency Operations Committee meetings in Austin, the committee has a consent calendar for items that are noncontroversial. Any item can be removed from the consent calendar by a Board member.

Agency Operations Committee

AGENDA ITEM V-A

Report on grants and contracts

RECOMMENDATION: No action required

Background Information:

Texas Administrative Code, Title 19, Rule 1.16(j), establishes that any contract for the purchase of goods or services that exceeds \$1 million may be entered into only if the contract is approved and signed by the Commissioner of Higher Education, to whom the board of the Texas Higher Education Coordinating Board (Board), by virtue of this rule, delegates such approval and signature authority. In addition to the Board receiving a quarterly report on “Contracts Executed by the Agency in Accordance with Board Rule 1.16,” agency staff also provides the Board a quarterly report highlighting and listing all grants and contracts exceeding \$1 million.

Nichole Bunker-Henderson, General Counsel, is available to answer questions.



Contracts Executed by the Agency in Accordance with Board Rule 1.16

December 8, 2022 – February 28, 2023

Contracts Executed by the Agency in Accordance with Board Rule 1.16

Division Unit	Contract #	Performing Agent	Description	Active Date	Total \$
029 Open Education Resource Admin	28587	Adam Weiss	No-Cost Extension Amendment	1/6/2023	0.00
029 Open Education Resource Admin	28746	Institute for the Study of Knowledge Management in Education	State Repository Portal	1/26/2023	28,000.00
0387 Bonds	28556	Moody S Investors Service	THECB Bond Payment	1/27/2023	46,000.00
0387 Bonds	28554	Standard & Poors Financial Services LLC	THECB Bond Payment	1/27/2023	45,000.00
070 Academic and Health Affairs	27766	Scott & White Memorial Hospital	Emergency and Trauma Care Education Partnership Program Grant	12/21/2022	371,000.00
070 Academic and Health Affairs	27768	The University of Texas at Austin	Emergency and Trauma Care Education Partnership Program Grant	1/9/2023	371,000.00
070 Academic and Health Affairs	27770	The University of Texas Health Science Center at San Antonio	Emergency and Trauma Care Education Partnership Program Grant	12/21/2022	371,000.00
070 Academic and Health Affairs	27771	The University of Texas Southwestern Medical Center	Emergency and Trauma Care Education Partnership Program Grant	12/22/2022	371,000.00
070 Academic and Health Affairs	27763	Baylor College of Medicine	Emergency and Trauma Care Education Partnership Program Grant	12/22/2022	318,000.00
070 Academic and Health Affairs	27765	John Peter Smith Hospital	Emergency and Trauma Care Education Partnership Program Grant	1/11/2023	318,000.00
070 Academic and Health Affairs	27767	Texas Tech University HSC at El Paso Paul L Foster School of Medicine (774)	Emergency and Trauma Care Education Partnership Program Grant	12/21/2022	318,000.00
070 Academic and Health Affairs	27769	The University of Texas Health Science Center at Houston	Emergency and Trauma Care Education Partnership Program Grant	1/9/2023	212,000.00
070 Academic and Health Affairs	27774	The University of Texas Southwestern Medical Center	Emergency and Trauma Care Education Partnership Program Grant	1/9/2023	145,392.00
070 Academic and Health Affairs	27775	The University of Texas at Austin	Emergency and Trauma Care Education Partnership Program Grant	1/9/2023	145,392.00
070 Academic and Health Affairs	27773	The University of Texas Health Science Center at Houston	Emergency and Trauma Care Education Partnership Program Grant	1/9/2023	96,928.00
070 Academic and Health Affairs	27772	Columbia Medical Center of Arlington	Emergency and Trauma Care Education Partnership Program Grant	12/21/2022	53,000.00
070 Academic and Health Affairs	27776	Texas Tech University HSC at El Paso Paul L Foster School of Medicine (774)	Emergency and Trauma Care Education Partnership Program Grant	12/21/2022	24,232.00
070 Academic and Health Affairs	27777	The University of Texas Health Science Center at San Antonio	Emergency and Trauma Care Education Partnership Program Grant	1/3/2023	24,232.00
070 Academic and Health Affairs	27778	Baylor University Medical Center (Dallas)	Emergency and Trauma Care Education Partnership Program Grant	1/11/2023	24,232.00
070 Academic and Health Affairs	27779	The University of Texas Southwestern Medical Center	Emergency and Trauma Care Education Partnership Program Grant	1/3/2023	24,232.00
070 Academic and Health Affairs	28533	Texas Tech Univ Health Science Center	Family Medicine Residency Program Grant	2/13/2023	615,735.00
070 Academic and Health Affairs	28531	Texas Institute for Graduate Medical Education and Research	Family Medicine Residency Program Grant	1/26/2023	222,612.00
070 Academic and Health Affairs	28511	Christus Spohn Hospital-Corpus	Family Medicine Residency Program Grant	2/9/2023	189,457.00
070 Academic and Health Affairs	28539	The University of Texas Health Science Center at Houston	Family Medicine Residency Program Grant	2/7/2023	170,511.00
070 Academic and Health Affairs	28547	McLennan County FPRP	Family Medicine Residency Program Grant	1/25/2023	170,511.00
070 Academic and Health Affairs	28518	Conroe Medical Educ Foundation	Family Medicine Residency Program Grant	1/26/2023	151,566.00
070 Academic and Health Affairs	28546	The University of Texas Medical Branch at Galveston	Family Medicine Residency Program Grant	1/25/2023	151,566.00
070 Academic and Health Affairs	28530	Texas A&M University System Health Science Center	Family Medicine Residency Program Grant	2/7/2023	142,093.00
070 Academic and Health Affairs	28536	The University of Texas Health Science Center at Tyler	Family Medicine Residency Program Grant	1/25/2023	142,093.00
070 Academic and Health Affairs	28510	Christus Santa Rosa	Family Medicine Residency Program Grant	1/26/2023	132,620.00
070 Academic and Health Affairs	28454	Baylor College of Medicine	Family Medicine Residency Program Grant	1/29/2023	113,674.00
070 Academic and Health Affairs	28519	Detar Hospital	Family Medicine Residency Program Grant	1/25/2023	113,674.00
070 Academic and Health Affairs	28532	Texas Tech University HSC at El Paso Paul L Foster School of Medicine (774)	Family Medicine Residency Program Grant	2/15/2023	113,674.00
070 Academic and Health Affairs	28537	University of North Texas Health Science Center at Fort Worth	Family Medicine Residency Program Grant	1/26/2023	100,000.00
070 Academic and Health Affairs	28527	Scott & White Memorial Hospital	Family Medicine Residency Program Grant	1/27/2023	89,992.00
070 Academic and Health Affairs	28526	Scott & White Hospital- Round Rock	Family Medicine Residency Program Grant	1/26/2023	85,256.00
070 Academic and Health Affairs	28544	University of North Texas Health Science Center at Fort Worth	Family Medicine Residency Program Grant	1/25/2023	85,256.00
070 Academic and Health Affairs	28528	Christus St Michael's Hospital DBA Christus Health ARK-LA-TEX	Family Medicine Residency Program Grant	2/2/2023	61,574.00
070 Academic and Health Affairs	28507	Baylor University Medical Center (Dallas)	Family Medicine Residency Program Grant	2/8/2023	56,852.25
070 Academic and Health Affairs	26494	Columbia Plaza Medical Center of Fort Worth	Graduate Medical Education Expansion Program Grant	2/27/2023	900,000.00
070 Academic and Health Affairs	26511	Baptist Hospitals of Southeast Texas	Graduate Medical Education Expansion Program Grant	1/11/2023	900,000.00
070 Academic and Health Affairs	26503	Texas Health Resources	Graduate Medical Education Expansion Program Grant	2/15/2023	450,000.00
070 Academic and Health Affairs	28117	The University of Texas Medical Branch at Galveston	Graduate Medical Education Expansion Program Grant	1/26/2023	450,000.00
070 Academic and Health Affairs	28449	The University of Texas Health Science Center at Houston	No-Cost Amendment	2/6/2023	0.00
070 Academic and Health Affairs	28735	University of Houston -Clear Lake	No-Cost Extension Amendment	2/12/2023	0.00
070 Academic and Health Affairs	28316	Houston Methodist Hospital	Rural and Public Health Rotation Allocations - Independent Programs	1/6/2023	10,000.00
070 Academic and Health Affairs	28322	Texas Institute for Graduate Medical Education and Research	Rural and Public Health Rotation Allocations - Independent Programs	1/6/2023	6,000.00
070 Academic and Health Affairs	28321	Texas Tech University Health Sciences Center	Rural and Public Health Rotation Allocations - Independent Programs	1/6/2023	40,000.00
070 Academic and Health Affairs	28316	John Peter Smith Hospital	Rural and Public Health Rotation Allocations - Independent Programs	1/6/2023	15,000.00
070 Academic and Health Affairs	28321	Texas A&M Health Science Center	Rural and Public Health Rotation Allocations - Public Programs	1/6/2023	22,500.00
094 Credential Repository	27909	Workquest Temps	Temporary Personnel	2/3/2023	211,200.00
104 GradTX 2.0 Expansion	28112	University of North Texas	No-Cost Amendment	12/29/2022	0.00
131 Nursing Innovation	28716	Blinn College	Nursing Innovation Grant Program	2/15/2023	425,000.00
131 Nursing Innovation	28737	University of Houston	Nursing Innovation Grant Program	2/23/2023	425,000.00
131 Nursing Innovation	28741	The University of Texas Health Science Center at San Antonio	Nursing Innovation Grant Program	2/23/2023	425,000.00
131 Nursing Innovation	28744	West Texas A&M University	Nursing Innovation Grant Program	2/12/2023	425,000.00
131 Nursing Innovation	28738	The University of Texas at Arlington	Nursing Innovation Grant Program	2/12/2023	424,928.00
131 Nursing Innovation	28733	Texas Tech University Health Sciences Center	Nursing Innovation Grant Program	2/12/2023	409,929.00
131 Nursing Innovation	28731	Texas State University	Nursing Innovation Grant Program	2/23/2023	365,000.00
131 Nursing Innovation	28721	Laredo Community College	Nursing Innovation Grant Program	2/16/2023	359,820.00
131 Nursing Innovation	28739	The University of Texas at Austin	Nursing Innovation Grant Program	2/27/2023	353,909.00
131 Nursing Innovation	28725	San Jacinto College District	Nursing Innovation Grant Program	2/12/2023	345,766.00
131 Nursing Innovation	28743	The University of Texas at Tyler	Nursing Innovation Grant Program	2/15/2023	298,670.00
131 Nursing Innovation	28717	Dallas College	Nursing Innovation Grant Program	2/8/2023	271,500.00
131 Nursing Innovation	28732	Texas Tech Univ Health SC - El Paso (774)	Nursing Innovation Grant Program	2/12/2023	262,644.00
131 Nursing Innovation	28723	Sam Houston State University	Nursing Innovation Grant Program	2/27/2023	240,389.00
131 Nursing Innovation	28718	Galveston College	Nursing Innovation Grant Program	2/27/2023	212,437.60

