

AGENCY OPERATIONS COMMITTEE

A G E N D A

**1200 East Anderson Lane, Room 1.170
Austin, Texas**

Live broadcast available at: highered.texas.gov

12:30 P.M., Wednesday, July 27, 2022

*(or upon adjournment of the Committee on Academic and Workforce Success
meeting, whichever occurs later)*

Chair: Emma W. Schwartz

Vice Chair: Welcome W. Wilson, Jr.

Members: S. Javaid Anwar; Fred Farias III, O.D.; Robert P. Gauntt; Donna N. Williams

Student Representative: Georgia A. Blackwell (Ex-Officio)

AGENCY OPERATIONS COMMITTEE

A G E N D A

Public Testimony: The chair shall designate whether public testimony will be taken at the beginning of the meeting, at the time the related item is taken up by the board of the Texas Higher Education Coordinating Board (Board) after staff has presented the item, or any other time as determined by the chair. For procedures on testifying, please go to highereds.texas.gov/public-testimony.

- I. Welcome and committee chair's opening remarks
- II. Consideration and possible action to approve the minutes for the April 27, 2022, committee meeting
- III. Public testimony on agenda items relating to the Agency Operations Committee
- IV. Consideration and possible action to approve the consent calendar
- V. Agency operations
 - A. Report on grants and contracts
 - B. Update on Agency Cybersecurity Framework
- VI. Finance
 - A. Review of the "Fiscal Year 2022 Financial Report" to the Board
- VII. Internal and external audit
 - A. Discussion of the audit of agencywide financial statements for the fiscal year ended August 31, 2021, by KPMG LLP
 - B. Auditor's update on internal audit reports and activities
 - C. Consideration and possible action to adopt the Annual Internal Audit Plan for Fiscal Year 2023
- VIII. Compliance monitoring
 - A. Auditor's update on state and federal compliance monitoring reports and activities
 - (1) "Compliance Monitoring Audit of Formula Funding at Northeast Texas Community College" (no findings).

Note: Highlighted items in gray are on the consent calendar

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- (2) “Compliance Monitoring Audit of Formula Funding at Texas Southmost College” (no findings)
- (3) “Compliance Monitoring Desk Review of Formula Funding at Vernon College” (one finding relating to information security controls).
- (4) “Compliance Monitoring Desk Review of Formula Funding at Midland College” (no findings).
- (5) “Compliance Monitoring Follow Up Review of Formula Funding at Southwest Texas Junior College” (no findings).
- (6) “Compliance Monitoring Follow Up Review of the Texas Educational Opportunity Grant at Texarkana College” (no findings).
- (7) “Compliance Monitoring Review of the Carl D. Perkins Grant-Related Inventory Policies and Procedures at Coastal Bend College” (two findings related to control of equipment).

B. Consideration and possible action to adopt the Annual State Compliance Monitoring Plan for Fiscal Year 2023

IX. Executive Session: Pursuant to Texas Government Code § 551.076, the committee will meet in closed session to discuss internal security audits, including of the Customer Relationship and Feedback Tracking (CRAFT) system.

X. Adjournment

Executive Session: The Texas Higher Education Coordinating Board Agency Operations Committee may convene in Executive Session at any point in this meeting, concerning any item listed in the agenda or to seek or to receive its attorney’s advice on legal matters related thereto, pursuant to Texas Government Code § 551.071.

Note: Because the Board members who attend the committee meeting may create a quorum of the full Board, the meeting of the Agency Operations Committee is also being posted as a meeting of the full Board. Only assigned committee members act upon any item before the Agency Operations Committee at this meeting.

Weapons Prohibited: Pursuant to Texas Penal Code § 46.03(a)(14), a person commits an offense if the person intentionally, knowingly, or recklessly possesses or goes with a firearm, location-restricted knife, club, or prohibited weapon listed in Penal Code § 46.05, in the room or rooms where a meeting of a governmental entity is held, if the meeting is an open meeting subject to the Open Meetings Act (Tex. Gov’t Code ch 551), and if the entity provided notice as required by that chapter.

Agency Operations Committee

AGENDA ITEM I

Welcome and committee chair's opening remarks

Ms. Emma Schwartz, chair of the Agency Operations Committee, will provide the committee an overview of the items on the agenda.

Agency Operations Committee

AGENDA ITEM II

Consideration and possible action to approve the minutes from April 27, 2022, committee meeting

RECOMMENDATION: Approval

DRAFT
TEXAS HIGHER EDUCATION COORDINATING BOARD
Agency Operations Committee

Wednesday, April 27, 2022; 1:26 p.m.
Board Room, 1st Floor
Room 1.170
1200 East Anderson Lane, Austin, Texas

The Agency Operations Committee convened at 1:26 p.m. on April 27, 2022, with the following members present: Emma Schwartz, presiding; Fred Farias; Robert Gauntt; Donna Williams; and Matthew Smith, ex-officio.

Other Board members present: Sam Torn

Members absent: Javaid Anwar

AGENDA ITEM	ACTION
I. Welcome and committee chair's opening remarks	Chair, Emma Schwartz, called the meeting of the Agency Operations Committee to order and called the role. A quorum was met.
II. Consideration of approval of the minutes from January 26, 2022, committee meeting	On a motion by Ms. Williams, seconded by Mr. Wilson, the committee approved the January 26, 2022, Agency Operations Committee meeting minutes.
III. Public testimony	This item did not require any action.
IV. Consent calendar	No items were listed on consent. This item did not require any action.
V. Agency operations	
A. Report on grants and contracts	Ms. Linda Natal, Director of Contract & Grant Management, was available for questions. This item did not require any action.

AGENDA ITEM	ACTION
<p>B. Consideration and possible action to approve entering a contract(s) up to \$1 million for procurement of office furniture and chairs</p>	<p>On a motion by Mr. Wilson, seconded by Mr. Gauntt, the committee approved entering a contract(s) up to \$1 million for procurement of office furniture and chairs.</p> <p>Mr. Ken Martin, Chief Operating Officer and Chief Financial Officer, presented this item to the committee and was available for questions.</p>
<p>C. Consideration and possible action to adopt proposed repeal and replacement of Rule 1.16, concerning contracts, including grants, for materials and/or services</p>	<p>On a motion by Ms. Williams, seconded by Mr. Wilson, the committee adopted the proposed repeal and replacement of Rule 1.16, concerning contracts, including grants, for materials and/or services.</p> <p>Ms. Nichole Bunker-Henderson, General Counsel, presented this item to the committee and was available for questions.</p>
VI. Finance	
<p>A. Review of the “Fiscal Year 2022 Financial Report” to the Board</p>	<p>Mr. Ken Martin, Chief Operating Officer and Chief Financial Officer, presented this item to the committee and was available for questions. This item did not require any action.</p>
<p>B. Consideration and possible action to adopt the recommendation to issue a solicitation for selection of a vendor to serve as financial advisor for the agency’s student loan program</p>	<p>On a motion by Mr. Gauntt, seconded by Mr. Wilson, the committee adopted the recommendation to issue a solicitation for selection of a vendor to serve as financial advisor for the agency’s student loan program.</p> <p>Mr. Ken Martin, Chief Operating Officer and Chief Financial Officer, presented this item to the committee and was available for questions.</p>
VII. Internal audit	
<p>A. Discussion of “The State of Texas Federal Portion of the Statewide Single Audit for the Fiscal Year Ended August 31, 2021, Report Number 22-320” issued March 2022 by the Texas State Auditor’s Office</p>	<p>Ms. Bhakti Patel, Partner at Clifton Larson Allen, presented this item to the committee and was available for questions. This item did not require any action.</p>
<p>B. Discussion of Statements on Auditing Standards No. 114, The Auditor’s Communication with Those Charged with Governance</p>	<p>Ms. Susan Warren, Audit Partner with KPMG LLP, presented this item and was available for questions. This item did not require any action.</p>

AGENDA ITEM	ACTION
<p>C. Auditor's update on internal audit reports and activities</p> <p>(1) "Data Modernization Initiative Review and Advise – March 2022 Interim Status Report"</p> <p>(2) "GEER Fund Review and Advise – March 2022 Interim Status Report"</p>	<p>Mr. Mark Poehl, Assistant Commissioner for Internal Audit and Compliance, and Mr. Paul Maeyaert, Assistant Director of Internal Audit and Compliance, presented this item and were available for questions. This item did not require any action.</p>
VII. Compliance monitoring	
<p>A. Auditor's update on state and federal compliance monitoring reports and activities</p> <p>(1) "Compliance Monitoring Desk Review of Formula Funding at Victoria College"</p> <p>(2) "Compliance Monitoring Desk Review of Formula Funding at Brazosport College"</p> <p>(3) "Compliance Monitoring Desk Review of Formula Funding at Western Texas College"</p> <p>(4) "Compliance Monitoring Desk Review of Formula Funding at Ranger College"</p> <p>(5) "Compliance Monitoring Audit of Formula Funding at Lone Star College System"</p> <p>(6) "Review of Compliance Requirements - Texas Education Code Chapter 51 Subchapters E-2 and E-3 at Our Lady of the Lake University"</p> <p>(7) "Review of Compliance Requirements - Texas Education Code Chapter 51 Subchapters E-2 and E-3 at Texas Woman's University"</p> <p>(8) "Review of Compliance Requirements - Texas Education Code Chapter 51 Subchapters E-2 and E-3 at Wayland Baptist University"</p> <p>(9) "Consolidated Report for E-2 and E-3 Compliance Review Academic Year 2021"</p> <p>(10) "Compliance Requirements - Texas Education Code Chapter 51 Subchapters E-2 and E-3 at North Central Texas College"</p> <p>11) "Compliance Requirements - Texas Education Code Chapter 51 Subchapters E-2 and E-3 at Texas Southmost College Report"</p>	<p>Mr. Mark Poehl, Assistant Commissioner for Internal Audit and Compliance, and Mr. Paul Maeyaert, Assistant Director of Internal Audit and Compliance, presented this item to the committee and were available for questions. This item did not require any action.</p>

AGENDA ITEM	ACTION
VIII. Adjournment	On a motion by Ms. Williams, seconded by Mr. Gauntt, the meeting adjourned at approximately 2:12 p.m.

Agency Operations Committee

AGENDA ITEM III

Public testimony on agenda items relating to the Agency Operations Committee

RECOMMENDATION: No action required

Background Information:

The presiding chair shall designate whether public testimony will be taken at the beginning of the meeting, at the time the related item is taken up by the Board after staff has presented the item, or any other time as determined by the presiding chair.

Agency Operations Committee

AGENDA ITEM IV

Consideration and possible action to approve the consent calendar

RECOMMENDATION: Approval

Background Information:

To ensure meetings are efficient and to save institutions time and travel costs to attend the Agency Operations Committee meetings in Austin, the committee has a consent calendar for items that are noncontroversial. Any item can be removed from the consent calendar by a Board member.

Consent Calendar

V. Matters relating to the Agency Operations Committee

No agenda items listed

Agency Operations Committee

AGENDA ITEM V-A

Report on grants and contracts

RECOMMENDATION: No action required

Background Information:

Texas Administrative Code, Title 19, Rule 1.16(j), establishes that any contract for the purchase of goods or services that exceeds \$1 million may be entered into only if the contract is approved and signed by the Commissioner of the Texas Higher Education Coordinating Board (THECB), to whom the board of the THECB (Board), by virtue of this rule, delegates such approval and signature authority. In addition to the Board receiving a quarterly report on “Contracts Executed by the Agency in Accordance with Board Rule 1.16,” the THECB staff also provides the Board a quarterly report highlighting and listing all grants and contracts exceeding \$1 million.

Linda Natal, Director of Contract and Grant Management, is available to answer questions.



Contracts Executed by the Agency Over \$1 Million

March 19, 2022 – June 3, 2022

Contracts Executed by the Agency Over \$1 Million

Division Unit	Contract #	Performing Agent	Description	Active Date	Total \$
011 College Readiness	25206	Texas A&M University	Advise TX College Advising Corps Grant	4/7/2022	1,980,000.00
065 Funding	26909	Health & Human Svcs Commission	Medical Education	5/6/2022	14,797,279.58
070 Academic and Health Affairs	26199	Scott & White Hospital- Round Rock	Graduate Medical Education Expansion Program	5/18/2022	3,000,000.00
070 Academic and Health Affairs	26198	Scott & White Hospital- Round Rock	Graduate Medical Education Expansion Program	5/18/2022	2,700,000.00
070 Academic and Health Affairs	26436	Baylor College of Medicine	Graduate Medical Education Expansion Program	6/1/2022	2,250,000.00
070 Academic and Health Affairs	26196	Baylor College of Medicine	Graduate Medical Education Expansion Program	6/3/2022	1,800,000.00
070 Academic and Health Affairs	26437	Baylor College of Medicine	Graduate Medical Education Expansion Program	6/1/2022	1,800,000.00
070 Academic and Health Affairs	26440	Texas A&M Health Science Center (College of Medicine)	Graduate Medical Education Expansion Program	6/3/2022	1,575,000.00
070 Academic and Health Affairs	26220	Baylor University Medical Center (Dallas)	Graduate Medical Education Expansion Program	5/17/2022	1,350,000.00
101 Student Engagement	26813	Deloitte Consulting LLP	Develop My Texas Future Portal	4/5/2022	2,638,514.00
146 Comm DL Training & R	26932	Texas State University System	Transportation Industry Initiatives	5/19/2022	3,000,000.00
201 Workforce Education	26882	El Paso County Community College District	Texas Reskilling Support Fund Grant Amendment	5/4/2022	1,000,000.00
					\$37,890,793.58



Contracts Executed by the Agency in Accordance with Board Rule 1.16

March 19, 2022 – June 3, 2022

Contracts Executed by the Agency in Accordance with Board Rule 1.16

Division Unit	Contract #	Performing Agent	Description	Active Date	Total \$
029 Open Education Resource Admin	26789	Crshema Murray	No-cost Amendment	4/26/2022	0.00
065 Funding	26909	Health & Human Svcs Commission	Medical Education	5/6/2022	14,797,279.58
070 Academic and Health Affairs	26199	Scott & White Hospital- Round Rock	Graduate Medical Education Expansion Program	5/18/2022	3,000,000.00
070 Academic and Health Affairs	26198	Scott & White Hospital- Round Rock	Graduate Medical Education Expansion Program	5/18/2022	2,700,000.00
070 Academic and Health Affairs	26436	Baylor College of Medicine	Graduate Medical Education Expansion Program	6/1/2022	2,250,000.00
070 Academic and Health Affairs	26196	Baylor College of Medicine	Graduate Medical Education Expansion Program	6/3/2022	1,800,000.00
070 Academic and Health Affairs	26437	Baylor College of Medicine	Graduate Medical Education Expansion Program	6/1/2022	1,800,000.00
070 Academic and Health Affairs	26440	Texas A&M Health Science Center (College of Medicine)	Graduate Medical Education Expansion Program	6/3/2022	1,575,000.00
070 Academic and Health Affairs	26220	Baylor University Medical Center (Dallas)	Graduate Medical Education Expansion Program	5/17/2022	1,350,000.00
070 Academic and Health Affairs	26195	Baylor College of Medicine	Graduate Medical Education Expansion Program	5/25/2022	525,000.00
070 Academic and Health Affairs	26207	Texas A&M Health Science Center (College of Medicine)	Graduate Medical Education Expansion Program	6/3/2022	450,000.00
070 Academic and Health Affairs	26507	Texas Tech University Health Sciences Center	Graduate Medical Education Expansion Program	6/1/2022	300,000.00
070 Academic and Health Affairs	26524	Texas A&M University	No-Cost Extension Amendment	3/28/2022	0.00
070 Academic and Health Affairs	26628	Texas Woman's University	No-Cost Extension Amendment	4/21/2022	0.00
070 Academic and Health Affairs	26629	Baylor University	No-Cost Extension Amendment	3/30/2022	0.00
070 Academic and Health Affairs	26630	Texas A&M University	No-Cost Extension Amendment	4/1/2022	0.00
072 TASFA Development Admin	26526	Esolvit Inc	Temporary Personnel-Software Developer Level 1	4/21/2022	93,896.00
075 OnCourse	26698	The University of Texas At Austin (Serv)	Research and Data Services Amendment	4/8/2022	20,000.00
076 Wagner-Peyser Grad TX 17.207	25858	The Brookings Institution	Labor Market Information	4/7/2022	250,000.00
081 Upskill and Re-skill	26639	University of Houston -Clear Lake	No-cost Amendment	4/25/2022	0.00
081 Upskill and Re-skill	26640	Lone Star College System	No-cost Amendment	5/18/2022	0.00
081 Upskill and Re-skill	26641	Austin Community College	No-cost Amendment	5/19/2022	0.00
081 Upskill and Re-skill	26642	South Texas College	No-cost Amendment	5/2/2022	0.00
081 Upskill and Re-skill	26643	The University of Texas at Tyler	No-cost Amendment	4/25/2022	0.00
081 Upskill and Re-skill	26644	College of the Mainland	No-cost Amendment	4/25/2022	0.00
081 Upskill and Re-skill	26645	Dallas College	No-cost Amendment	4/25/2022	0.00
081 Upskill and Re-skill	26646	Midwestern State University	No-cost Amendment	4/25/2022	0.00
081 Upskill and Re-skill	26647	Houston Community College System	No-cost Amendment	4/25/2022	0.00
081 Upskill and Re-skill	26648	San Jacinto College District	No-cost Amendment	5/10/2022	0.00
081 Upskill and Re-skill	26649	The University of Texas at Arlington	No-cost Amendment	5/9/2022	0.00
081 Upskill and Re-skill	26654	Texas A&M University-Kingsville	No-cost Amendment	5/2/2022	0.00
081 Upskill and Re-skill	26656	Our Lady of The Lake University of San Antonio	No-cost Amendment	5/4/2022	0.00
081 Upskill and Re-skill	26657	Texas State Technical College System	No-cost Amendment	4/25/2022	0.00
081 Upskill and Re-skill	26658	Panola College	No-cost Amendment	4/28/2022	0.00
081 Upskill and Re-skill	26659	Galveston College	No-cost Amendment	4/25/2022	0.00
081 Upskill and Re-skill	26660	Hill College	No-cost Amendment	5/2/2022	0.00
081 Upskill and Re-skill	26661	The University of Texas at El Paso	No-cost Amendment	5/9/2022	0.00
081 Upskill and Re-skill	26662	South Texas College	No-cost Amendment	5/2/2022	0.00
081 Upskill and Re-skill	26663	Alamo Community College District	No-cost Amendment	5/18/2022	0.00
081 Upskill and Re-skill	26665	Tarleton State University	No-cost Amendment	5/2/2022	0.00
081 Upskill and Re-skill	26666	Lamar State College-Port Arthur	No-cost Amendment	4/25/2022	0.00
081 Upskill and Re-skill	26667	Texas A&M International University	No-cost Amendment	5/2/2022	0.00
081 Upskill and Re-skill	26668	Prairie View A&M University	No-cost Amendment	5/18/2022	0.00
081 Upskill and Re-skill	26669	Grayson College	No-cost Amendment	4/25/2022	0.00
081 Upskill and Re-skill	26670	Collin County Community College District	No-cost Amendment	4/25/2022	0.00
081 Upskill and Re-skill	26672	Tarrant County College District	No-cost Amendment	5/19/2022	0.00
081 Upskill and Re-skill	26673	Panola College	No-cost Amendment	4/25/2022	0.00
081 Upskill and Re-skill	26674	Texas A&M University-Kingsville	No-cost Amendment	5/2/2022	0.00
081 Upskill and Re-skill	26675	Trinity Valley Community College	No-cost Amendment	4/25/2022	0.00
081 Upskill and Re-skill	26676	Kilgore College	No-cost Amendment	4/25/2022	0.00
081 Upskill and Re-skill	26678	Texas Southmost College	No-cost Amendment	5/19/2022	0.00
081 Upskill and Re-skill	26679	University of Texas Rio Grande Valley	No-cost Amendment	5/9/2022	0.00
081 Upskill and Re-skill	26680	Texas Southern University	No-cost Amendment	5/18/2022	0.00
081 Upskill and Re-skill	26681	McLennan Community College	No-cost Amendment	5/19/2022	0.00
081 Upskill and Re-skill	26682	Texas State University	No-cost Amendment	5/6/2022	0.00
081 Upskill and Re-skill	26683	Ranger College	No-cost Amendment	4/25/2022	0.00
081 Upskill and Re-skill	26685	Lamar University	No-cost Amendment	5/10/2022	0.00
081 Upskill and Re-skill	26686	El Paso County Community College District	No-cost Amendment	4/25/2022	0.00
081 Upskill and Re-skill	26687	Texas Tech University	No-cost Amendment	4/26/2022	0.00
081 Upskill and Re-skill	26688	Tarleton State University	No-cost Amendment	5/2/2022	0.00
081 Upskill and Re-skill	26689	Temple College	No-cost Amendment	5/11/2022	0.00
081 Upskill and Re-skill	26691	Stephen F. Austin State University	No-cost Amendment	5/18/2022	0.00
081 Upskill and Re-skill	26692	Texas A&M International University	No-cost Amendment	5/2/2022	0.00
081 Upskill and Re-skill	26697	University of Texas Rio Grande Valley	No-cost Amendment	5/9/2022	0.00
081 Upskill and Re-skill	26700	Cisco College	No-cost Amendment	5/19/2022	0.00
081 Upskill and Re-skill	26701	Collin County Community College District	No-cost Amendment	4/26/2022	0.00
081 Upskill and Re-skill	26702	Lamar State College-Orange	No-cost Amendment	5/9/2022	0.00