Contracts executed December 8, 2022 - February 28, 2023 and those not included in previous reports

Contracts Executed by the Agency in Accordance with Board Rule 1.16

Division Unit	Contract #	Performing Agent	Description	Active Date	Total \$
131 Nursing Innovation	28722	Northeast Texas Community College	Nursing Innovation Grant Program	2/27/2023	197,065.00
131 Nursing Innovation	28714	Amarillo College	Nursing Innovation Grant Program	2/23/2023	188,871.00
131 Nursing Innovation	28713	Alvin Community College	Nursing Innovation Grant Program	2/27/2023	184,505.50
131 Nursing Innovation	28720	Lamar University	Nursing Innovation Grant Program	2/16/2023	123,787.00
131 Nursing Innovation	28742	The University of Texas at Permian Basin	Nursing Innovation Grant Program	2/23/2023	79,766.00
136 SSI CCA	28014	MDRC	Implementation Grant Evaluator	1/20/2023	1,700,000.00
136 SSI CCA	28412	Temple College	Student Success Acceleration Program Implementation Grants	1/26/2023	250,000.00
136 SSI CCA	28417	Texas A&M University - Central Texas	Student Success Acceleration Program Implementation Grants	1/26/2023	250,000.00
136 SSI CCA	28419	Texas A&M University-Kingsville	Student Success Acceleration Program Implementation Grants	1/23/2023	250,000.00
136 SSI CCA	28420	Texas A&M University-San Antonio	Student Success Acceleration Program Implementation Grants	2/12/2023	250,000.00
136 SSI CCA	28423	Texas A&M University System	Student Success Acceleration Program Implementation Grants	1/23/2023	250,000.00
136 SSI CCA	28426	Texas Southern University	Student Success Acceleration Program Implementation Grants	2/12/2023	250,000.00
136 SSI CCA	28436	University of North Texas	Student Success Acceleration Program Implementation Grants	1/23/2023	250,000.00
136 SSI CCA	28438	The University of Texas at Arlington	Student Success Acceleration Program Implementation Grants	2/14/2023	250,000.00
136 SSI CCA	28446	West Texas A&M University	Student Success Acceleration Program Implementation Grants	1/24/2023	250,000.00
136 SSI CCA	28559	Alamo Colleges District	Student Success Acceleration Program Implementation Grants	2/8/2023	250,000.00
136 SSI CCA	28562	Baylor University	Student Success Acceleration Program Implementation Grants	1/24/2023	250,000.00
136 SSI CCA	28570	El Paso County Community College District	Student Success Acceleration Program Implementation Grants	1/25/2023	250,000.00
136 SSI CCA	28574	Laredo Community College	Student Success Acceleration Program Implementation Grants	1/24/2023	250,000.00
136 SSI CCA	28575	McLennan Community College	Student Success Acceleration Program Implementation Grants	1/29/2023	250,000.00
136 SSI CCA	28576	McMurry University	Student Success Acceleration Program Implementation Grants	2/8/2023	250,000.00
136 SSI CCA	28605	Texas Southern University	Student Success Acceleration Program Implementation Grants	2/12/2023	250,000.00
136 SSI CCA	28435	University of Houston-Downtown	Student Success Acceleration Program Implementation Grants	1/23/2023	249,994.00
136 SSI CCA	28433	University of Houston	Student Success Acceleration Program Implementation Grants	2/12/2023	249,919.00
136 SSI CCA	28566	College of the Mainland	Student Success Acceleration Program Implementation Grants	1/29/2023	249,852.00
136 SSI CCA	28561	Baylor College of Medicine	Student Success Acceleration Program Implementation Grants	1/24/2023	249,730.00
136 SSI CCA	28427	Texas Southmost College	Student Success Acceleration Program Implementation Grants	1/27/2023	249,707.00
136 SSI CCA	28564	Central Texas College	Student Success Acceleration Program Implementation Grants	1/24/2023	249,703.00
136 SSI CCA	28443	University of Texas Rio Grande Valley	Student Success Acceleration Program Implementation Grants	1/29/2023	249,590.00
136 SSI CCA	28569	Dallas College	Student Success Acceleration Program Implementation Grants	1/24/2023	247,000.00
136 SSI CCA	28568	Collin County Community College	Student Success Acceleration Program Implementation Grants	1/29/2023	245,978.00
136 SSI CCA	28580	Sam Houston State University	Student Success Acceleration Program Implementation Grants	1/24/2023	243,487.77
136 SSI CCA	28440	The University of Texas at San Antonio	Student Success Acceleration Program Implementation Grants	2/8/2023	242,859.00
136 SSI CCA	28414	Texas A&M University-Texarkana	Student Success Acceleration Program Implementation Grants	1/23/2023	240,502.45
136 SSI CCA	28418	Texas A&M University-Corpus Christi	Student Success Acceleration Program Implementation Grants	1/26/2023	180,000.00
136 SSI CCA	28424	Texas Lutheran University	Student Success Acceleration Program Implementation Grants	1/23/2023	180,000.00
136 SSI CCA	28428	Texas State Technical College-Harlingen	Student Success Acceleration Program Implementation Grants	2/12/2023	180,000.00
136 SSI CCA	28439	The University of Texas at Austin	Student Success Acceleration Program Implementation Grants	1/29/2023	180,000.00
136 SSI CCA	28441	The University of Texas at Tyler	Student Success Acceleration Program Implementation Grants	1/24/2023	180,000.00
136 SSI CCA	28442	The University of Texas Health Science Center at San Antonio	Student Success Acceleration Program Implementation Grants	1/27/2023	180,000.00
136 SSI CCA	28444	University of the Incarnate Word	Student Success Acceleration Program Implementation Grants	1/27/2023	180,000.00
136 SSI CCA	28563	Blinn College	Student Success Acceleration Program Implementation Grants	1/24/2023	180,000.00
136 SSI CCA	28565	College of Biblical Studies Houston	Student Success Acceleration Program Implementation Grants	2/8/2023	180,000.00
136 SSI CCA	28577	The University of Texas M.D. Anderson Cancer Center	Student Success Acceleration Program Implementation Grants	1/29/2023	180,000.00
136 SSI CCA	28583	South Texas College	Student Success Acceleration Program Implementation Grants	1/25/2023	180,000.00
136 SSI CCA	28585	Stephen F. Austin State University	Student Success Acceleration Program Implementation Grants	2/8/2023	180,000.00
136 SSI CCA	28437	University of St Thomas	Student Success Acceleration Program Implementation Grants	1/24/2023	179,997.50
136 SSI CCA	28430	Texas State University	Student Success Acceleration Program Implementation Grants	2/8/2023	179,920.00
136 SSI CCA	28413	Texarkana College	Student Success Acceleration Program Implementation Grants	1/23/2023	179,595.00
136 SSI CCA	28584	St. Mary's University of San Antonio	Student Success Acceleration Program Implementation Grants	1/29/2023	179,553.00
136 SSI CCA	28582	Schreiner University	Student Success Acceleration Program Implementation Grants	1/24/2023	179,527.20
136 SSI CCA	28445	Victoria College	Student Success Acceleration Program Implementation Grants	2/12/2023	179,030.00
136 SSI CCA	28571	Lamar State College-Orange	Student Success Acceleration Program Implementation Grants	1/24/2023	175,021.00
136 SSI CCA	28579	Our Lady of The Lake University of San Antonio	Student Success Acceleration Program Implementation Grants	1/24/2023	174,546.00
136 SSI CCA	28395	Tarleton State University	Student Success Acceleration Program Implementation Grants	1/23/2023	172,139.00
136 SSI CCA	28431	Texas Woman's University	Student Success Acceleration Program Implementation Grants	1/27/2023	171,029.00
136 SSI CCA	28432	Trinity Valley Community College	Student Success Acceleration Program Implementation Grants	1/23/2023	170,670.00
136 SSI CCA	28416	Texas A&M International University	Student Success Acceleration Program Implementation Grants	2/12/2023	163,661.49
136 SSI CCA	28572	Lamar State College-Port Arthur	Student Success Acceleration Program Implementation Grants	1/24/2023	155,000.00
136 SSI CCA	28567	Collin County Community College	Student Success Acceleration Program Implementation Grants	1/25/2023	152,914.00
136 SSI CCA	28434	University of Houston -Clear Lake	Student Success Acceleration Program Implementation Grants	1/23/2023	132,695.00
136 SSI CCA	28560	Angelo State University	Student Success Acceleration Program Implementation Grants	2/8/2023	119,435.00
136 SSI CCA	28573	Lamar University	Student Success Acceleration Program Implementation Grants	1/24/2023	119,193.00
136 SSI CCA	28581	San Jacinto College District	Student Success Acceleration Program Implementation Grants	1/25/2023	65,000.00
136 SSI CCA	28017	Ithaca Harbors Inc	Student Success Programs Inventory Microsite	2/13/2023	776,000.00
136 SSI CCA	28016	Temple University (Service)	Technical Assistance	1/20/2023	993,460.00
136 SSI CCA	28019	National Center for Civic Innovation	Technical Assistance	1/18/2023	800,000.00

Contracts Executed by the Agency in Accordance with Board Rule 1.16

Division Unit	Contract #	Performing Agent	Description	Active Date	Total \$
136 SSI CCA	28632	The University of Texas At Austin (Serv)	Technical Assistance	1/23/2023	200,000.00
138 GEERII Admin/Staffing	28868	Workquest Temps	Temporary Personnel	2/16/2023	120,000.22
140 GEER II Advise TX	28040	The University of Texas at El Paso (Service)	Advise TX College Advising Corps	2/23/2023	450,000.00
140 GEER II Advise TX	28041	University of Texas Rio Grande Valley (Service)	Advise TX College Advising Corps	2/23/2023	450,000.00
140 GEER II Advise TX	28042	Texas A&M University - San Antonio (Service)	Advise TX College Advising Corps	2/23/2023	450,000.00
140 GEER II Advise TX	28043	University of North Texas at Dallas (Service)	Advise TX College Advising Corps	2/23/2023	450,000.00
140 GEER II Advise TX	28620	National College Advising Corps	Advise TX College Advising Corps	1/27/2023	71,183.53
141 GEER II Apply TX	28586	Deloitte Consulting LLP	Maintain ApplyTexas	1/5/2023	3,158,390.87
143 Transfer Grants	28469	Midwestern State University (Service)	Student Aid Award	12/22/2022	90,000.00
143 Transfer Grants	28473	Tarleton State University (Service)	Student Aid Award	12/22/2022	90,000.00
143 Transfer Grants	28474	Texas A&M International University (Service)	Student Aid Award	1/11/2023	90,000.00
143 Transfer Grants	28477	Texas A&M University Central Texas (Service)	Student Aid Award	12/22/2022	90,000.00
143 Transfer Grants	28479	Texas A&M University-Corpus Christi (Service)	Student Aid Award	1/11/2023	90,000.00
143 Transfer Grants	28480	Texas A&M University Kingsville (Service)	Student Aid Award	12/28/2022	90,000.00
143 Transfer Grants	28481	Texas A&M University - San Antonio (Service)	Student Aid Award	12/28/2022	90,000.00
143 Transfer Grants	28486	Texas Woman's University (Service)	Student Aid Award	12/28/2022	90,000.00
143 Transfer Grants	28490	The University of Texas at El Paso (Service)	Student Aid Award	1/18/2023	90,000.00
143 Transfer Grants	28492	The University of Texas at Tyler (Service)	Student Aid Award	12/29/2022	90,000.00
143 Transfer Grants	28493	The University of Texas at Permian Basin (Service)	Student Aid Award	1/19/2023	90,000.00
143 Transfer Grants	28494	University of Texas Rio Grande Valley (Service)	Student Aid Award	1/6/2023	90,000.00
143 Transfer Grants	28496	University of Houston-Clear Lake (Service)	Student Aid Award	12/29/2022	90,000.00
143 Transfer Grants	28497	University of Houston- Downtown (Service)	Student Aid Award	1/11/2023	90,000.00
143 Transfer Grants	28502	University of North Texas at Dallas (Service)	Student Aid Award	1/17/2023	90,000.00
143 Transfer Grants	28505	West Texas A&M University (Service)	Student Aid Award	12/29/2022	90,000.00
143 Transfer Grants	28467	Lamar University (Service)	Student Aid Award	12/22/2022	85,000.00
143 Transfer Grants	28470	Prairie View A&M University (Service)	Student Aid Award	1/18/2023	85,000.00
143 Transfer Grants	28478	Texas A&M University Commerce (Service)	Student Aid Award	1/18/2023	85,000.00
143 Transfer Grants	28482	Texas A&M University Texarkana Service	Student Aid Award	1/6/2023	85,000.00
143 Transfer Grants	28484	Texas State University (Service)	Student Aid Award	1/11/2023	85,000.00
143 Transfer Grants	28487	The University of Texas at Arlington (Service)	Student Aid Award	1/11/2023	85,000.00
143 Transfer Grants	28489	The University of Texas at Dallas (Service)	Student Aid Award	1/18/2023	85,000.00
143 Transfer Grants	28471	Sam Houston State University (Service)	Student Aid Award	12/22/2022	70,000.00
143 Transfer Grants	28472	Stephen F. Austin State University (Service)	Student Aid Award	1/11/2023	70,000.00
143 Transfer Grants	28475	Texas A&M University (Service)	Student Aid Award	1/17/2023	70,000.00
143 Transfer Grants	28485	Texas Tech University (Service)	Student Aid Award	12/28/2022	70,000.00
143 Transfer Grants	28488	The University of Texas At Austin (Serv)	Student Aid Award	1/10/2023	70,000.00
143 Transfer Grants	28491	The University of Texas at San Antonio (Service)	Student Aid Award	12/29/2022	70,000.00
143 Transfer Grants	28495	University of Houston (Service)	Student Aid Award	1/13/2023	70,000.00
143 Transfer Grants	28498	University of Houston-Victoria (Service)	Student Aid Award	12/29/2022	70,000.00
143 Transfer Grants	28501	University of North Texas (Service)	Student Aid Award	12/29/2022	70,000.00
143 Transfer Grants	28461	Texas Tech University Health Sciences Center (Service)	Student Aid Award	12/22/2022	50,000.00
143 Transfer Grants	28483	Texas Southern University (Service)	Student Aid Award	1/11/2023	50,000.00
143 Transfer Grants	28506	Sul Ross State University (Service)	Student Aid Award	12/29/2022	50,000.00
143 Transfer Grants	28463	The University of Texas Health Science Center - San Antonio (Service)	Student Aid Award	1/13/2023	40,000.00
143 Transfer Grants	28465	Texas Tech University HSC at El Paso Paul L Foster School of Medicine (774) Service	Student Aid Award	1/6/2023	40,000.00
143 Transfer Grants	28464	The University of Texas Medical Branch at Galveston (Service)	Student Aid Award	12/22/2022	35,000.00
143 Transfer Grants	28466	Angelo State University (Service)	Student Aid Award	12/22/2022	30,000.00
143 Transfer Grants	28453	Texas A&M University System Health Science (Service)	Student Aid Award	1/13/2023	20,000.00
143 Transfer Grants	28476	Texas A&M University at Galveston (Service)	Student Aid Award	1/13/2023	20,000.00
143 Transfer Grants	28654	Workquest Temps	Temporary Personnel	12/28/2022	78,873.20
145 GEER II DMI Edu & Wkfr	28724	The University of Texas at Austin	Educational Career Pathways	1/27/2023	132,000.00
145 GEER II DMI Edu & Wkfr	28122	Lobiolly Consulting LLC	Temporary Personnel	12/28/2022	4,500,000.00
156 GEERII Shared Services	28398	Burning Glass Institute	Innovative Supplementary Data Models	12/14/2022	515,000.00
201 Academic Health and Affairs	28354	Houston Community College System	Nursing Shortage Reduction Program Grant	1/10/2023	301,740.81
201 Academic Health and Affairs	28339	South Texas College	Nursing Shortage Reduction Program Grant	1/6/2023	297,872.34
201 Academic Health and Affairs	28348	Texas A&M International University	Nursing Shortage Reduction Program Grant	12/20/2022	267,182.96
201 Academic Health and Affairs	28371	The University of Texas Health Science Center at San Antonio	Nursing Shortage Reduction Program Grant	12/22/2022	247,821.88
201 Academic Health and Affairs	28345	Tyler Junior College	Nursing Shortage Reduction Program Grant	12/22/2022	228,239.85
201 Academic Health and Affairs	28344	Tarrant County College District	Nursing Shortage Reduction Program Grant	12/20/2022	185,686.65
201 Academic Health and Affairs	28363	University of Houston	Nursing Shortage Reduction Program Grant	12/21/2022	183,930.30
201 Academic Health and Affairs	28365	San Jacinto College District	Nursing Shortage Reduction Program Grant	12/20/2022	177,949.71
201 Academic Health and Affairs	28373	Texas Woman's University	Nursing Shortage Reduction Program Grant	12/21/2022	174,249.76
201 Academic Health and Affairs	28378	Texas A&M University System Health Science Center	Nursing Shortage Reduction Program Grant	12/22/2022	151,016.46
201 Academic Health and Affairs	28341	Ranger College	Nursing Shortage Reduction Program Grant	12/20/2022	147,001.93
201 Academic Health and Affairs	28374	Stephen F. Austin State University	Nursing Shortage Reduction Program Grant	12/20/2022	104,549.85
201 Academic Health and Affairs	28358	West Texas A&M University	Nursing Shortage Reduction Program Grant	12/22/2022	96,805.42
201 Academic Health and Affairs	28357	San Antonio College	Nursing Shortage Reduction Program Grant	12/20/2022	96,711.80