Division Unit	Contract #	Performing Agent	Description	Active Date	Total \$
081 Upskill and Re-skill	26703	Lamar University	No-cost Amendment	5/9/2022	0.00
081 Upskill and Re-skill	26704	Lone Star College System	No-cost Amendment	5/9/2022	0.00
081 Upskill and Re-skill	26705	McLennan Community College	No-cost Amendment	5/19/2022	0.00
081 Upskill and Re-skill	26707	Sam Houston State University	No-cost Amendment	5/10/2022	0.00
081 Upskill and Re-skill	26708	San Jacinto College District	No-cost Amendment	5/10/2022	0.00
081 Upskill and Re-skill	26709	South Texas College	No-cost Amendment	5/2/2022	0.00
081 Upskill and Re-skill	26710	Texas A&M University-Corpus Christi	No-cost Amendment	5/18/2022	0.00
081 Upskill and Re-skill	26711	Texas A&M University-Kingsville	No-cost Amendment	5/2/2022	0.00
081 Upskill and Re-skill	26712	Texas Southern University	No-cost Amendment	5/18/2022	0.00
081 Upskill and Re-skill	26713	Texas State Technical College System	No-cost Amendment	4/26/2022	0.00
081 Upskill and Re-skill	26714	Trinity Valley Community College	No-cost Amendment	4/26/2022	0.00
081 Upskill and Re-skill	26716	University of North Texas at Dallas	No-cost Amendment	5/9/2022	0.00
081 Upskill and Re-skill	26717	The University of Texas at Arlington	No-cost Amendment	5/9/2022	0.00
081 Upskill and Re-skill	26718	The University of Texas at Permian Basin	No-cost Amendment	4/26/2022	0.00
081 Upskill and Re-skill	26719	Vernon College	No-cost Amendment	4/26/2022	0.00
081 Upskill and Re-skill	26720	Victoria College	No-cost Amendment	5/2/2022	0.00
081 Upskill and Re-skill	26721	Wharton County Junior College	No-cost Amendment	4/26/2022	0.00
081 Upskill and Re-skill	26722	Texas State University	No-cost Amendment	5/2/2022	0.00
081 Upskill and Re-skill	26723	El Paso County Community College District	No-cost Amendment	4/26/2022	0.00
081 Upskill and Re-skill	26724	The University of Texas at San Antonio	No-cost Amendment	4/26/2022	0.00
081 Upskill and Re-skill	26828	Workquest Temps	Temporary Personnel-Executive Assistant III	4/14/2022	34,229.20
082 Online Learning	26849	The University of Texas At Austin (Serv)	Course Redesign and Instructional Material Development	6/2/2022	650,000.00
082 Online Learning	26850	Texas A&M University (Service)	Course Redesign and Instructional Material Development	6/2/2022	650,000.00
082 Online Learning	26182	The University of Texas At Austin (Serv)	Design Research	4/13/2022	161,000.00
082 Online Learning	26814	Colin County Community College District	Negative Amendment	4/20/2022	-12,250.00
082 Online Learning	26184	Rice University	Research and Discovery	4/8/2022	30,000.00
083C Data Infrastructure	26819	Girling Kelly Design Group LLC DBA Artefact	Human Centered Research and Experience Design Amendment	4/13/2022	52,310.00
083D Data Infrastructure	26793	Forum One Communications Corporation	Online Data Tool	4/6/2022	475,000.00
0831 Data Infrastructure	26306	Esolvit Inc	Temporary Personnel-Implementation Lead Senior Level	5/4/2022	140,400.00
0831 Data Infrastructure	26307	Esolvit Inc	Temporary Personnel-Implementation Lead Senior Level	5/4/2022	130,000.00
092 Accelerating Credentials	26610	Alamo Community College District	No-cost Amendment	6/2/2022	0.00
092 Accelerating Credentials	26733	Alvin Community College	No-cost Amendment	5/12/2022	0.00
092 Accelerating Credentials	26734	Austin Community College	No-cost Amendment	5/4/2022	0.00
092 Accelerating Credentials	26735	Brazosport College	No-cost Amendment	5/4/2022	0.00
092 Accelerating Credentials	26736	Coastal Bend College	No-cost Amendment	5/12/2022	0.00
092 Accelerating Credentials	26737	Grayson College	No-cost Amendment	5/4/2022	0.00
092 Accelerating Credentials	26738	Lamar University	No-cost Amendment	5/12/2022	0.00
092 Accelerating Credentials	26739	Lee College	No-cost Amendment	5/4/2022	0.00
092 Accelerating Credentials	26740	McLennan Community College	No-cost Amendment	5/4/2022	0.00
092 Accelerating Credentials	26745	Panola College	No-cost Amendment	5/4/2022	0.00
092 Accelerating Credentials	26746	Prairie View A&M University	No-cost Amendment	5/4/2022	0.00
092 Accelerating Credentials	26750	Texas Tech Univ Health SC - El Paso (774)	No-cost Amendment	5/12/2022	0.00
092 Accelerating Credentials	26751	Sam Houston State University	No-cost Amendment	5/4/2022	0.00
092 Accelerating Credentials	26754	Tarleton State University	No-cost Amendment	5/4/2022	0.00
092 Accelerating Credentials	26755	Temple College	No-cost Amendment	5/4/2022	0.00
092 Accelerating Credentials	26756	Texas A&M International University	No-cost Amendment	5/4/2022	0.00
092 Accelerating Credentials	26757	Texas A&M International University	No-cost Amendment	5/4/2022	0.00
092 Accelerating Credentials	26758	Texas A&M University	No-cost Amendment	5/12/2022	0.00
092 Accelerating Credentials	26759	Texas A&M University System	No-cost Amendment	5/5/2022	0.00
092 Accelerating Credentials	26761	Texas A&M University - Central Texas	No-cost Amendment	5/4/2022	0.00
092 Accelerating Credentials	26762	Texas A&M University - Central Texas	No-cost Amendment	5/12/2022	0.00
092 Accelerating Credentials	26763	Texas A&M University-Commerce	No-cost Amendment	5/12/2022	0.00
092 Accelerating Credentials	26764	Texas A&M University-Kingsville	No-cost Amendment	5/5/2022	0.00
092 Accelerating Credentials	26766	Texas State University	No-cost Amendment	5/9/2022	0.00
092 Accelerating Credentials	26767	Texas Tech University Health Sciences Center	No-cost Amendment	5/5/2022	0.00
092 Accelerating Credentials	26768	University of Houston	No-cost Amendment	5/12/2022	0.00
092 Accelerating Credentials	26769	University of Houston	No-cost Amendment	5/9/2022	0.00
092 Accelerating Credentials	26770	University of North Texas	No-cost Amendment	5/12/2022	0.00
092 Accelerating Credentials	26771	University of North Texas Health Science Center at Fort Worth	No-cost Amendment	5/6/2022	0.00
092 Accelerating Credentials	26772	The University of Texas at Arlington	No-cost Amendment	5/5/2022	0.00
092 Accelerating Credentials	26773	The University of Texas at Dallas	No-cost Amendment	5/5/2022	0.00
092 Accelerating Credentials	26776	The University of Texas at Permian Basin	No-cost Amendment	5/6/2022	0.00
092 Accelerating Credentials	26777	University of Texas Rio Grande Valley	No-cost Amendment	5/6/2022	0.00
092 Accelerating Credentials	26778	University of Texas Rio Grande Valley	No-cost Amendment	5/9/2022	0.00
092 Accelerating Credentials	26779	The University of Texas at San Antonio	No-cost Amendment	5/6/2022	0.00
092 Accelerating Credentials	26782	The University of Texas System	No-cost Amendment	5/6/2022	0.00
092 Accelerating Credentials	26783	University of North Texas	No-cost Amendment	5/6/2022	0.00

Division Unit	Contract #	Performing Agent	Description	Active Date	Total \$
092 Accelerating Credentials	26810	The University of Texas at El Paso	No-cost Amendment	5/12/2022	0.00
092 Accelerating Credentials	26811	The University of Texas Medical Branch at Galveston	No-cost Amendment	5/6/2022	0.00
092 Accelerating Credentials	26812	Texas A&M University-San Antonio	No-cost Amendment	5/12/2022	0.00
093 Work Based Learning Workflow A	26612	Texas Workforce Commission	Internship Conference	4/29/2022	25,000.00
093 Work Based Learning Workflow A	26730	Workquest Temps	Temporary Personnel-Outreach Specialist IV	4/14/2022	39,129.52
093 Work Based Learning Workflow B	26540	Dallas College	Work-based Learning Opportunity Grant Apprenticeships	3/24/2022	165,000.00
093 Work Based Learning Workflow B	26564	South Texas College	Work-based Learning Opportunity Grant Apprenticeships	3/28/2022	164,974.00
093 Work Based Learning Workflow B	26542	San Jacinto College District	Work-based Learning Opportunity Grant Apprenticeships	3/28/2022	149,400.00
093 Work Based Learning Workflow B	26541	El Paso County Community College District	Work-based Learning Opportunity Grant Apprenticeships	3/30/2022	136,000.00
093 Work Based Learning Workflow B	26539	Collin County Community College District	Work-based Learning Opportunity Grant Apprenticeships	3/28/2022	27,500.00
093 Work Based Learning Workflow B	26527	Blinn College	Work-based Learning Opportunity Grant Apprenticeships	3/28/2022	15,208.00
093 Work Based Learning Workflow B	26544	Austin Community College	Work-based Learning Opportunity Grant Internships	3/26/2022	250,000.00
093 Work Based Learning Workflow B	26547	Dallas College	Work-based Learning Opportunity Grant Internships	3/24/2022	250,000.00
093 Work Based Learning Workflow B	26550	Tarleton State University	Work-based Learning Opportunity Grant Internships	3/26/2022	250,000.00
093 Work Based Learning Workflow B	26556	University of Houston -Clear Lake	Work-based Learning Opportunity Grant Internships	3/23/2022	250,000.00
093 Work Based Learning Workflow B	26559	University of North Texas	Work-based Learning Opportunity Grant Internships	3/28/2022	250,000.00
093 Work Based Learning Workflow B	26560	The University of Texas at Arlington	Work-based Learning Opportunity Grant Internships	4/1/2022	250,000.00
093 Work Based Learning Workflow B	26561	The University of Texas at San Antonio	Work-based Learning Opportunity Grant Internships	3/23/2022	250,000.00
093 Work Based Learning Workflow B	26565	South Texas College	Work-based Learning Opportunity Grant Internships	3/28/2022	250,000.00
093 Work Based Learning Workflow B	26614	The University of Texas at Austin	Work-based Learning Opportunity Grant Internships	4/6/2022	250,000.00
093 Work Based Learning Workflow B	26551	Texas A&M University-Corpus Christi	Work-based Learning Opportunity Grant Internships	3/23/2022	249,886.00
093 Work Based Learning Workflow B	26549	Palo Alto College	Work-based Learning Opportunity Grant Internships	4/6/2022	225,000.00
093 Work Based Learning Workflow B	26563	The University of Texas at Permian Basin	Work-based Learning Opportunity Grant Internships	3/23/2022	225,000.00
093 Work Based Learning Workflow B	26562	The University of Texas at Tyler	Work-based Learning Opportunity Grant Internships	3/28/2022	190,500.00
093 Work Based Learning Workflow B	26555	Texas Woman's University	Work-based Learning Opportunity Grant Internships	4/1/2022	175,000.00
093 Work Based Learning Workflow B	26543	Angelo State University	Work-based Learning Opportunity Grant Internships	3/30/2022	151,296.00
093 Work Based Learning Workflow B	26553	Texas Southmost College	Work-based Learning Opportunity Grant Internships	4/1/2022	140,107.00
093 Work Based Learning Workflow B	26554	Texas State Technical College System	Work-based Learning Opportunity Grant Internships	3/26/2022	135,829.00
093 Work Based Learning Workflow B	26545	Blinn College	Work-based Learning Opportunity Grant Internships	3/28/2022	125,316.00
093 Work Based Learning Workflow B	26546	Collin County Community College District	Work-based Learning Opportunity Grant Internships	3/28/2022	102,240.00
093 Work Based Learning Workflow B	26558	University of Houston -Victoria	Work-based Learning Opportunity Grant Internships	3/28/2022	78,678.00
093 Work Based Learning Workflow B	26552	Texas A&M University-San Antonio	Work-based Learning Opportunity Grant Internships	3/26/2022	55,200.00
095 Community College Cost Analysis	26749	Workquest Temps	Temporary Personnel-Deputy Director II	4/7/2022	14,904.00
098 Transfer Grants	26904	Shi Government Solutions Inc	5 Year License Subscription, Hosting and Support	5/11/2022	900,000.00
098 Transfer Grants	26188	MDRC	Research Analysis Amendment	4/12/2022	175,000.00
098 Transfer Grants	26577	Texas A&M University Texarkana Service	Student Aid	4/13/2022	50,000.00
098 Transfer Grants	26343	Texas A&M Health Science Ctr (Service)	Student Aid	5/19/2022	25,000.00
099 Student Success Consortium Workflow A	26193	Ithaka Harbors Inc	Inventory of all Student Success Initiative Programs and Building Evidence Based Framework	4/11/2022	950,000.00
099 Student Success Consortium Workflow A	26310	Texas A&M University Commerce (Service)	Student Success Inventory Program	4/1/2022	500,000.00
100 Advising Resource	26109	National Postsecondary Strategy Institute	Advanced Professional Development	4/25/2022	15,000.00
105 Data Security Access	26344	Esolvit Inc	Temporary Personnel-Implementation Lead Senior Level	5/11/2022	136,812.00
146 Comm DL Training & R	26932	Texas State University System	Transportation Industry Initiatives	5/19/2022	3,000,000.00
201 Data Management and Research	25860	Strada Collaborative Inc d/b/a Roadtrip Nation	My Texas Future Initiative	4/8/2022	265,000.00
201 Information Solutions and Services	26354	CDW Government Inc	Cisco Meraki Cloud Managed and Licenses	5/9/2022	30,313.36
201 Information Solutions and Services	26930	Dell Marketing LP	Dell Latitude 7530 Laptops	5/16/2022	200,211.00
201 Information Solutions and Services	26881	Shi Government Solutions Inc	Erwin Data Modeler License and Maintenance Renewal	4/29/2022	19,528.80
201 Information Solutions and Services	26891	CDW Government Inc	Kensington USB Display Port Adapter and USB-C	4/28/2022	12,562.00
201 Information Solutions and Services	26855	Ricoh USA Inc	Managed Print Services and Print Management Software Installation	5/24/2022	29,160.96
201 Information Solutions and Services	26842	Microsoft Corporation	Microsoft Premier Support package	5/5/2022	53,910.00
201 Information Solutions and Services	26784	Web-Head Technologies Inc	Renewal WebHead Hosting Service	4/18/2022	22,230.78
201 Internal Audit	26869	KPMG LLP	Fiscal Audit Amendment	5/19/2022	0.00
201 Internal Audit	26916	Richard Tarr	Quality Assurance Review	5/24/2022	18,500.00
201 Workforce Education	26925	Workquest Temps	Temporary Personnel-Repository Specialist	6/2/2022	10,863.36
201 Workforce Education	26882	El Paso County Community College District	Texas Reskilling Support Fund Amendment	5/4/2022	1,000,000.00
201 Workforce Education	26885	Texas State Technical College System	Texas Reskilling Support Fund Amendment	5/4/2022	500,000.00
201 Workforce Education	26884	South Texas College	Texas Reskilling Support Fund Amendment	5/9/2022	200,000.00
201 Workforce Education	26887	The University of Texas at El Paso	Texas Reskilling Support Fund Amendment	5/4/2022	200,000.00
201 Workforce Education	26886	Grayson College	Texas Reskilling Support Fund Amendment	5/6/2022	157,590.00
201 Workforce Education	26883	Midwestern State University	Texas Reskilling Support Fund Amendment	5/4/2022	157,000.00
201 Workforce Education	26945	Clover Educational Consulting	Texas Works Internship Program	6/2/2022	10,500.00
201F Commissioner's Office	26467	Optimum Consultancy Service LLC	Smartsheet Licenses Renewal	4/20/2022	73,650.66
201F Commissioner's Office	26731	Texas Correctional Industries	Storm Mesh Ergo Task Chairs	4/14/2022	157,050.00
317 Perkins-AQ&W Admin	26423	Texas State Technical College System	2021-2022 Perkins Basic Reallocation	4/6/2022	453,480.00
317 Perkins-AQ&W Admin	26397	Houston Community College System	2021-2022 Perkins Basic Reallocation	4/26/2022	422,975.00
317 Perkins-AQ&W Admin	26417	South Texas College	2021-2022 Perkins Basic Reallocation	4/13/2022	419,958.00
317 Perkins-AQ&W Admin	26377	Alamo Community College District	2021-2022 Perkins Basic Reallocation	4/26/2022	401,065.00
317 Perkins-AQ&W Admin	26390	Dallas College	2021-2022 Perkins Basic Reallocation	4/11/2022	260,732.00