Contracts Executed by the Agency in Accordance with Board Rule 1.16

Division Unit	Contract #	Performing Agent	Description	Active Date	Total \$
201 Academic Health and Affairs	28380	Concordia University	Nursing Shortage Reduction Program Grant	12/22/2022	85,188.77
201 Academic Health and Affairs	28377	Sam Houston State University	Nursing Shortage Reduction Program Grant	12/21/2022	81,316.55
201 Academic Health and Affairs	28379	Temple College	Nursing Shortage Reduction Program Grant	12/21/2022	77,369.44
201 Academic Health and Affairs	28343	Prairie View A&M University	Nursing Shortage Reduction Program Grant	12/22/2022	73,572.12
201 Academic Health and Affairs	28361	Texas Christian University	Nursing Shortage Reduction Program Grant	12/28/2022	69,699.90
201 Academic Health and Affairs	28347	Lamar University	Nursing Shortage Reduction Program Grant	12/20/2022	63,891.58
201 Academic Health and Affairs	28359	South Plains College	Nursing Shortage Reduction Program Grant	12/20/2022	54,158.61
201 Academic Health and Affairs	28372	Southwestern Adventist University	Nursing Shortage Reduction Program Grant	2/8/2023	46,466.60
201 Academic Health and Affairs	28383	University of St Thomas	Nursing Shortage Reduction Program Grant	12/20/2022	46,466.60
201 Academic Health and Affairs	28376	St. Philip's College	Nursing Shortage Reduction Program Grant	12/20/2022	46,421.66
201 Academic Health and Affairs	28368	Hill College	Nursing Shortage Reduction Program Grant	1/4/2023	42,553.19
201 Academic Health and Affairs	28362	Texas Lutheran University	Nursing Shortage Reduction Program Grant	12/20/2022	38,722.17
201 Academic Health and Affairs	28366	Trinity Valley Community College	Nursing Shortage Reduction Program Grant	1/26/2023	38,684.72
201 Academic Health and Affairs	28369	Lone Star College-North Harris	Nursing Shortage Reduction Program Grant	12/20/2022	38,684.72
201 Academic Health and Affairs	28370	Texas Southmost College	Nursing Shortage Reduction Program Grant	1/4/2023	38,684.72
201 Academic Health and Affairs	28353	Texas Tech Univ Health SC - El Paso (774)	Nursing Shortage Reduction Program Grant	12/28/2022	30,977.73
201 Academic Health and Affairs	28375	Texas A&M University-Commerce	Nursing Shortage Reduction Program Grant	12/20/2022	30,977.73
201 Academic Health and Affairs	28381	University of the Incarnate Word	Nursing Shortage Reduction Program Grant	12/20/2022	30,977.73
201 Academic Health and Affairs	28364	Kilgore College	Nursing Shortage Reduction Program Grant	12/20/2022	30,947.78
201 Academic Health and Affairs	28337	The University of Texas Medical Branch at Galveston	Nursing Shortage Reduction Program Grant	12/28/2022	27,105.52
201 Academic Health and Affairs	28346	Angelina College	Nursing Shortage Reduction Program Grant	1/4/2023	27,079.30
201 Academic Health and Affairs	28382	Blinn College	Nursing Shortage Reduction Program Grant	12/20/2022	23,210.83
201 Academic Health and Affairs	28367	Tarleton State University	Nursing Shortage Reduction Program Grant	12/20/2022	21,297.19
201 Academic Health and Affairs	28355	Laredo Community College	Nursing Shortage Reduction Program Grant	12/22/2022	11,605.42
201 Academic Health and Affairs	28342	McMurry University	Nursing Shortage Reduction Program Grant	12/22/2022	3,872.22
201 Commissioner	28623	The University of Texas System	Amendment	12/28/2022	100,000.00
201 Finance	28207	Comptroller of Public Accounts SWCAP	Annual Statewide Cost Allocation Plan Payment	1/27/2023	25,000.00
201 Information Solutions and Services	25216	Dpt of Info Resources-TexAn	Data Center Circuit	12/8/2022	202,000.00
201 Information Solutions and Services	28279	Tx Dept of Info Resources-DCS	Data Center Services	12/14/2022	7,509,443.00
201 Information Solutions and Services	28745	Freeit Data Solutions Inc	Malware Bytes	2/13/2023	13,235.00
201 Information Solutions and Services	28612	Shi Government Solutions Inc	Micro Focus	12/20/2022	42,240.48
201 Information Solutions and Services	28203	Microsoft Corporation	Microsoft Security Consulting Services	2/14/2023	1,140,480.00
201 Information Solutions and Services	28615	Freeit Data Solutions Inc	Security Operations Center	2/10/2023	148,000.00
201 Information Solutions and Services	28880	Optimum Consultancy Service LLC	SmartSheets License Renewal	2/3/2023	85,300.00
201 Information Solutions and Services	28075	Loblolly Consulting LLC	Temporary Personnel	12/9/2022	163,020.00
201 Information Solutions and Services	28709	Daman Consulting Incorporated	Temporary Personnel	2/21/2023	149,606.00
201 Information Solutions and Services	28538	Esolvit Inc	Temporary Personnel	12/29/2022	63,650.00
201 Information Solutions and Services	28601	Carahsoft Technology Corp	Zoom Video Communications	12/9/2022	10,800.00
201 Workforce Education	28028	Daman Consulting Incorporated	Temporary Personnel	12/27/2022	157,680.00
201 Workforce Education	28031	Daman Consulting Incorporated	Temporary Personnel	12/8/2022	121,472.00
201 Workforce Education	27701	Sky 5 Solutions	TXWORKS Grant	12/13/2022	61,600.00
201 Workforce Education	28897	Expanding Frontiers	TXWORKS Grant	2/27/2023	16,000.00
317 Perkins-AQ&W Admin	28411	Odessa College	Negative Amendment	1/9/2023	-200,000.00
510 SFAP - Student Loan Admin	28872	Texas Correctional Industries	Guest Chairs	2/21/2023	28,975.00
515G FAS	28131	Texas State Technical College-Waco	Texas Educational Opportunity Grant	12/9/2022	504,872.00
515G FAS	28131	Lamar Institute of Technology	Texas Educational Opportunity Grant	12/9/2022	64,001.00
515G FAS	28131	Lamar State College-Orange	Texas Educational Opportunity Grant	12/9/2022	52,806.00
515G FAS	28131	Lamar State College-Port Arthur	Texas Educational Opportunity Grant	12/9/2022	40,865.00
515G FAS	27875	Baylor University	Tuition Equalization Grant	12/19/2022	2,019,516.00
515G FAS	27875	University of the Incarnate Word	Tuition Equalization Grant	12/19/2022	759,100.00
515G FAS	27875	Wayland Baptist University	Tuition Equalization Grant	12/19/2022	667,437.00
515G FAS	27875	Rice University	Tuition Equalization Grant	12/19/2022	629,234.00
515G FAS	27875	St. Mary's University of San Antonio	Tuition Equalization Grant	12/19/2022	494,231.00
515G FAS	27875	University of Mary Hardin-Baylor	Tuition Equalization Grant	12/19/2022	482,667.00
515G FAS	27875	Hardin-Simmons University	Tuition Equalization Grant	12/19/2022	432,367.00
515G FAS	27875	Houston Baptist University	Tuition Equalization Grant	12/19/2022	426,584.00
515G FAS	27875	Parker University	Tuition Equalization Grant	12/19/2022	413,145.00
515G FAS	27875	Texas Christian University	Tuition Equalization Grant	12/19/2022	406,775.00
515G FAS	27875	University of St Thomas	Tuition Equalization Grant	12/19/2022	348,915.00
515G FAS	27875	Southern Methodist University	Tuition Equalization Grant	12/19/2022	346,111.00
515G FAS	27875	St. Edward's University	Tuition Equalization Grant	12/19/2022	324,667.00
515G FAS	27875	Our Lady of The Lake University of San Antonio	Tuition Equalization Grant	12/19/2022	292,241.00
515G FAS	27875	Texas College	Tuition Equalization Grant	12/19/2022	291,712.00
515G FAS	27875	Ablene Christian University	Tuition Equalization Grant	12/19/2022	268,548.00
515G FAS	27875	University of Dallas	Tuition Equalization Grant	12/19/2022	268,203.00
515G FAS	27875	Texas Wesleyan University	Tuition Equalization Grant	12/19/2022	247,307.00
515G FAS	27875	South Texas College of Law	Tuition Equalization Grant	12/19/2022	219,261.00

Contracts Executed by the Agency in Accordance with Board Rule 1.16

Division Unit	Contract #	Performing Agent	Description	Active Date	Total \$
515G FAS	27875	Dallas Baptist University	Tuition Equalization Grant	12/19/2022	216,171.00
515G FAS	27875	Howard Payne University	Tuition Equalization Grant	12/19/2022	213,135.00
515G FAS	27875	Concordia University	Tuition Equalization Grant	12/19/2022	201,902.00
515G FAS	27875	Jarvis Christian College	Tuition Equalization Grant	12/19/2022	185,958.00
515G FAS	27875	Huston-Tillotson University	Tuition Equalization Grant	12/19/2022	164,400.00
515G FAS	27875	East Texas Baptist University	Tuition Equalization Grant	12/19/2022	164,014.00
515G FAS	27875	The Art Institute of Houston LLC	Tuition Equalization Grant	12/19/2022	163,138.00
515G FAS	27875	Texas Lutheran University	Tuition Equalization Grant	12/19/2022	156,202.00
515G FAS	27875	McMurry University	Tuition Equalization Grant	12/19/2022	152,167.00
515G FAS	27875	Letourneau University	Tuition Equalization Grant	12/19/2022	151,778.00
515G FAS	27875	Lubbock Christian University	Tuition Equalization Grant	12/19/2022	147,144.00
515G FAS	27875	Austin College	Tuition Equalization Grant	12/19/2022	139,325.00
515G FAS	27875	Wiley College	Tuition Equalization Grant	12/19/2022	131,755.00
515G FAS	27875	Trinity University	Tuition Equalization Grant	12/19/2022	126,384.00
515G FAS	27875	Southwestern University	Tuition Equalization Grant	12/19/2022	119,143.00
515G FAS	27875	Paul Quinn College	Tuition Equalization Grant	12/19/2022	98,493.00
515G FAS	27875	Schreiner University	Tuition Equalization Grant	12/19/2022	83,952.00
515G FAS	27875	Southwestern Assemblies of God University	Tuition Equalization Grant	12/19/2022	82,646.00
515G FAS	27875	Southwestern Adventist University	Tuition Equalization Grant	12/19/2022	81,425.00
515G FAS	27875	Jacksonville College	Tuition Equalization Grant	12/19/2022	75,434.00
515G FAS	27875	Texas Chiropractic College	Tuition Equalization Grant	12/19/2022	35,680.00
515G FAS	27875	Southwestern Christian College	Tuition Equalization Grant	12/19/2022	10,714.00
515G FAS	27875	Criswell College	Tuition Equalization Grant	12/19/2022	10,192.00
					\$ 71,552,110.58
Memorandum of Understanding (\$0 Contract Amount)					
201 Student Financial Aid Programs	27604	U S Department of Health and Human Services	Verification of Physician Compliance	12/16/2022	0.00
201 Texas Insights Accelerator	28747	Texas 2036	Transfer Internet Based Interactive Data Tool	1/31/2023	0.00



Contracts Executed by the Agency Over \$1 Million

December 8, 2022 –February 28, 2023

Division Unit	Contract #	Performing Agent	Description	Active Date	Total \$
136 SSI CCA	28014	MDRC	Implementation Grant Evaluator	1/20/2023	1,700,000.00
141 GEER II Apply TX	28586	Deloitte Consulting LLP	Maintain ApplyTexas	1/5/2023	3,158,390.87
145 GEER II DMI Edu & Wkfr	28122	Loblolly Consulting LLC	Temporary Personnel	12/28/2022	4,500,000.00
201 Information Solutions and Services	28279	Tx Dept of Info Resources-DCS	Data Center Services	12/14/2022	7,509,443.00
201 Information Solutions and Services	28203	Microsoft Corporation	Microsoft Security Consulting Services	2/14/2023	1,140,480.00
515G FAS	27875	Baylor University	Tuition Equalization Grant	12/19/2022	2,019,516.00
				\$	20,027,829.87

Agency Operations Committee

AGENDA ITEM V-B

Update on the 88th Texas Legislative Session

RECOMMENDATION: No action required

Background Information:

The 88th Texas Legislature convened on January 10, 2023.

Amy Peterson, Assistant Commissioner for External Relations, will provide an update on the legislative session and related agency activities.

Agency Operations Committee

AGENDA ITEM V-C

Consideration and possible action to adopt proposed amendments to Board Rules, Chapter 1, Subchapter C, Standards of Conduct

RECOMMENDATION: Adopt

Background Information:

The Texas Higher Education Coordinating Board (Coordinating Board) proposes the repeal of Texas Administrative Code, Title 19, Part 1, Chapter 1, Subchapter C, and new rules in Chapter 1, Subchapter C, Acceptance of Gifts and Donations By Board And Employees, Sections 1.80-1.87. Specifically, the repeal and new rules provides the opportunity to review and update the Board's ethics policies to reflect current agency practice, demonstrate compliance with current state law, and implement state governance best practices.

This review is conducted as required by law, which states that state agencies must assess whether the initial reasons for adopting a rule continue to exist every four years.

Nichole Bunker-Henderson, General Counsel, will present this item and be available for questions.

Date Published in the *Texas Register*: January 27, 2023.

The 30-day comment period with the *Texas Register* ended on: February 26, 2023.

Summary of comments received: No comments were received regarding this rule.

CHAPTER 1:
AGENCY ADMINISTRATION

SUBCHAPTER C:
STANDARDS OF CONDUCT

~~§1.80. Scope and Purpose.~~

~~§1.81. Definitions.~~

~~§1.82. Donations by Private Donors to the Board.~~

~~§1.83. Donations by a Private Donor to a Private Organization That Exists To Further the Purposes and Duties of the Board.~~

~~§1.84. Organizing a Private Organization That Exists To Further the Duties and Purposes of the Board.~~

~~§1.85. Relationship between a Private Organization and the Board.~~

~~§1.86. Standards of Conduct Between Board Employees and Private Donors.~~

~~§1.87. Miscellaneous.~~

§1.80. Scope and Purpose.

(a) This subchapter establishes the criteria, procedures, and standards of conduct governing the relationship between the Texas Higher Education Coordinating Board (Board) and its officers and employees and private donors and private organizations that exist to further the duties and purposes of the Board. This subchapter sets out the Board's process for acceptance of gifts and donations.

(b) The purpose of this subchapter is to comply with the provisions of Texas Government Code chapters 575 and 2255.001 and implement the provisions of chapter 61 of the Texas Education Code authorizing the Board to accept gifts and donations.

§1.81. Definitions.

The following words and terms, when used in these sections, shall have the following meanings, unless the context clearly indicates otherwise.

(1) Board--The Texas Higher Education Coordinating Board.

(2) Commissioner--The Commissioner of the Texas Higher Education Coordinating Board.

(3) Donation--A contribution of anything of value (including a gift or in-kind gift such as goods or services) given to the Board for public higher education purposes or to the Texas Higher Education Foundation for the benefit of the Board. The Board may not accept donations of real property (real estate) without the express permission and authorization of the legislature.

(4) Employee--A regular, acting, exempt, full-time or part-time employee of the agency.

(5) Gift--A donation of money or property.

(6) Private donor--People or private organizations that make a donation to the Board for

higher education purposes, or that make a donation to the Higher Education Foundation to assist in accomplishing the duties of the Board.

(7) The Texas Higher Education Foundation--A 501(c)(3) organized for the purpose of supporting the mission, objectives, and public purpose of the Texas Higher Education Coordinating Board by providing resources obtained primarily from private, non-governmental sources, or its successor organization designated as the official non-profit partner of the Board.

§1.82. The Texas Higher Education Foundation.

(a) The Texas Higher Education Foundation is designated as the official nonprofit partner of the Board.

(b) The Chair of the Board and the Board of Trustees of the Texas Higher Education Foundation may cooperatively appoint a board of trustees for the organization, subject to the following requirements:

(1) The Commissioner shall serve as an ex officio trustee with no vote;

(2) Board employees may serve as an ex officio, non-voting trustee, provided there is no conflict of interest in accordance with all federal and state laws and Board policies. This provision applies to the employee's spouse and children; and

(3) Members of the Board may serve on the Board of Trustees of the Texas Higher Education Foundation, but such members will not comprise a majority of the Board of Trustees.

(c) The Board shall review its relationship with the Texas Higher Education Foundation on a schedule to be established by the Board, but not less than once every 10 years.

(d) The Texas Higher Education Foundation may not expend funds for the purpose of influencing legislative action, either directly or indirectly.

§1.83. Gifts and Donations to the Board.

(a) A private donor may make a donation to the Board to be used or spent for specified or unspecified public higher education purposes. If the donor specifies the purpose, the Board must use or expend the donation only for that purpose.

(b) The Board shall use or spend all donations in accordance with the provisions of the State Appropriations Act. The Board shall deposit all gifts in the state treasury unless exempted by specific statutory authority.

(c) The Board may not transfer a private donation to a foundation or private/public development fund without specific written permission from the donor and the written approval of the Commissioner.

(d) The Board authorizes the Commissioner to accept donations on its behalf.

(e) The Board will acknowledge the acceptance of a gift or donation at the next Board meeting immediately after the date the Commissioner accepts a gift on the Board's behalf.

(f) The Board will log gifts in its minutes as required by chapter 575 of the Government Code.

§1.84. Donations by a Private Donor to the Texas Higher Education Foundation.

(a) A private donor may make a donation to the Texas Higher Education Foundation to assist in accomplishing the duties of the Board.

(b) The Texas Higher Education Foundation shall administer and use the donation in accordance with the provisions in the memorandum of understanding between the Texas Higher Education Foundation and the Board, as described in §1.85(c) of this title (relating to Relationship between the Texas Higher Education Foundation and the Board).

§1.85. Relationship between the Texas Higher Education Foundation and the Board.