Contracts Executed by the Agency in Accordance with Board Rule 1.16

Division Unit	Contract #	Performing Agent	Description	Active Date	Total \$
317 Perkins-AQ&W Admin	26405	Lone Star College System	2021-2022 Perkins Basic Reallocation	4/6/2022	217,493.00
317 Perkins-AQ&W Admin	26425	Tyler Junior College	2021-2022 Perkins Basic Reallocation	4/6/2022	213,882.00
317 Perkins-AQ&W Admin	26415	San Jacinto College District	2021-2022 Perkins Basic Reallocation	5/2/2022	202,629.00
317 Perkins-AQ&W Admin	26381	Austin Community College	2021-2022 Perkins Basic Reallocation	4/13/2022	196,700.00
317 Perkins-AQ&W Admin	26419	Tarrant County College District	2021-2022 Perkins Basic Reallocation	4/6/2022	193,236.00
317 Perkins-AQ&W Admin	26389	Collin County Community College District	2021-2022 Perkins Basic Reallocation	4/6/2022	180,037.00
317 Perkins-AQ&W Admin	26379	Amarillo College	2021-2022 Perkins Basic Reallocation	4/2/2022	150,250.00
317 Perkins-AQ&W Admin	26392	El Paso County Community College District	2021-2022 Perkins Basic Reallocation	4/6/2022	148,662.00
317 Perkins-AQ&W Admin	26404	Lee College	2021-2022 Perkins Basic Reallocation	4/4/2022	128,034.00
317 Perkins-AQ&W Admin	26384	Central Texas College	2021-2022 Perkins Basic Reallocation	4/4/2022	127,387.00
317 Perkins-AQ&W Admin	26416	South Plains College	2021-2022 Perkins Basic Reallocation	4/4/2022	124,293.00
317 Perkins-AQ&W Admin	26391	Del Mar College	2021-2022 Perkins Basic Reallocation	4/4/2022	120,369.00
317 Perkins-AQ&W Admin	26406	McLennan Community College	2021-2022 Perkins Basic Reallocation	4/13/2022	119,199.00
317 Perkins-AQ&W Admin	26403	Laredo Community College	2021-2022 Perkins Basic Reallocation	4/4/2022	103,788.00
317 Perkins-AQ&W Admin	26395	Grayson College	2021-2022 Perkins Basic Reallocation	4/4/2022	101,876.00
317 Perkins-AQ&W Admin	26400	Lamar Institute of Technology	2021-2022 Perkins Basic Reallocation	4/4/2022	100,447.00
317 Perkins-AQ&W Admin	26382	Blinn College	2021-2022 Perkins Basic Reallocation	4/2/2022	93,748.00
317 Perkins-AQ&W Admin	26421	Texarkana College	2021-2022 Perkins Basic Reallocation	4/6/2022	77,437.00
317 Perkins-AQ&W Admin	26387	Coastal Bend College	2021-2022 Perkins Basic Reallocation	4/26/2022	73,849.00
317 Perkins-AQ&W Admin	26399	Kilgore College	2021-2022 Perkins Basic Reallocation	4/4/2022	67,949.00
317 Perkins-AQ&W Admin	26409	North Central Texas Community College District	2021-2022 Perkins Basic Reallocation	4/26/2022	65,743.00
317 Perkins-AQ&W Admin	26408	Navarro College	2021-2022 Perkins Basic Reallocation	4/4/2022	65,226.00
317 Perkins-AQ&W Admin	26411	Odessa College	2021-2022 Perkins Basic Reallocation	4/13/2022	64,426.00
317 Perkins-AQ&W Admin	26402	Lamar State College-Port Arthur	2021-2022 Perkins Basic Reallocation	4/4/2022	61,473.00
317 Perkins-AQ&W Admin	26424	Trinity Valley Community College	2021-2022 Perkins Basic Reallocation	4/6/2022	60,496.00
317 Perkins-AQ&W Admin	26380	Angelina College	2021-2022 Perkins Basic Reallocation	4/2/2022	59,585.00
317 Perkins-AQ&W Admin	26412	Panola College	2021-2022 Perkins Basic Reallocation	4/4/2022	53,615.00
317 Perkins-AQ&W Admin	26418	Southwest Texas Junior College	2021-2022 Perkins Basic Reallocation	4/6/2022	52,897.00
317 Perkins-AQ&W Admin	26426	Vernon College	2021-2022 Perkins Basic Reallocation	4/6/2022	52,173.00
317 Perkins-AQ&W Admin	26413	Paris Junior College	2021-2022 Perkins Basic Reallocation	4/26/2022	52,085.00
317 Perkins-AQ&W Admin	26401	Lamar State College-Orange	2021-2022 Perkins Basic Reallocation	4/4/2022	50,556.00
317 Perkins-AQ&W Admin	26422	Texas Southmost College	2021-2022 Perkins Basic Reallocation	4/7/2022	45,639.00
317 Perkins-AQ&W Admin	26410	Northeast Texas Community College	2021-2022 Perkins Basic Reallocation	4/4/2022	40,404.00
317 Perkins-AQ&W Admin	26430	Wharton County Junior College	2021-2022 Perkins Basic Reallocation	4/7/2022	40,392.00
317 Perkins-AQ&W Admin	26388	College of the Mainland	2021-2022 Perkins Basic Reallocation	4/4/2022	39,562.00
317 Perkins-AQ&W Admin	26427	Victoria College	2021-2022 Perkins Basic Reallocation	4/6/2022	38,068.00
317 Perkins-AQ&W Admin	26428	Weatherford College	2021-2022 Perkins Basic Reallocation	4/7/2022	35,421.00
317 Perkins-AQ&W Admin	26385	Cisco College	2021-2022 Perkins Basic Reallocation	4/4/2022	33,345.00
317 Perkins-AQ&W Admin	26407	Midland College	2021-2022 Perkins Basic Reallocation	4/13/2022	32,616.00
317 Perkins-AQ&W Admin	26398	Howard College	2021-2022 Perkins Basic Reallocation	4/6/2022	31,775.00
317 Perkins-AQ&W Admin	26420	Temple College	2021-2022 Perkins Basic Reallocation	4/6/2022	30,651.00
317 Perkins-AQ&W Admin	26394	Galveston College	2021-2022 Perkins Basic Reallocation	4/4/2022	30,134.00
317 Perkins-AQ&W Admin	26396	Hill College	2021-2022 Perkins Basic Reallocation	4/4/2022	27,634.00
317 Perkins-AQ&W Admin	26378	Alvin Community College	2021-2022 Perkins Basic Reallocation	4/2/2022	25,875.00
317 Perkins-AQ&W Admin	26383	Brazosport College	2021-2022 Perkins Basic Reallocation	4/6/2022	24,134.00
317 Perkins-AQ&W Admin	26414	Ranger College	2021-2022 Perkins Basic Reallocation	4/4/2022	19,846.00
317 Perkins-AQ&W Admin	26386	Clarendon College	2021-2022 Perkins Basic Reallocation	4/13/2022	17,358.00
317 Perkins-AQ&W Admin	26393	Frank Phillips College	2021-2022 Perkins Basic Reallocation	4/7/2022	16,040.00
317 Perkins-AQ&W Admin	26429	Western Texas College	2021-2022 Perkins Basic Reallocation	4/7/2022	7,229.00
510 SFAP - Student Loan Admin	26905	Workquest Temps	Temporary Personnel-Customer Service Representative II	5/6/2022	41,958.40
510 SFAP - Student Loan Admin	26903	Workquest Temps	Temporary Personnel-Customer Service Representative II	5/6/2022	20,979.20
510 SFAP - Student Loan Admin	26906	Workquest Temps	Temporary Personnel-Customer Service Representative II	5/6/2022	20,979.20
510 SFAP - Student Loan Admin	26914	Workquest Temps	Temporary Personnel-Customer Service Representative II	5/10/2022	20,979.20
515G FAS	26463	Dallas College	Texas Educational Opportunity Grant	4/8/2022	409,967.00
515G FAS	26463	Houston Community College System	Texas Educational Opportunity Grant	4/8/2022	378,061.00
515G FAS	26463	Lone Star College System	Texas Educational Opportunity Grant	4/8/2022	370,065.00
515G FAS	26463	Tarrant County College District	Texas Educational Opportunity Grant	4/8/2022	269,650.00
515G FAS	26463	South Texas College	Texas Educational Opportunity Grant	4/8/2022	250,667.00
515G FAS	26463	El Paso County Community College District	Texas Educational Opportunity Grant	4/8/2022	197,939.00
515G FAS	26463	Austin Community College	Texas Educational Opportunity Grant	4/8/2022	180,099.00
515G FAS	26463	San Jacinto College District	Texas Educational Opportunity Grant	4/8/2022	167,932.00
515G FAS	26463	San Antonio College	Texas Educational Opportunity Grant	4/8/2022	137,629.00
515G FAS	26463	Collin County Community College District	Texas Educational Opportunity Grant	4/8/2022	114,733.00
515G FAS	26463	Blinn College	Texas Educational Opportunity Grant	4/8/2022	98,820.00
515G FAS	26463	Northwest Vista College	Texas Educational Opportunity Grant	4/8/2022	97,986.00
515G FAS	26463	Tyler Junior College	Texas Educational Opportunity Grant	4/8/2022	95,055.00
515G FAS	26463	Del Mar College	Texas Educational Opportunity Grant	4/8/2022	78,353.00

Contracts Executed by the Agency in Accordance with Board Rule 1.16

Division Unit	Contract #	Performing Agent	Description	Active Date	Total \$
515G FAS	26463	Laredo Community College	Texas Educational Opportunity Grant	4/8/2022	74,421.00
515G FAS	26463	South Plains College	Texas Educational Opportunity Grant	4/8/2022	71,725.00
515G FAS	26463	Lee College	Texas Educational Opportunity Grant	4/8/2022	70,136.00
515G FAS	26463	McLennan Community College	Texas Educational Opportunity Grant	4/8/2022	69,075.00
515G FAS	26463	Palo Alto College	Texas Educational Opportunity Grant	4/8/2022	64,439.00
515G FAS	26463	Texas Southmost College	Texas Educational Opportunity Grant	4/8/2022	59,724.00
515G FAS	26463	St. Philip's College	Texas Educational Opportunity Grant	4/8/2022	58,158.00
515G FAS	26463	Amarillo College	Texas Educational Opportunity Grant	4/8/2022	57,120.00
515G FAS	26463	Central Texas College	Texas Educational Opportunity Grant	4/8/2022	56,175.00
515G FAS	26463	Navarro College	Texas Educational Opportunity Grant	4/8/2022	53,535.00
515G FAS	26463	North Central Texas Community College District	Texas Educational Opportunity Grant	4/8/2022	49,691.00
515G FAS	26463	Southwest Texas Junior College	Texas Educational Opportunity Grant	4/8/2022	47,377.00
515G FAS	26463	Kilgore College	Texas Educational Opportunity Grant	4/8/2022	42,884.00
515G FAS	26463	Wharton County Junior College	Texas Educational Opportunity Grant	4/8/2022	39,958.00
515G FAS	26463	Trinity Valley Community College	Texas Educational Opportunity Grant	4/8/2022	39,745.00
515G FAS	26463	Temple College	Texas Educational Opportunity Grant	4/8/2022	36,916.00
515G FAS	26463	Angelina College	Texas Educational Opportunity Grant	4/8/2022	36,289.00
515G FAS	26463	Alamo Community College District (Northeast Lakeview College)	Texas Educational Opportunity Grant	4/8/2022	31,579.00
515G FAS	26463	Weatherford College	Texas Educational Opportunity Grant	4/8/2022	29,486.00
515G FAS	26463	Paris Junior College	Texas Educational Opportunity Grant	4/8/2022	28,721.00
515G FAS	26463	Northeast Texas Community College	Texas Educational Opportunity Grant	4/8/2022	28,016.00
515G FAS	26463	Odessa College	Texas Educational Opportunity Grant	4/8/2022	27,897.00
515G FAS	26463	Coastal Bend College	Texas Educational Opportunity Grant	4/8/2022	27,482.00
515G FAS	26463	Cisco College	Texas Educational Opportunity Grant	4/8/2022	26,730.00
515G FAS	26463	Grayson College	Texas Educational Opportunity Grant	4/8/2022	25,569.00
515G FAS	26463	College of the Mainland	Texas Educational Opportunity Grant	4/8/2022	25,044.00
515G FAS	26463	Texarkana College	Texas Educational Opportunity Grant	4/8/2022	24,882.00
515G FAS	26463	Alvin Community College	Texas Educational Opportunity Grant	4/8/2022	24,062.00
515G FAS	26463	Victoria College	Texas Educational Opportunity Grant	4/8/2022	23,251.00
515G FAS	26463	Vernon College	Texas Educational Opportunity Grant	4/8/2022	22,689.00
515G FAS	26463	Hill College	Texas Educational Opportunity Grant	4/8/2022	22,578.00
515G FAS	26463	Howard College	Texas Educational Opportunity Grant	4/8/2022	22,085.00
515G FAS	26463	Panola College	Texas Educational Opportunity Grant	4/8/2022	17,131.00
515G FAS	26463	Midland College	Texas Educational Opportunity Grant	4/8/2022	16,923.00
515G FAS	26463	Galveston College	Texas Educational Opportunity Grant	4/8/2022	16,453.00
515G FAS	26463	Brazosport College	Texas Educational Opportunity Grant	4/8/2022	16,195.00
515G FAS	26463	Ranger College	Texas Educational Opportunity Grant	4/8/2022	12,706.00
515G FAS	26463	Clarendon College	Texas Educational Opportunity Grant	4/8/2022	8,987.00
515G FAS	26463	Frank Phillips College	Texas Educational Opportunity Grant	4/8/2022	6,102.00
515G FAS	26463	Western Texas College	Texas Educational Opportunity Grant	4/8/2022	5,098.00
					\$57,175,674.22

Memorandum of Understanding (\$0 Contract Amount)

Division Unit	Contract #	Performing Agent	Description	Active Date	Total \$
201 Data Management and Research	26741	Texas Workforce Comm. BSS Only	Data Exchange Agreement	4/8/2022	0.00
201 Data Management and Research	26954	Texas Workforce Comm. BSS Only	Data Exchange Agreement	5/23/2022	0.00
218 Developmental Ed Prog - Admin	26126	MDRC	CAPR Multiple Measures Assessment Study Agreement	4/13/2022	0.00

Agency Operations Committee

AGENDA ITEM V-B

Update on the Agency Cybersecurity Framework

RECOMMENDATION: No action required

Background Information:

The Information Solutions and Services (ISS) division is responsible for establishing and maintaining the enterprise vision, strategy, and program to ensure agency information assets and technologies are adequately protected.

To improve the maturity of the agency cybersecurity framework, ISS publishes a Key Security Initiatives Implementation Roadmap at the beginning of each fiscal year to share the overall vision, help secure resources, and track progress.

During this presentation, the agency information security management team will brief the Board on the progress made during FY 2022 and introduce the FY 2023 Key Security Initiatives Implementation Roadmap.

Ms. Zhenzhen Sun, Assistant Commissioner for Information Solutions and Services/Chief Information Officer, and Mr. Miguel Olivas, Information Security Officer, will present this agenda item and be available to answer questions.

Committee on Agency Operations

AGENDA ITEM VI-A

Review of the “Fiscal Year 2022 Financial Report” to the Board

RECOMMENDATION: No action required

Background Information:

During each quarterly committee meeting, the Finance Department provides a financial report summarizing the agency’s fiscal-year-to-date budgetary and financial activities. This is a financial management report that is developed for both agency and Board use. Staff may revise this report periodically to present the most relevant information.

Key points:

- This report reflects data through the third quarter of Fiscal Year 2022 (FY 22).
 - September 1, 2021, through May 31, 2022
- The report is distributed to agency executive management monthly.
- Report overview:
 - Budget adjustments are primarily related to carrying forward unexpended balances from FY 21 into FY 22.
 - College Access Loan borrower level volume is about 8% lower than previous year’s level, but loan demand on a dollar basis is higher by 1%.
 - The bond sale occurred in July 2022. Funds will be used for student loans during the 2022-2023 academic year.
 - It is anticipated that the 2012 bonds series will be paid off on or after 8/1/22. The remaining principal balance is approximately \$30 million.
 - This report reflects the new strategy structure as outlined in the General Appropriations Act from the 87th Legislature.

Ken Martin, Chief Operating Officer and Chief Financial Officer, will present this item and be available to answer any questions.

Agency Operations Committee

AGENDA ITEM VII-A

Discussion of the audit of agencywide financial statements for the fiscal year ended August 31, 2021, by KPMG LLP

RECOMMENDATION: No action required

Background Information:

The Board originally contracted with KPMG LLP to conduct the agencywide financial statement audit for fiscal year ended August 31, 2020, with renewal options for three one-year terms. The current contract to conduct an agencywide financial statement audit for the fiscal year ended August 31, 2021, was executed with KPMG for a fixed fee of \$197,040.

KPMG LLP has conducted the agencywide financial statement audit for the fiscal year ended August 31, 2021. The auditors rendered an unqualified or “clean” opinion on the financial statements, noting no reportable material weaknesses nor significant deficiencies during the audit. Copies of the auditor’s reports will be made available at the meeting.

Representatives from KPMG will present the report to the committee.

Agency Operations Committee

AGENDA ITEM VII-B

Auditor's update on internal audit reports and activities

RECOMMENDATION: No action required

Background Information:

The Internal Audit team completed two projects during the reporting period since the April 2022 Agency Operations Committee meeting. The closure memo is attached for *A Review of Texas College Work Study (TCWS) Program*.

The other completed engagement is the CRAFT (Customer Relationship and Feedback Tracking) System Application Audit at the Coordinating Board. This report is excepted from public disclosure per Chapter 552.139 of the Texas Government Code.

Projects in Progress as of July 2022	Stage of Project
Data Modernization Initiative Review and Advisory Services	Planning/Fieldwork
GEER Funds Administration Review and Advisory Services	Planning/Fieldwork

Other Internal Audit Activities

- NRUF audit 2022 – SAO coordination
- State of Texas single audit 2022 – CLA coordination
- Financial statement audit 2022- KPMG coordination

Mark Poehl, Assistant Commissioner, Internal Audit and Compliance, and Paul Maeyaert, Assistant Director, Internal Audit and Compliance, will present this item and are available to answer questions.

June 1, 2022

Dr. Harrison Keller
Commissioner of Higher Education
1200 E. Anderson Lane
Austin, TX 78752

RE: Review of Texas College Work Study Program-Closure Memo, THECB-IA-WP-22-240

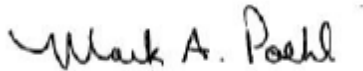
Dear Dr. Keller,

This is to inform you that an audit from our 2022 Internal Audit Plan has been closed after preliminary discussions with agency management. Specifically, our planned Review of Texas College Work Study Program will be reconsidered at a future date because the program is anticipated to change based on negotiated rule making held in May 2022.

We have agreed to work with the Chief Operating Officer/Chief Financial Officer and his team to provide advice on risk and controls as new policies and procedures are implemented.

If you have any questions or comments, please let me know.

Sincerely,



Mark A. Poehl, CPA, CIA, CISA, CFE

Assistant Commissioner, Internal Audit and Compliance

PERFORMED BY:

Ms. Aporajita Ahmed, CPA, CFE, CITP, CGMA, CICA, Cyber Security Professional, Internal Audit lead

cc:

THECB

Board Members

Commissioner's Office

Ms. Sarah Keyton, Associate Commissioner for Administration

Ms. Nicole Bunker-Henderson, General Counsel

Mr. Ken Martin, Chief Operating Officer/Chief Financial Officer

Student Financial Aid Programs

Mr. Chad Contero-Puls, Assistant Commissioner SFAP

STATUTORY DISTRIBUTION REQUIREMENT

Governor's Office - Budget and Policy Division

Ms. Sarah Hicks, Director

State Auditor's Office

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Mr. Christopher Mattson, Manager

Sunset Advisory Commission

Ms. Jennifer Jones, Executive Director

Agency Operations Committee

AGENDA ITEM VII-C

Consideration and possible action to adopt the Annual Internal Audit Plan for Fiscal Year 2023

RECOMMENDATION: Approval

Background Information:

In accordance with the Texas Internal Auditing Act, for your consideration and approval, the Internal Audit team has developed the risk-based Annual Internal Audit Plan for Fiscal Year 2023 using risk assessment techniques as required. The Annual Internal Audit Plan for Fiscal Year 2023 presents the individual audits to be performed in Fiscal Year 2023.

Specific risk factors were considered as they apply to the agency's significant operational activities. These risk factors included:

- financial significance;
- reputational significance and visibility;
- complexity, inherent risk, and the potential for abuse;
- prior audit and compliance monitoring results as an indicator of control effectiveness;
- audit recency – internal audit, compliance monitoring (as applicable), KPMG, SAO, and/or federal auditors; and
- changes in mission or key management. A risk-ranked listing of significant operational activities became the basis for the audits proposed for consideration herein.

The Annual Internal Audit Plan for Fiscal Year 2023 is attached.

Mark Poehl, Assistant Commissioner, Internal Audit and Compliance, and Paul Maeyaert, Assistant Director, Internal Audit and Compliance, will present this item to the committee and are available to answer any questions.

TEXAS HIGHER EDUCATION COORDINATING BOARD

INTERNAL AUDIT & COMPLIANCE MONITORING

Annual Internal Audit Plan for Fiscal Year 2023

The Texas Internal Auditing Act requires state agency internal auditors to conduct a program of auditing that includes an annual audit plan, is prepared using risk assessment techniques, and identifies the individual audits to be conducted during the year. The following proposed plan meets the requirements of the Texas Internal Auditing Act.

Specific risk factors were considered as they apply to the agency's significant operational activities. These risk factors included:

- financial significance;
- reputational significance and visibility;
- complexity, inherent risk, and the potential for abuse;
- prior audit and compliance monitoring results as an indicator of control effectiveness;
- audit recency – internal audit, compliance monitoring (as applicable), KPMG, SAO, and/or federal auditors; and
- changes in mission or key management.

A risk-ranked listing of significant operational activities became the basis for the audits proposed for consideration herein.

Required and Risk-Based Audits

	<u>Estimated Hours Required</u>	<u>%</u>
1. Follow-Up of Prior Audits <i>Assess the implementation status of previously reported recommendations by Internal Audit, including the CRAFT application, formula funding, GEER, and Data Modernization Initiative. Review the status of recommendations made by other external auditors, as necessary.</i>	160	5%
2. Carry Forward of Remaining 2022 Projects <i>Carry forward remaining work for FY 2022 projects.</i>	160	5%
3. Internal Audit Performance Measures and Quality Assurance <i>Monitor performance measures for Internal Audit and perform ongoing quality assurance measures.</i>	200	6%
4. Self-Assessment of Work Quality, Internal Audit <i>Perform a self-assessment of Internal Audit work quality, in accordance</i>	160	5%

with the requirements of professional auditing standards.