(a) The Board may provide to the Texas Higher Education Foundation:

(1) fundraising and solicitation assistance;

(2) staff services to coordinate activities;

(3) administrative and clerical services;

(4) office and meeting space;

(5) training;

(6) any service or agreement authorized by the legislature; and

(7) other miscellaneous services as needed to further the duties and purposes of the Board.

(b) In addition to gifts and donations authorized by law, the Texas Higher Education Foundation may provide:

(1) postage;

(2) printing, including letterhead and newsletters;

(3) special event insurance;

(4) recognition of donors;

(5) bond and liability insurance for organization officers; and

(6) other miscellaneous services as needed to further the duties and purposes of the Board.

(c) The Texas Higher Education Foundation and the Board shall enter into a memorandum of understanding (MOU) which contains specific provisions regarding:

(1) the relationship between the Board and the Texas Higher Education Foundation and a mechanism for solving any conflicts or disputes;

(2) fundraising and solicitation;

(3) the use of all donations from fundraising or solicitation, less legitimate expenses as described in the MOU, for the benefit of the Board;

(4) the maintenance by the Foundation of receipts and documentation of all funds and other donations received, including furnishing such records to the Board;

(5) the furnishing to the Board of any audit of the Texas Higher Education Foundation by the Internal Revenue Service or a private firm; and

(6) the reasonable use of Board employees, equipment, or property in order to further or support the purposes or programs of the Board, provided such usage is commensurate with the benefit received or to be received by the Board.

§1.86. Standards of Conduct Between Board Employees and Private Donors.

(a) A Board officer or employee shall not accept or solicit any gift, favor, or service from a private donor that might reasonably tend to influence his/her official conduct.

(b) A Board officer or employee shall not accept employment or engage in any business or professional activity with a private donor which the officer or employee might reasonably expect would require or induce him/her to disclose confidential information acquired by reason of his/her official position.

(c) A Board officer or employee shall not accept other employment or compensation from a private donor that would reasonably be expected to impair the officer or employee's independence of judgment in the performance of his/her official position.

(d) A Board officer or employee shall not make personal investments in association with a private donor that could reasonably be expected to create a substantial conflict between the officer or employee's private interest and the interest of the Board.

(e) A Board officer or employee shall not solicit, accept, or agree to accept any benefits for having exercised his/her official powers on behalf of a private donor or performed his official duties in favor of private donor.

(f) A Board officer or employee who has policy direction over the Board and who serves as an officer or director on a board of a private donor shall not vote on any measure, proposal, or decision pending before the private donor if the Board might reasonably be expected to have an interest in such measure, proposal, or decision.

(g) A Board officer or employee shall not authorize a private donor to use property of the Board unless the property is used in accordance with a contract or memorandum of understanding between the Board and the private donor, or the Board is otherwise compensated for the use of the property.

(h) A Board officer affiliated with a private donor or organization shall at all times be mindful of his/her obligations under Texas Government Code chapter 572.

§1.87. Miscellaneous.

The relationship between a private donor, Texas Higher Education Foundation, and the Board, including fundraising and solicitation activities, is subject to all applicable federal and state laws, rules and regulations, and local ordinances governing each entity and its employees.

Agency Operations Committee

AGENDA ITEM V-D

Pursuant to Texas Government Code, Section 551.076, the Board will meet in closed session to discuss security recommendations from a Department of Information Resources contractor

Recommendation: No action required

Background Information:

Texas Administrative Code, Section 2054.059, requires the Texas Department of Information Resources (DIR) to develop strategies and a framework for the securing of cyber infrastructure by state agencies. Texas Government Code, Section 551.076, allows meetings to deliberate security personnel, devices, or audits to be conducted as closed meetings.

Per Texas Administrative Code 2054.059, DIR worked with a committee of agency representatives and private sector reviewers to develop a framework and template that can help agencies comply with requirements.

AT&T Cybersecurity Services, a vendor contracted by the DIR, performed an assessment of the THECB's cybersecurity framework and will present the assessment results to the Board.

Ms. Zhenzhen Sun, Assistant Commissioner for Information Solutions and Services/Chief Information Officer, and Mr. Miguel Olivas, Information Security Officer, will be available to answer questions.

Agency Operations Committee

AGENDA ITEM VI-A

Review of the “Fiscal Year 2023 Financial Report” to the Board

RECOMMENDATION: No action required

Background Information:

During each quarterly committee meeting, the Finance Department provides a financial report summarizing the agency’s fiscal-year-to-date budgetary and financial activities. This is a financial management report that is developed for both agency and Board use. Staff may revise this report periodically to present the most relevant information.

Key points:

- This report reflects data through the second quarter of Fiscal Year 2023 (FY 23).
 - September 1, 2022, through February 28, 2023
- The report is distributed to agency executive management monthly.
- Report overview:
 - Budget adjustments are primarily related to carrying forward unexpended balances from FY 22 into FY 23.
 - College Access Loan borrower level volume is about 1% lower than previous year’s level.
 - One bond sale is planned for July 2023 for new money bonds to fund student loans during the 2023-2024 academic year. Approximately \$150 million of new money bond proceeds are anticipated.
 - This report reflects the strategy structure as outlined in the General Appropriations Act from the 87th Legislature.

Mr. Anthony Infantini, Chief Financial Officer, will present this item and be available for questions.

Agency Operations Committee

AGENDA ITEM VI-B

Consideration and possible action to approve payment to the U.S. Treasury in August 2023 of an amount up to \$6 million from the Texas Opportunity Fund for excess earnings relating to the 2013A refunding

RECOMMENDATION: Approval

Background Information:

Bonds issued after 1986 are subject to arbitrage rebate requirements imposed by Section 148 (f) of the Internal Revenue Code of 1986. In effect, any excess amounts, i.e., earnings that represent a yield higher than the yield on the bonds, are required to be repaid to the United States government.

On January 23, 2013, the Texas Higher Education Coordinating Board (THECB) Agency Operations Committee approved a resolution to authorize refunding of outstanding balances of Fixed Rate Bond Series 1999 and Variable Rate Demand Bonds Series 2003, 2004, and 2006.

Benefits included eliminating the renewal risk and cost increases associated with variable rate bonds, locking in low fixed rates, reducing the required size of the Reserve Fund, and allowing the Interest & Sinking Fund to be invested in the Texas Comptroller's Treasury Pool.

As no Private Activity Bond Allocation was available for Series 2013A refunding bonds, the maturity date of these bonds needed to be reduced from 2038 to a final maturity of August 2023. Refunding analyses were run for each bond series and projected a potential cumulative net present value savings of \$12,890,600.

Since the student loan rates associated with these bonds were now significantly more than the interest being paid on the bonds, it was determined some portion of that \$12.9 million would end up being non-retainable excess spread that would have to be paid to the U.S. Treasury at maturity in 2023.

THECB accrues an arbitrage liability each fiscal year reflecting the estimated payments due to the federal government for these excess earnings.

As of August 31, 2022, the last annual yield calculation date for all outstanding THECB bond issues, the excess earnings liability of Series 2013A was \$5,574,284. This amount was accrued as a current liability on our 2022 financial statement in anticipation of the payment to be made in fiscal year 2023.

The actual amounts owed to the Treasury will be finalized before payment is due in August.

Mr. Anthony Infantini, Chief Financial Officer, will present this item and be available for questions.

Agency Operations Committee

AGENDA ITEM VII-A

Discussion of Statements on Auditing Standards No. 114, “The Auditor’s Communication with Those Charged with Governance”

RECOMMENDATION: No action required

Background Information:

In accordance with the requirements of the Statements on Auditing Standards No. 114, the Board’s external auditor, KPMG LLP, will be discussing their responsibility under generally accepted auditing standards. The discussion will include the auditor’s responsibility for forming and expressing an opinion on whether the financial statements that have been prepared by management, with the oversight of those charged with governance, are presented fairly in all material respects and in conformity with generally accepted accounting principles. The auditor will also communicate an overview of the planned scope and timing of the audit, as well as financial risk areas.

Susan Warren, Audit Partner with KPMG LLP, will present this item to the committee and be available for questions.

Agency Operations Committee

AGENDA ITEM VII-B

Auditor's update on Internal Audit reports and activities

RECOMMENDATION: No action required

Background Information:

Two reports were completed during the reporting period since the January 2023 Agency Operations Committee meeting.

Engagements Completed

1. GEER Funds Administration Review and Advisory Services - Interim Report March 2023
2. Internal Audit Follow-Up Status Report - April 2023 (summary report)

Projects in Progress as of March 2023	Stage of Project
Data Modernization Initiative Review and Advisory Services	Planning/Fieldwork
GEER Funds Administration Review and Advisory Services	Planning/Fieldwork
Review of Procurement	Fieldwork

Other Internal Audit Activities

- Collaboration regarding GEER fund administration
- Collaboration regarding Data Modernization administration
- Collaboration regarding ethics and risk management agency training updates
- Collaboration regarding CAPPS financial
- State of Texas Single Audit Fiscal Year 2022 – external auditor coordination
- Financial statement audit Fiscal Years 2022 and 2023- external auditor coordination
- Loan Program Audit - external auditor coordination
- Hiring additional internal auditor

Mark Poehl, Assistant Commissioner for Internal Audit and Compliance, and Paul Maeyaert, Assistant Director for Internal Audit and Compliance, will present this item and are available to answer questions.