5. Investigations	300	10%
<i>Texas Government Code, Chapter 321.022, Coordination of Investigations, requires the coordination of investigations between the agency and the Texas State Auditor's Office. If the administrative head of an agency that is subject to audit by the state auditor has reasonable cause to believe that money received from the state by the agency or by a client/department of the agency may have been lost, misappropriated, or misused, or that other fraudulent or unlawful conduct has occurred in relation to the operation of the agency, the administrative head shall report the reason and basis for the belief to the state auditor. The state auditor may investigate the report or may monitor any investigation conducted by the agency.</i>		
6. Provide Assistance-External Audit of Agency Financial Statements	80	3%
<i>Internal Audit provides 80 hours of audit support to reduce external audit fees and to increase the level of knowledge of external auditors' assessment of the agency's financial statements and associated risks.</i>		
7. Federal Funds Administration - Review and Advisory Services	450	14%
<i>Review and advise management on the state of governance, risk, and controls within and around federal funds administration.</i>		
8. Information Security - Review and Advisory Services	650	21%
<i>Review and advise management on the state of governance, risk, and controls within and around information security for selected initiatives, programs, or applications. Areas of significant change include the Data Modernization Initiative, the student loan system, and preparations to implement the CAPPS financial module.</i>		
8. Review of Purchasing/Procurement Cards	490	16%
<i>Review the internal administrative activities of the agency purchasing/procurement cards for compliance, effectiveness, and efficiency.</i>		
9. Review of State's Building a Talent Strong Texas Strategic Plan	460	15%
<i>Review data integrity associated with the state's higher education strategic plan. The plan, Building a Talent Strong Texas, expands on the successes and progress of the previous plan, 60x30TX, by widening the lens for higher education. Under the plan, 60% of Texans ages 25-64 will receive a degree, certificate, or other postsecondary credential of value by 2030.</i>		
Total Hours-Required and Risk-Based Audits	3110	100%

Agency Operations Committee

AGENDA ITEM VIII-A

Auditor's update on state and federal compliance monitoring reports and activities

RECOMMENDATION: No action required

Background Information:

State Compliance Monitoring

The state Compliance Monitoring team completed seven projects during the reporting period since the April 2022 Agency Operations Committee meeting. The final reports are attached.

Formula funding projects

1. "Compliance Monitoring Audit of Formula Funding at Northeast Texas Community College" (no findings)
2. "Compliance Monitoring Audit of Formula Funding at Texas Southmost College" (no findings)
3. "Compliance Monitoring Desk Review of Formula Funding at Vernon College" (one finding relating to information security controls).
4. "Compliance Monitoring Desk Review of Formula Funding at Midland College" (no findings)
5. "Compliance Monitoring Follow Up Review of Formula Funding at Southwest Texas Junior College" (no findings)

Financial aid and other projects

6. "Compliance Monitoring Follow-Up Review of the Texas Educational Opportunity Grant at Texarkana College" (no findings)
7. "Compliance Monitoring Review of the Carl D. Perkins Grant-Related Inventory Policies and Procedures at Coastal Bend College" (two findings related to control of equipment)

Projects in Progress as of 6/21/2022	Stage of Project
Southwestern University (TEG)	Reporting
Concordia College (CAL)	Reporting
Huston-Tillotson University (TEG- Follow Up)	Planning/Fieldwork
Howard College (TEOG)	Planning/Fieldwork
Lubbock Christian University (TEG)	Planning/Fieldwork
St. Mary's University (CAL)	Planning
South Plains College (TEOG)	Planning
McMurry University (TEG)	Planning

Other compliance monitoring activities

- Continued training of new compliance specialist
- Complaint Investigations

Federal Compliance Monitoring

Federal compliance monitoring activities

- Perkins FY 2020 subrecipient monitoring reviews – completed
- GEER funding – participation in GEER meetings, training, and initial planning
- Training of new federal grants specialist
- GEER FY 2021 subrecipient monitoring reviews
 - 6 of 6 projects in Texas Reskilling Support Fund Grant Program in fieldwork
 - 4 of 4 projects in TRUE Institutional Capacity Grants in fieldwork
 - Student Financial Aid Programs projects in fieldwork

The final reports are attached.

Mark Poehl, Assistant Commissioner, Internal Audit and Compliance, and Paul Maeyaert, Assistant Director, Internal Audit and Compliance, will present this item and are available to answer questions.

May 12, 2022

Dr. Ron Clinton, President
Northeast Texas Community College
2886 FM 1735, Chapel Hill Rd.
Mt. Pleasant, TX 75455

Re: *A Compliance Monitoring Audit of Formula Funding at Northeast Texas Community College*,
Report No. THECB-CM-FF-22-002


Dear Dr. Clinton,

I am attaching the final report on *A Compliance Monitoring Audit of Formula Funding at Northeast Texas Community College*, Report No. THECB-CM-FF-22-002. There were no findings resulting from this engagement.

This Compliance Monitoring audit report will be presented to the THECB Committee on Agency Operations, a standing committee of the THECB Board, in July, 2022.

If you have any questions or comments, please let me know.

Sincerely,



Mark A. Poehl, CPA, CIA, CISA, CFE
Assistant Commissioner, Internal Audit and Compliance

EXECUTIVE SUMMARY

Northeast Texas Community College complied with relevant Coordinating Board (THECB) rules and regulations for enrollment data used for formula funding and with Texas Education Code, Title 3, Subtitle B, Chapter 61, Section 61.035.

We conducted this audit in accordance with Generally Accepted Government Auditing Standards. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on our audit objectives.

Our consideration of internal control was for the compliance purposes described in the objective/scope section and was not designed to identify all deficiencies in internal control.

We reviewed the following areas:

Reported Semester Credit Hours were Eligible for Formula Funding

No reportable findings were noted.

Student Tuition Payments were Received in Accordance with Requirements

No reportable findings were noted.

Reported Enrollment and Contact Hours were Eligible for Formula Funding

No reportable findings were noted.

Instructors of Record met Applicable Requirements

No reportable findings were noted.

Audit Scope, Objective, and Methodology

Our audit included tests of enrollment data reported and certified by Northeast Texas Community College. Our audit focused on the following enrollment data and time periods:

- CBM001 (Student Report) – Summer semester 2020, Fall semester 2020, and Spring semester 2021;
- CBM004 (Class Report) – Summer semester 2020, Fall semester 2020, and Spring semester 2021; and
- CBM00C (Continuing Education Class Report) – Summer semester 2020, Fall semester 2020, and Spring semester 2021.

Our work included procedures to verify:

- Reported semester credit hours were eligible for formula funding;
- Student tuition payment was received in accordance with requirements;
- Reported enrollment and contact hours reported were eligible for formula funding; and
- Evidence of academic credentials were maintained to support required instructor qualifications.

The audit methodology included objectively reviewing and analyzing various forms of documentation, conducting interviews and observations, and performing other tests of controls necessary to achieve the objectives of the audit. THECB used random sampling to determine the extent to which Northeast Texas Community College accurately reported semester credit hour data.

Background

Fifty public community college districts receive state appropriations based on their student enrollment data. These institutions are governed by locally elected boards that have the authority to levy property taxes in their districts. The state appropriations the colleges receive are used to fund administrative and instructional (education and general) services for post-secondary academic and vocational/technical education.

State financing of higher education programs for public community colleges is provided from the State's General Revenue Fund. The THECB and the Comptroller of Public Accounts distributes these appropriations to colleges based on allocations and performance measures. Each college submits enrollment reports (CBM Reports) to the THECB to document the number of contact hours taught by the college in each semester. The formula for state funding involves multiplying the base period contact hours submitted by colleges by the applicable funding rates.

PERFORMED BY:

Ms. Elizabeth Steele, Compliance Specialist

cc:

THECB

Board Members

Commissioner's Office

Dr. Harrison Keller, Commissioner of Higher Education

Ms. Lizette Montiel, Deputy Chief of Staff

Ms. Lori Fey, Deputy Commissioner, Data Analytics and Innovation

Dr. Ray Martinez, Deputy Commissioner, Academic Affairs and Workforce Education

Mr. Ken Martin, Chief Operating Officer / Chief Financial Officer

Ms. Nichole Bunker-Henderson, General Counsel

Ms. Sarah Keyton, Associate Commissioner for Administration

Data Analytics and Innovation

Ms. Emily Cormier, Assistant Commissioner, Funding and Resource Planning

Northeast Community College

Mr. Chuck Johns, Chair, Board of Trustees

Dr. Kevin Rose, Vice President for Instruction

Dr. Josh Stewart, Vice President for Student and Outreach Services

Mr. Jeffrey Chambers, Vice President for Administrative Services

Ms. Betsy Gooding, Registrar

Texas Association of Community Colleges

Mr. Jacob Fraire, President and Chief Executive Officer

State Auditor's Office

Audit Coordinator

Sunset Advisory Commission

Ms. Jennifer Jones, Director

Office of the Governor-Budget and Policy Division

Ms. Sarah Hicks, Budget and Policy Director

Legislative Budget Board

Mr. Christopher Mattsson, Assistant Director

March 25, 2022

Dr. Jesus Roberto Rodriguez, President
Texas Southmost College
80 Fort Brown
Brownsville, TX 78520

Dear Dr. Rodriguez,

I am attaching the final report on *A Compliance Monitoring Audit of Formula Funding at Texas Southmost College*, Report No. THECB-CM-FF-22-004. There were no observations resulting from this engagement.

This Compliance Monitoring audit report will be presented to the THECB Committee on Agency Operations, a standing committee of the THECB Board, in July, 2022.

If you have any questions or comments, please let me know.

Sincerely,



Mark A. Poehl, CPA, CIA, CISA, CFE
Assistant Commissioner, Internal Audit and Compliance

EXECUTIVE SUMMARY

Texas Southmost College complied with relevant Coordinating Board (THECB) rules and regulations for enrollment data used for formula funding and with Texas Education Code, Title 3, Subtitle B, Chapter 61, Section 61.035.

We conducted this audit in accordance with Generally Accepted Government Auditing Standards. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our observations and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our observations and conclusions based on our audit objectives.

Our consideration of internal control was for the compliance purposes described in the objective/scope section and was not designed to identify all deficiencies in internal control.

We reviewed the following areas:

Reported Semester Credit Hours were Eligible for Formula Funding

No reportable observations were noted.

Student Tuition Payments were Received in Accordance with Requirements

No reportable observations were noted.

Reported Enrollment and Contact Hours were Eligible for Formula Funding

No reportable observations were noted.

Instructors of Record met Applicable Requirements

No reportable observations were noted.

Audit Scope, Objective, and Methodology

Our audit included tests of enrollment data reported and certified by Texas Southmost College. Our audit focused on the following enrollment data and time periods:

- CBM001 (Student Report) – Summer semester 2020, Fall semester 2020, Spring semester 2021;
- CBM004 (Class Report) – Summer semester 2020, Fall semester 2020, Spring semester 2021; and
- CBM00C (Continuing Education Class Report) – Summer semester 2020, Fall semester 2020, and Spring semester 2021.

Our work included procedures to verify:

- Reported semester credit hours were eligible for formula funding;
- Student tuition payment was received in accordance with requirements;
- Reported enrollment and contact hours reported were eligible for formula funding; and
- Evidence of academic credentials were maintained to support required instructor qualifications.

The audit methodology included objectively reviewing and analyzing various forms of documentation, conducting interviews and observations, and performing other tests of controls necessary to achieve the objectives of the audit. THECB used random sampling to determine the extent to which Texas Southmost College accurately reported semester credit hour data.

Background

Fifty public community college districts receive state appropriations based on their student enrollment data. These institutions are governed by locally elected boards that have the authority to levy property taxes in their districts. The state appropriations the colleges receive are used to fund administrative and instructional (education and general) services for post-secondary academic and vocational/technical education.

State financing of higher education programs for public community colleges is provided from the State's General Revenue Fund. The THECB and the Comptroller of Public Accounts distributes these appropriations to colleges based on allocations and performance measures. Each college submits enrollment reports (CBM Reports) to the THECB to document the number of contact hours taught by the college in each semester. The formula for state funding involves multiplying the base period contact hours submitted by colleges by the applicable funding rates.

PERFORMED BY:

Ms. Shebah Washington, Compliance Specialist

cc:

THECB

Board Members

Commissioner's Office

Dr. Harrison Keller, Commissioner of Higher Education

Mr. Rey Rodriguez, Deputy Commissioner

Ms. Lizette Montiel, Deputy Chief of Staff

Ms. Lori Fey, Deputy Commissioner, Data Analytics and Innovation

Dr. Ray Martinez, Deputy Commissioner, Academic and Workforce Education

Mr. Ken Martin, Chief Operating Officer / Chief Financial Officer

Ms. Nichole Bunker-Henderson, General Counsel

Data Analytics and Innovation

Ms. Emily Cormier, Assistant Commissioner, Funding and Resource Planning

Texas Southmost College

Mr. Ruben Herrera, Chair, Board of Trustees

Mr. Oscar O. Hernandez, Interim Associate Vice President of Student Services

Mr. Luis Villarreal, Vice President of Information Technology

Dr. Gisela Figueroa, Vice President of Finance and Administration

Texas Association of Community Colleges

Mr. Jacob Fraire, President and Chief Executive Officer

State Auditor's Office

Audit Coordinator

Sunset Advisory Commission

Ms. Jennifer Jones, Director

Office of the Governor-Budget and Policy Division

Ms. Sarah Hicks, Budget and Policy Director

Legislative Budget Board

Mr. Christopher Mattsson, Assistant Director

April 25, 2022

Dr. Dusty R. Johnston, President
Vernon College
200 Vernon Drive
Baytown, TX 77520

RE: Final Report - A Compliance Monitoring Desk Review of Formula Funding at Vernon College,
Report No. THECB-CM-FF-22-008

Dear Dr. Johnston,

I am attaching the final report on *A Compliance Monitoring Desk Review of Formula Funding at Vernon College*, Report No. THECB-CM-FF-22-008. There was one observation related to information technology controls resulting from this engagement.