Internal Audit Follow Up Status Report - April 2023

<u>Project</u>	<u>Issue date</u>	<u>Recommendation</u>	<u>Responsible Party</u>	<u>Expected</u>	<u>Status</u>
CRAFT	7/27/2022	Perform needs assessment for a CRAFT replacement	Sarah Keyton, Associate Commissioner for Administration	Jun-22	In progress
CRAFT	7/27/2022	Assess and revise the current overall CB policy and procedure for periodic application user reviews	Sarah Keyton, Associate Commissioner for Administration	FY23	In progress
DMI	3/14/2022	Create a centralized cloud center outlining THECB and cloud vendor responsibilities	Miguel Olivas, Information Security Officer	Mar-23	In progress
DMI	3/14/2022	Add DMI deliverables to established annual risk assessments	Miguel Olivas, Information Security Officer	End of 2022	In progress
GEER - March 2023	3/13/2023	Provide centralized monitoring for service (non-subrecipient) GEER projects.			New
GEER - March 2023	3/13/2023	Complete and retain the Contract Management Checklist in BMS and H drive for each service (non-subrecipient) GEER project.			New
GEER - March 2023	3/13/2023	Complete and retain the Subrecipient Monitoring Checklist in H drive for each grant (subrecipient) GEER project.			New
GEER - March 2023	3/13/2023	Provide consistent folder structure and naming in H drive that correlates to management's Smartsheet list and to finance (PCA) numbering and naming.			New
GEER - March	3/13/2023	Finalize and document the approved Charter in H drive for all projects.			New

LEGEND

New	Recommendation is newly reported
Verified	Verified - corrective action(s) has been verified as implemented.
In Progress	In Progress - corrective action (s) are in progress or are pending verification.
Verified as not Implemented	Verified as not implemented - Verification determined that either no corrective action was taken, or the corrective action taken did not mitigate the identified risk.

Agency Operations Committee

AGENDA ITEM VIII-A

Auditor's update on state and federal compliance monitoring reports and activities

RECOMMENDATION: No action required

Background Information:

State Compliance Monitoring

The state Compliance Monitoring team completed nine projects during the reporting period since the January 2023 Agency Operations Committee meeting. The final reports are attached.

Formula funding (FF) projects and other reports

1. "Compliance Monitoring Desk Review of Formula Funding at The University of North Texas HSC at Ft. Worth" (no findings)
2. "Compliance Monitoring Audit of Formula Funding at Central Texas College" (no findings)
3. "Compliance Monitoring Desk Review of Formula Funding at Temple College" (no findings)
4. "Compliance Monitoring Corrective Action Status Report – April 2023"

Sexual misconduct reporting projects - reports submitted in CAWS agenda, included here for cross-reference purposes only

5. "Compliance Monitoring Desk Review of Certain Sexual Misconduct Reporting and Compliance Requirements at Texas Tech University Health Science Center – El Paso" (one finding related to timely reporting)
6. "Compliance Monitoring Desk Review of Certain Sexual Misconduct Reporting and Compliance Requirements at Wiley College" (one finding related to timely reporting)
7. "Consolidated Report for E-2 and E-3 Compliance Review Academic Year 2022" (summary report)

Projects in Progress as of 3/7/2023	Stage of Project
Trinity Valley Community College (FF)	Reporting
McLennan Community College	Reporting
Austin Community College (FF)	Reporting
McMurry University (FF-Fol)*	Fieldwork
University of Houston (FF)	Planning
Texas A&M University (FF)	Planning
Ranger College (FF-Fol)	Planning
Texas Tech University (FF)	Planning
Tarrant County College (FF)	Planning

*FF-Fol = follow-up project

Other state compliance monitoring activities

- Complaint-resolution assistance
- Title IX-related compliance planning
- Title IX/SB 212 upcoming projects
- Hiring replacement compliance specialist

Federal Compliance Monitoring

Federal compliance monitoring activities

- Perkins FY 2021 subrecipient monitoring reviews in fieldwork– 5 of 18 complete
- GEER funding – participation in GEER meetings, training, and planning
- FY22 Single Audit Review - planning
- GEER FY 2022 subrecipient monitoring reviews – planning
- Hiring replacement federal compliance specialist for Perkins

The final reports are attached. Mark Poehl, Assistant Commissioner for Internal Audit and Compliance, and Paul Maeyaert, Assistant Director for Internal Audit and Compliance, will present this item and are available to answer questions.

Fred Farias III, O.D.
CHAIR

January 24, 2023

Donna N. Williams
VICE CHAIR

Dr. Sylvia Trent-Adams

S. Javaid Anwar
SECRETARY OF THE BOARD

President

Georgia A. Hejny
STUDENT REPRESENTATIVE

The University of North Texas Health Science Center at Fort Worth
3500 Camp Bowie Blvd.
Fort Worth, TX 76107

Richard L. Clemmer
Robert P. Gauntt
Emma W. Schwartz
R. Sam Torn
Welcome Wilson, Jr.
Daniel O. Wong

Re: *A Compliance Monitoring Desk Review of Formula Funding at The University of North Texas Health Science Center at Fort Worth*, Report No. THECB-CM-FF-23-012

Harrison Keller, Ph.D.
COMMISSIONER OF HIGHER
EDUCATION

The University of North Texas Health Science Center at Fort Worth complied with relevant Coordinating Board (THECB) rules and regulations for enrollment data used for formula funding and with Texas Education Code, Title 3, Subtitle B, Chapter 61, Section 61.035.

Summary

Our review included tests of student data reported and certified by The University of North Texas Health Science Center at Fort Worth, focusing on the following student data and time periods:

- CBM001 (Student Report) – Summer semester 2021, Fall semester 2021, and Spring semester 2022

Our work included procedures to verify:

- Reported semester credit hours were eligible for formula funding; and
- Student tuition payment was received in accordance with requirements.

This Compliance Monitoring report will be presented to the Texas Higher Education Coordinating Board (THECB) Committee on Agency Operations, a standing committee of the THECB Board, on April 27, 2023.

The cooperation of your staff during this review is greatly appreciated. If you have any questions or comments on the conduct of this review, please let me know.

Sincerely,



Mark A. Poehl, CPA, CIA, CISA, CFE
Assistant Commissioner, Internal Audit and Compliance

PERFORMED BY:

Ms. Jamyen Robinson-Hall, Senior Compliance Specialist

c:

THECB

Board Members

Commissioner's Office

Dr. Harrison Keller, Commissioner of Higher Education

Ms. Melissa Henderson, Chief of Staff

Ms. Sarah Keyton, Associate Commissioner for Administration

Ms. Lori Fey, Deputy Commissioner, Data Analytics and Innovation

Dr. David Troutman Deputy Commissioner, Academic Affairs and Workforce Education

Mr. Anthony Infantini, Chief Financial Officer

Ms. Nichole Bunker-Henderson, General Counsel

Data Analytics and Innovation

Ms. Emily Cormier, Assistant Commissioner, Funding and Resource Planning

The University of North Texas Health Science Center at Fort Worth

Ms. Trisha VanDuser, Senior VP of Student & Academic Affairs

Mr. Chuck Fox, Chief Financial Officer

Mr. Juan Serrano, VC/ Chief Information Officer

Ms. Ruth Roman, Executive Vice President Chief Operating Officer

Ms. Ninette Caruso, Chief Audit Executive

Ms. Elizabeth Medders, Director, Enrolment & Records

The University of North Texas System Office

Ms. Laura Wright, Chair, Board of Regents

Mr. Michael R. Williams, Chancellor and HSC President

Ms. Ninette Caruso, Chief Audit Executive, Internal Audit

State Auditor's Office

Audit Coordinator

Sunset Advisory Commission

Ms. Jennifer Jones, Director

Office of the Governor-Budget and Policy Division

Ms. Sarah Hicks, Budget and Policy Director

Legislative Budget Board

Mr. Christopher Mattsson, Assistant Director

Fred Farias III, O.D.
CHAIR

March 22, 2023

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R. Sam Torn
Welcome Wilson, Jr.
Daniel O. Wong

Dr. Jim Yeonopolus
Chancellor
Central Texas College
6200 West Central Texas Expy
Killeen, TX 64549

Re: *A Compliance Monitoring Audit of Formula Funding at Central Texas College*,
Report No. THECB-CM-FF-23-006

Harrison Keller, Ph.D.
COMMISSIONER OF HIGHER
EDUCATION

Dear Dr. Yeonopolus,

I am attaching the final report on *A Compliance Monitoring Audit of Formula Funding at Central Texas College*, Report No. THECB-CM-FF-23-006. There were no observations resulting from this engagement.

This Compliance Monitoring audit report will be presented to the THECB Committee on Agency Operations, a standing committee of the THECB Board, in April, 2023.

If you have any questions or comments, please let me know.

Sincerely,



Mark A. Poehl, CPA, CIA, CISA, CFE
Assistant Commissioner, Internal Audit and Compliance

EXECUTIVE SUMMARY

Central Texas College complied with relevant Coordinating Board (THECB) rules and regulations for enrollment data used for formula funding and with Texas Education Code, Title 3, Subtitle B, Chapter 61, Section 61.035.

We conducted this audit in accordance with Generally Accepted Government Auditing Standards. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on our audit objectives.

Our consideration of internal control was for the compliance purposes described in the objective/scope section and was not designed to identify all deficiencies in internal control.

We reviewed the following areas:

Reported Semester Credit Hours were Eligible for Formula Funding

No reportable findings were noted.