Summary

Information security controls over student enrollment information at Vernon College require improvement. Vernon College did not perform an information security review, as required by Texas Administrative Code Title 1, Part 10, Chapter 202, Subchapter C, Section 202.76 Security Control Standards Catalog.

A follow up review will be performed to evaluate Vernon College's information security controls. This will serve as a basis for future base period assessments of the accuracy of contact hours reported for formula funding purposes.

This Compliance Monitoring report will be presented to the Texas Higher Education Coordinating Board (THECB) Committee on Agency Operations, a standing committee of the THECB Board, in July 2022.

The cooperation of your staff during this review is greatly appreciated. If you have any questions or comments, please let me know.

Sincerely,



Mark A. Poehl, CPA, CIA, CISA, CFE
Assistant Commissioner, Internal Audit and Compliance

Detailed Observation, Recommendation, and Management's Response

- 1. Information technology processes and controls over student information system enrollment data should be strengthened to comply with Texas Administrative Code (TAC), Title 1, Part 10, Chapter 202, Subchapter C, Section 202.76 Security Control Standards Catalog.**

Information technology processes and controls over security access to the student information system should be strengthened to comply with the Texas Administrative Code (TAC), Title 1, Part 10, Chapter 202, Subchapter C, Section 202.76. There has not been a comprehensive or formalized information security review performed over the student information system at Vernon College. Vernon College has not met the security standards requirement established by the Department of Information Resources (DIR) for institutions of higher education.

The Texas Administrative Code (TAC), Title 1, Part 10, Chapter 202, Subchapter C, Section 202.76 states that “a review of the institution’s information security program for compliance with these standards will be performed at least biennially....”

Enhanced oversight of the College’s student information system and compliance with statutory requirements provides greater assurance that student information security and data integrity are achieved.

Recommendation:

Strengthen oversight of student information security and comply with TAC Section 202.76 and DIR security standards.

Management’s Response:

Vernon College does have a current security plan filed with the Texas Department of Information Resources (DIR). Vernon College will be updating the current bi-annual plan prior to the June 1, 2022, deadline.

After the resignation of the Vernon College personnel providing oversight to these functions in September 2021, Vernon College began discussion with DIR staff in November 2021 to schedule a DIR Texas Cybersecurity Framework Assessment and a penetration test to comply with TAC Section 202.76 and DIR security standards. The new Vernon College team member came on board February 1, 2022 and is proceeding to schedule the external assessment and penetration test through DIR processes.

Vernon College is in the early stages (beginning January 2022) of transition from the current student information system to a new system—Ellucian Colleague. The Ellucian Colleague ERP/SIS is designed to provide more secure processes and controls of system information and data integrity including student information. The implementation process should be completed by September 2023.

PERFORMED BY:

Ms. Shebah Washington, Compliance Specialist

cc:

THECB

Board Members

Commissioner's Office

Dr. Harrison Keller, Commissioner of Higher Education

Ms. Lizette Montiel, Deputy Chief of Staff

Ms. Lori Fey, Deputy Commissioner, Data Analytics and Innovation

Dr. Ray Martinez, Deputy Commissioner, Academic and Workforce Education

Mr. Ken Martin, Chief Operating Officer / Chief Financial Officer

Ms. Nichole Bunker-Henderson, General Counsel

Data Analytics and Innovation

Ms. Emily Cormier, Assistant Commissioner, Funding and Resource Planning

Vernon College

Dr. Todd Smith, Chair, Board of Trustees

Ms. Amanda Raines, Director of Enrollment Management/Registrar

Mr. John McKee, Chief Information Officer

Ms. Mindi Flynn, Vice President of Administrative Services

Ms. Criqueett Scott Chapman, Vice President of Student Services

Texas Association of Community Colleges

Mr. Jacob Fraire, President and Chief Executive Officer

State Auditor's Office

Audit Coordinator

Sunset Advisory Commission

Ms. Jennifer Jones, Director

Office of the Governor-Budget and Policy Division

Ms. Sarah Hicks, Budget and Policy Director

Legislative Budget Board

Mr. Christopher Mattsson, Assistant Director

April 8, 2022

Dr. Steve Thomas, President
Midland College
3600 N. Garfield
Midland, TX 79705

Re: *A Compliance Monitoring Desk Review of Formula Funding at Midland College*, Report No.
THECB-CM-FF-22-005

Dear Dr. Thomas,

I am attaching the final report on *A Compliance Monitoring Desk Review of Formula Funding at Midland College*, Report No. THECB-CM-FF-22-005. There were no findings resulting from this engagement.

This Compliance Monitoring desk review report will be presented to the THECB Committee on Agency Operations, a standing committee of the THECB Board, in July, 2022.

If you have any questions or comments, please let me know.

Sincerely,



Mark A. Poehl, CPA, CIA, CISA, CFE
Assistant Commissioner, Internal Audit and Compliance

EXECUTIVE SUMMARY

Midland College complied with relevant Coordinating Board (THECB) rules and regulations for enrollment data used for formula funding and with Texas Education Code, Title 3, Subtitle B, Chapter 61, Section 61.035.

Our consideration of internal control was for the compliance purposes described in the objective/scope section and was not designed to identify all deficiencies in internal control.

We reviewed the following areas:

Reported Semester Credit Hours were Eligible for Formula Funding

No reportable findings were noted.

Student Tuition Payments were Received in Accordance with Requirements

No reportable findings were noted.

Reported Enrollment and Contact Hours were Eligible for Formula Funding

No reportable findings were noted.

Instructors of Record met Applicable Requirements

No reportable findings were noted.

Desk Review Scope, Objective, and Methodology

Our desk review included tests of enrollment data reported and certified by Midland College. Our desk review focused on the following enrollment data and time periods:

- CBM001 (Student Report) – Summer semester 2020, Fall semester 2020, and Spring semester 2021;
- CBM004 (Class Report) – Summer semester 2020, Fall semester 2020, and Spring semester 2021; and
- CBM00C (Continuing Education Class Report) – Summer semester 2020, Fall semester 2020, and Spring semester 2021.

Our work included procedures to verify:

- Reported semester credit hours were eligible for formula funding;
- Student tuition payment was received in accordance with requirements;
- Reported enrollment and contact hours reported were eligible for formula funding; and
- Evidence of academic credentials were maintained to support required instructor qualifications.

The desk review methodology included objectively reviewing and analyzing various forms of documentation, conducting interviews and observations, and performing other tests of controls necessary to achieve the objectives of the desk review. THECB used random sampling to determine the extent to which Midland College accurately reported semester credit hour data.

Background

Fifty public community college districts receive state appropriations based on their student enrollment data. These institutions are governed by locally elected boards that have the authority to levy property taxes in their districts. The state appropriations the colleges receive are used to fund administrative and instructional (education and general) services for post-secondary academic and vocational/technical education.

State financing of higher education programs for public community colleges is provided from the State's General Revenue Fund. The THECB and the Comptroller of Public Accounts distributes these appropriations to colleges based on allocations and performance measures. Each college submits enrollment reports (CBM Reports) to the THECB to document the number of contact hours taught by the college in each semester. The formula for state funding involves multiplying the base period contact hours submitted by colleges by the applicable funding rates.

PERFORMED BY:

Ms. Elizabeth Steele, Compliance Specialist

cc:

THECB

Board Members

Commissioner's Office

Dr. Harrison Keller, Commissioner of Higher Education

Ms. Lizette Montiel, Deputy Chief of Staff

Ms. Lori Fey, Deputy Commissioner, Data Analytics and Innovation

Dr. Ray Martinez, Deputy Commissioner, Academic Affairs and Workforce Education

Mr. Ken Martin, Chief Operating Officer / Chief Financial Officer

Ms. Nichole Bunker-Henderson, General Counsel

Data Analytics and Innovation

Ms. Emily Cormier, Assistant Commissioner, Funding and Resource Planning

Midland College

Mr. Paul L. Morris, Chair, Board of Trustees

Dr. Deana Savage, Special Advisor to the President

Mr. Rick Bender, Vice President of Administrative Services

Ms. Julia Vickery, Vice President of Student Services

Mr. Shawn Shreves, Vice President of Information Technology

Dr. Jennifer Myers, Associate Vice President of Workforce Education

Ms. Crystal Velasquez, Registrar

Ms. Lauren Callo, Director of Accounting

Mr. Tom Glenn, Director of Enterprise Services

Texas Association of Community Colleges

Mr. Jacob Fraire, President and Chief Executive Officer

State Auditor's Office

Audit Coordinator

Sunset Advisory Commission

Ms. Jennifer Jones, Director

Office of the Governor-Budget and Policy Division

Ms. Sarah Hicks, Budget and Policy Director

Legislative Budget Board

Mr. Christopher Mattsson, Assistant Director

April 8, 2022

Dr. Hector Gonzales, President
Southwest Texas Junior College
2041 Garner Field Rd.
Uvalde, TX 78801

Re: *A Compliance Monitoring Follow Up Review of Formula Funding at Southwest Texas Junior College*, Report No. THECB-CM-FF-21-010F

Dear Dr. Gonzales,

Southwest Texas Junior College implemented the recommendation in our prior report *A Compliance Monitoring Desk Review of Formula Funding at Southwest Texas Junior College*, Report No. THECB-CM-FF-21-010, issued April 29, 2021.

Management has implemented the audit recommendation by:

- Capturing and retaining student information system audit logs or change history, for critical data used for formula funding.

This Compliance Monitoring report will be presented to the Texas Higher Education Coordinating Board (THECB) Committee on Agency Operations, a standing committee of the THECB Board, in July 2022.

The cooperation of your staff during this review is greatly appreciated. If you have any questions or comments on the conduct of this review, please let me know.

Sincerely,



Mark A. Poehl, CPA, CIA, CISA, CFE
Assistant Commissioner, Internal Audit and Compliance

PERFORMED BY:

Ms. Elizabeth Steele, Compliance Specialist

cc:

THECB

Board Members

Commissioner's Office

Dr. Harrison Keller, Commissioner of Higher Education

Ms. Lizette Montiel, Deputy Chief of Staff

Ms. Lori Fey, Deputy Commissioner, Data Analytics and Innovation

Dr. Ray Martinez, Deputy Commissioner, Academic Affairs and Workforce Education

Mr. Ken Martin, Chief Operating Officer / Chief Financial Officer

Ms. Nichole Bunker-Henderson, General Counsel

Data Analytics and Innovation

Ms. Emily Cormier, Assistant Commissioner, Funding and Resource Planning

Southwest Texas Junior College

Mr. Rodolfo R. Flores, President, Board of Trustees

Dr. Renee T. Zimmerman, Director of Institutional Effectiveness

Ms. Margot H. Mata, Vice President of Student Services/Title IX Coordinator

Ms. Ann Tarski, Vice President of Finance

Ms. Dense Oden, Co-Director of Information Technology

Texas Association of Community Colleges

Mr. Jacob Fraire, President and Chief Executive Officer

State Auditor's Office

Internal Audit Coordinator

Sunset Advisory Commission

Ms. Jennifer Jones, Director

Office of the Governor-Budget and Policy Division

Ms. Sarah Hicks, Budget and Policy Director

Legislative Budget Board

Mr. Christopher Mattsson, Assistant Director

May 12, 2022

Dr. Jason Smith, President
Texarkana College
2500 N. Robison Rd.
Texarkana, TX 75599

Re: *A Compliance Monitoring Follow Up Review of the Texas Educational Opportunity Grant at Texarkana College*, Report No. THECB-CM-FA-21-026F

Dear Dr. Smith,

Texarkana College implemented the recommendations from our prior report *A Compliance Monitoring Desk Review of the Texas Educational Opportunity Grant at Texarkana College*, Report No. THECB-CM-FA-21-026, issued July 27, 2021.