Student Tuition Payments were Received in Accordance with Requirements

No reportable findings were noted.

Reported Enrollment and Contact Hours were Eligible for Formula Funding

No reportable findings were noted.

Instructors of Record met Applicable Requirements

No reportable findings were noted.

Audit Scope, Objective, and Methodology

Our audit included tests of enrollment data reported and certified by Central Texas College. Our audit focused on the following enrollment data and time periods:

- CBM001 (Student Report) – Summer semester 2021, and Fall semester 2021;
- CBM0C1 (Student Census Report) – Spring semester 2022;
- CBM004 (Class Report) – Summer semester 2021, and Fall semester 2021;
- CBM0CS (Census Student Schedule Report) – Spring semester 2022; and
- CBM00C (Continuing Education Class Report) – Summer semester 2021, Fall semester 2021; and Spring semester 2022.

Our work included procedures to verify:

- Reported semester credit hours were eligible for formula funding;
- Student tuition payment was received in accordance with requirements;
- Reported enrollment and contact hours reported were eligible for formula funding;
- Evidence of academic credentials were maintained to support required instructor qualifications; and
- Information Security Controls related to student information were sufficient for the limited purpose of our review.

The audit methodology included objectively reviewing and analyzing various forms of documentation, conducting interviews and observations, and performing other tests of controls necessary to achieve the objectives of the audit. THECB used random sampling to determine the extent to which Central Texas College accurately reported semester credit hour data.

Background

Fifty public community college districts receive state appropriations based on their student enrollment data. These institutions are governed by locally elected boards that have the authority to levy property taxes in their districts. The state appropriations the colleges receive are used to fund administrative and instructional (education and general) services for post-secondary academic and vocational/technical education.

State financing of higher education programs for public community colleges is provided from the State's General Revenue Fund. The THECB and the Comptroller of Public Accounts distributes these appropriations to colleges based on allocations and performance measures. Each college submits enrollment reports (CBM Reports) to the THECB to document the number of contact hours taught by the college in each semester. The formula for state funding involves multiplying the base period contact hours submitted by colleges by the applicable funding rates.

PERFORMED BY:

Ms. Elizabeth Steele, Compliance Specialist
c:

THECB**Board Members****Commissioner's Office**

Dr. Harrison Keller, Commissioner of Higher Education
Ms. Melissa Henderson, Chief of Staff
Ms. Sarah Keyton, Associate Commissioner for Administration
Mr. Anthony Infantini, Chief Financial Officer
Ms. Nichole Bunker-Henderson, General Counsel
Ms. Lori Fey, Deputy Commissioner, Data Analytics and Innovation
Dr. David Troutman, Deputy Commissioner, Academic Affairs and Workforce Education

Data Analytics and Innovation

Ms. Emily Cormier, Assistant Commissioner, Funding and Resource Planning

Central Texas College

BG (Ret) Rex Weaver, Chair, Board of Trustees
Dr. Robin Garrett, Deputy Chancellor, Academic and Student Success
Mr. Stephen O'Donovan, Associate Dean, Admissions, Records, Registration
Mr. Cliff Gains, Associate Deputy Chancellor, Information Technology
Mr. Doug Barton, Internal Audit
Dr. Johnelle Welsh, Dean, Student Services
Ms. Tracy Lehmkuhler, Manager, Accounts Receivable

Texas Association of Community Colleges

Dr. Ray Martinez, III, President and Chief Executive Officer

State Auditor's Office

Audit Coordinator

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Ms. Jennifer Jones, Director

Office of the Governor-Budget and Policy Division

Ms. Sarah Hicks, Budget and Policy Director

Legislative Budget Board

Mr. Christopher Mattsson, Assistant Director

Fred Farias III, O.D.
CHAIR

March 22, 2023

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VICE CHAIR

Dr. Christy Ponce
President

S. Javaid Anwar
SECRETARY OF THE BOARD

Temple College
2600 S. 1st Street
Temple, TX 76504

Georgia A. Hejny
STUDENT REPRESENTATIVE

Richard L. Clemmer
Robert P. Gauntt
Emma W. Schwartz
R. Sam Torn
Welcome Wilson, Jr.
Daniel O. Wong

Re: *A Compliance Monitoring Desk Review of Formula Funding at Temple College,*
Report No. THECB-CM-FF-23-010.

Harrison Keller, Ph.D.
COMMISSIONER OF HIGHER
EDUCATION

Temple College complied with relevant Coordinating Board (THECB) rules and regulations for enrollment data used for formula funding and with Texas Education Code, Title 3, Subtitle B, Chapter 61, Section 61.035.

Summary

Our review included tests of student data reported and certified by Temple College, focusing on the following student data and time periods:

- Semester Credit Hours and Tuition Payments for CBM001 (Student Report) during summer semester 2021, and fall semester 2021;
- Tuition Payments for CBMOC1 (Student Census Report) during spring semester 2022;
- Contact Hours and Enrollment for CBM004 (Class Report) during summer semester 2021, and fall semester 2021;
- Contact Hours and Enrollment for CBM0CS (Census Student Schedule Report) spring semester 2022; and
- Contact Hours and Enrollment for CBM00C (Continuing Education Class Report) during summer semester 2021, fall semester 2021, and spring semester 2022.

Our work included procedures to verify:

- Student tuition payment was received in accordance with requirements;
- Reported contact hours were eligible for formula funding;
- Reported enrollment was eligible for formula funding;
- Evidence of academic credentials were maintained to support instructor qualifications; and
- Information Security Controls related to student information were sufficient for the limited purpose of our review.

This Compliance Monitoring report will be presented to the Texas Higher Education Coordinating Board (THECB) Committee on Agency Operations, a standing committee of the THECB Board, on April 27, 2023.

The cooperation of your staff during this review is greatly appreciated. If you have any questions or comments on the conduct of this review, please let me know.

Sincerely,

A handwritten signature in black ink that reads "Mark A. Poehl". The signature is written in a cursive style with a large, stylized "M" and "P".

Mark A. Poehl, CPA, CIA, CISA, CFE
Assistant Commissioner, Internal Audit and Compliance

PERFORMED BY:

Ms. Jamyen Robinson-Hall, Senior Compliance Specialist

c:

THECB

Board Members

Commissioner's Office

Dr. Harrison Keller, Commissioner of Higher Education

Ms. Melissa Henderson, Chief of Staff

Ms. Sarah Keyton, Associate Commissioner for Administration

Ms. Lori Fey, Deputy Commissioner, Data Analytics and Innovation

Dr. David Troutman Deputy Commissioner, Academic Affairs and Workforce Education

Mr. Anthony Infantini, Chief Financial Officer

Ms. Nichole Bunker-Henderson, General Counsel

Data Analytics and Innovation

Ms. Emily Cormier, Assistant Commissioner, Funding and Resource Planning

Temple College

Mr. John Bailey, Chair, Board of Trustees

Ms. Susan Guzman-Trevino, Provost/Vice President, Academic Affairs and Student Services

Mr. Brandon Bozon, Vice President, Administrative Services

Ms. DeDe Griffith, Vice President, Workforce Development

Ms. Mary Daniel, Director, Financial Aid

Mr. Caleb Hogue, Executive Director, Information Technology Services

Ms. Julie Escamilla, Director, Workforce Development

Ms. Sarah Artus, Associate Director, Admissions and Records

Ms. Shannon Bralley, Interim Division Director, Student and Enrollment Services

Texas Association of Community Colleges

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Mr. Christopher Mattsson, Assistant Director

Compliance Monitoring Follow Up Status Report - April 2023

<u>Project</u>	<u>Issue date</u>	<u>Recommendation</u>	<u>Expected</u>	<u>Status</u>
Navarro College (TEOG)	10/7/2021	Update Controlled substance eligibility review	Oct-21	Not Verified
Navarro College (TEOG)	10/7/2021	Return \$2454 to THECB	Oct-21	Not Verified
Dallas Baptist University (TEG)	12/17/2021	Update exceptional need eligibility review	Dec-21	Not Verified
Dallas Baptist University (TEG)	12/17/2021	Return \$1710 to THECB	Dec-21	Not Verified
Ranger College (FF)	3/17/2022	Provide Information Security Review	Jun-23	Not Verified
Coastal Bend College (Perkins)	5/12/2022	Update Inventory Control Policy	Sep-22	Not Verified
Coastal Bend College (Perkins)	5/12/2022	Establish Centralized Inventory Control	Sep-22	Not Verified
South Plains College (TEOG)	9/19/2022	Update existing degree eligibility review	May-23	Not Verified
South Plains College (TEOG)	9/19/2022	Return \$3310 to THECB	May-23	Not Verified
McMurry University (TEG)	9/21/2022	Update Information Security controls	Oct-22	Not Verified
Howard County Junior College (TEOG)	12/16/2022	Update TEOG Tuition Matching procedures	Dec-22	Not Verified
Howard County Junior College (TEOG)	12/16/2022	Corrective Plan to reimburse students	Sep-23	Not Verified
Wayland Baptist University	12/16/2022	Update Selective Service review procedures	Dec-22	Not Verified
Wayland Baptist University	12/16/2022	Return \$5130 to THECB	Dec-22	Not Verified

LEGEND

New	Recommendation is newly reported
Verified	Verified - corrective action(s) has been verified as implemented.
Not Verified	Not verified - corrective action (s) are in progress or are pending verification.
Verified as not Implemented	Verified as not implemented - Verification determined that either no corrective action was taken, or the corrective action taken did not mitigate the identified risk.