Management has implemented the desk review recommendations by:

- Refunding \$1,227 in ineligible Texas Educational Opportunity Grant awards to the Texas Higher Educational Coordinating Board; and
- Strengthening grant awarding processes and controls over student eligibility related to residency, in accordance with Texas Administrative Code, Sections 22.253-265.

This Compliance Monitoring report will be presented to the Texas Higher Education Coordinating Board (THECB) Committee on Agency Operations, a standing committee of the THECB Board, in July 2022.

The cooperation of your staff during this review is greatly appreciated. If you have any questions or comments on the conduct of this review, please let me know.

Sincerely,



Mark A. Poehl, CPA, CIA, CISA, CFE
Assistant Commissioner, Internal Audit and Compliance

PERFORMED BY:

Ms. Elizabeth Steele, Compliance Specialist

cc:

THECB

Board Members

Commissioner's Office

Dr. Harrison Keller, Commissioner of Higher Education

Ms. Lizette Montiel, Deputy Chief of Staff

Ms. Lori Fey, Deputy Commissioner, Data Analytics and Innovation

Dr. Ray Martinez, Deputy Commissioner, Academic Affairs and Workforce Education

Mr. Ken Martin, Chief Operating Officer / Chief Financial Officer

Ms. Nichole Bunker-Henderson, General Counsel

Ms. Sarah Keyton, Associate Commissioner for Administration

Student Financial Aid Programs

Dr. Charles W. Contéro-Puls, Assistant Commissioner

Ms. DeCha Reid, Senior Director

Texarkana College

Ms. Kaye Ellison, President, Board of Trustees

Dr. Donna McDaniel, Vice President of Instruction

Ms. Kimberly Jones, Vice President of Finance

Ms. Phyllis Deese, Vice President of Administrative Services/Human Services

Ms. Susan Johnston, Director of Financial Aid

Mr. Robert Hoover, Controller

Texas Association of Community Colleges

Mr. Jacob Fraire, President and Chief Executive Officer

State Auditor's Office

Internal Audit Coordinator

Sunset Advisory Commission

Ms. Jennifer Jones, Director

Office of the Governor-Budget and Policy Division

Ms. Sarah Hicks, Budget and Policy Director

Legislative Budget Board

Mr. Christopher Mattsson, Assistant Director

May 12, 2022

Dr. Justin Hoggard, President
Coastal Bend College
3800 Charco Rd.
Beeville, Texas 78102

Re: *A Compliance Monitoring Review of the Carl D. Perkins Grant-Related Inventory Policies and Procedures at Coastal Bend College*, Report No. THECB-CM-22-031.

Dear Dr. Hoggard,

I am attaching the final report on our *Compliance Monitoring Review of the Carl D. Perkins Grant-Related Inventory Policies and Procedures at Coastal Bend College*, Report No. THECB-CM-22-031. This report will be presented at the July 2022 Agency Operations Committee meeting.

We found that Coastal Bend College (CBC) substantially complied with relevant Carl D. Perkins (Perkins) grant rules and regulations regarding capital asset and equipment transactions according to the Uniform Grant Guidance found in Title 2, Subtitle A, Chapter II, Part 200, Subpart E. We have two recommendations to help strengthen CBC's administration of capital asset and equipment transactions. This includes clarifying and improving the institution's policy for disposition of surplus or otherwise no longer needed inventory items, and for strengthening the central receiving of new items.

If you have any questions or comments, please let me know.

Sincerely,



Mark A. Poehl, CPA, CIA, CISA, CFE
Assistant Commissioner, Internal Audit and Compliance

EXECUTIVE SUMMARY

We found that Coastal Bend College (CBC) substantially complied with relevant Carl D. Perkins (Perkins) grant rules and regulations regarding capital asset and equipment transactions according to the Uniform Grant Guidance found in Title 2, Subtitle A, Chapter II, Part 200, Subpart E. We have two recommendations to help strengthen CBC's administration of capital asset and equipment transactions. This includes clarifying and improving the institution's policy for disposition of surplus or otherwise no longer needed inventory items, and for strengthening the central receiving of new items.

Scope, Objective, and Methodology

Our review scope covered Perkins awards to CBC for grant years 2020 and 2021. Our work objectives included procedures to verify:

- Fixed assets procurements were approved in CBC's Perkins 2020 and 2021 grant requests;
- Fixed asset items were present, verified by onsite physical observation, and properly accounted for;
- Prior years' fixed assets were present, verified by onsite physical observation, and properly accounted for; and
- CBC's fixed asset policy complies with the Uniform Grant Guidance and THECB Perkins program requirements.

The review methodology included objectively reviewing and analyzing various forms of documentation, conducting interviews and physical observations, and performing other tests of controls necessary to achieve the objectives of the review.

Background

This review was conducted in response to a verbal complaint regarding the possible misuse and poor accountability for equipment purchased by CBC. We met with the Academic Affairs and Workforce Education (AAWE) program office staff and obtained Perkins grant-related data and information for grant years 2019-2021 to gain an understanding of the grant requirements and determine CBC's compliance with those requirements as they specifically related to inventory control and reporting.

Detailed Observations, Recommendations, and Management Responses

1. CBC policies and procedures need improvement for documenting the disposal of fixed assets to align with Perkins program requirements.

CBC policies and procedures need improvement for documenting the disposal of fixed assets to align with Perkins program requirements. CBC developed and implemented policies and procedures governing accountability for fixed assets and noncapital items. However, we noted a discrepancy between the CBC policy and THECB documentation requirements when accounting for disposal of equipment purchased with Perkins funds.

THECB Perkins program requirements for inventory records retention are found on page 56 of the 2020-2021 Basic Request for Application (RFA) as follows:

Property Inventory and Disposition Report: The Awarded Applicant shall submit a Property Inventory and Disposition Report by September 30, 2021, to Perkins@THECB.state.tx.us. The report shall be a cumulative inventory of the following:

- 1. All single capital equipment items purchased with Grant funds (valued according to federal cost policy (tangible property having an initial acquisition price of more than \$5,000 per unit and a useful life of more than one year) or by the applicant's local capitalization policy, whichever is more stringent; and*
- 2. All single noncapital equipment items with an initial acquisition price of \$500 to \$4,999.*

The Report shall include all items in the Awarded Applicant's possession and those discarded, sold, or transferred to another entity. Each entry on the inventory shall include the identification number, description of the property; acquisition date; acquisition cost; and location (if in the Applicant's possession).

CBC Policy: Guidelines for Federally Funded Equipment and Inventory Control states:

- Disposals & Missing Assets: If the items in question are still missing after 45 days, the asset will be removed from Colleague and the Insurance Administrator will be notified if necessary.
- Disposition of Equipment:
 - (Perkins Only) Complete the THECB Disposition Form.
 - Records regarding item(s) sold (e.g., date of sale and amount received) must be retained for three (3) years after final disposition (ref: CFR 200.333).

As a result, items can be removed from the inventory listings, thus not complying with the Perkins document retention requirements.

Recommendation

CBC needs to revise its current policy entitled *Guidelines for Federally Funded Equipment and Inventory Control* to align with the THECB Perkins Basic RFA requirements found on page 56 of the 2020-2021 Basic RFA.

Management's Response

We have shared the conversation with the Dean of Career and Technical Education and college personnel about this discrepancy. The institution will align the practices of the College with the recommendations provided. We recognize the inconsistency and will resolve those issues.

2. CBC needs to implement a centralized inventory control system and point of contact for the receipt, verification, distribution, and disposal of fixed assets.

CBC needs to implement a centralized inventory control system and point of contact for the receipt, verification, distribution, and disposal of fixed assets. CBC's current practice includes multiple locations and various staff members responsible for the receipt, verification, distribution, and disposal of fixed assets. Locations include a loading dock, the mail room, and the information technology

department. As a result, accountability for the receipt, verification, distribution and disposal of fixed assets and related items can be compromised.

The Code of Federal Regulations Title 2, Subtitle A, Chapter II, Part 200, Subpart D, Section 213 Equipment, subsection (d) (3) provides the following guidance: “A control system must be developed to ensure adequate safeguards to prevent loss, damage, or theft of the property. Any loss, damage, or theft must be investigated.”

Recommendation

CBC should establish a centralized inventory control system and point of contact for the receipt, verification, distribution and disposal of fixed assets and related items providing adequate safeguards to prevent loss, damage, or theft of the property.

Management Response

I acknowledge the practices, while well-intentioned, fell short of providing the “adequate safeguards to prevent loss, damage, or theft of the property.” As you have seen some of the measures taken to provide those safeguards-i.e., a locked container with very limited access-the procedure does need centralizing with clear processes and accountability points. The conversations held regarding the specific issue during the site visit were insightful. I am working toward those suggestions. I am hopeful that we will have completely overhauled these practices by the beginning of the next academic year.

PERFORMED BY:

Mr. Bobby Lane, CFE, CICA, Compliance Specialist

Ms. Elizabeth Steele, Compliance Specialist

cc:

THECB

Board Members

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Ms. Lizette Montiel, Deputy Chief of Staff

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Agency Operations Committee

AGENDA ITEM VIII-B

Consideration and possible action to adopt the Annual State Compliance Monitoring Plan for Fiscal Year 2023

RECOMMENDATION: Approval

Background Information:

In accordance with Texas Education Code (TEC), Section 61.035, Internal Auditor and Compliance Monitoring, for your consideration and approval, the Compliance Monitoring team has developed the Annual State Compliance Monitoring Plan for Fiscal Year 2023. This risk-based plan presents the individual engagements to be performed.

Specific risk factors from TEC, Title 3, Subtitle B, Chapter 61, Section 61.035, Internal Auditor and Compliance Monitoring, were considered as they apply to the state compliance monitoring activities at institutions. These risk factors include:

- the amount of student financial assistance or grant funds allocated to the institution by the Board;
- whether the institution is required to obtain and submit an independent audit;
- the institution's system of internal controls;
- the length of time since the institution's last desk review or site visit;
- past misuse of funds or misreported data by the institution; and
- regarding data verification, whether the data reported to the Board by the institution is used for determining funding.

A risk-ranked listing of significant state compliance activities at institutions became the basis for the reviews proposed for consideration herein.

The Fiscal Year 2023 state compliance plan is attached.

Mark Poehl, Assistant Commissioner, Internal Audit and Compliance, and Paul Maeyaert, Assistant Director, Internal Audit and Compliance, will present this item and are available to answer questions.

TEXAS HIGHER EDUCATION COORDINATING BOARD

INTERNAL AUDIT & COMPLIANCE MONITORING

Annual State Compliance Monitoring Plan for Fiscal Year 2023

Specific risk factors from Texas Education Code, Title 3, Subtitle B, Chapter 61, Section 61.035, Internal Auditor and Compliance Monitoring, were used to develop the risk-based Annual State Compliance Monitoring Plan for Fiscal Year (FY) 2023. These risk factors included:

- the amount of student financial assistance or grant funds allocated to the institution by the Board;
- whether the institution is required to obtain and submit an independent audit;
- the institution's system of internal controls;
- the length of time since the institution's last desk review or site visit;
- past misuse of funds or misreported data by the institution; and
- regarding data verification, whether the data reported to the Board by the institution is used for determining funding.

Risk-Based Reviews

Hours %

1. Formula Funding at Institutions of Higher Education

1,725 37%

Perform reviews of contact hours at public community/junior colleges and formula funding reviews at other public institutions of higher education. Formula funding for institutions of higher education totals approximately \$4.6 billion annually.

Public Universities – Four institutions, based on risk assessment

Onsite Review

Texas A&M University

Texas Tech University

Desk Reviews

University of Houston

The University of Texas at San Antonio

Public Community/Junior Colleges – Four institutions, based on risk assessment

Onsite Review

Austin Community College

Central Texas College

Trinity Valley Community College

Desk Reviews

Tarrant County College

Public Health-Related Institution – One institution, based on risk assessment

Onsite Reviews

The University of Texas M.D. Anderson Cancer Center

2. Financial Assistance Compliance at Institutions of Higher Education	2,900	63%
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Perform compliance reviews of student financial assistance or grant programs at public community/junior colleges or other public/private institutions of higher education. Financial assistance disbursed to colleges and universities in Texas totals approximately \$957 million annually.

Public Universities – Two institutions, based on risk assessment

Onsite Reviews

Angelo State University
The University of Texas at Tyler

Public Community/Junior Colleges – Three institutions, based on risk assessment

Onsite Review

Tyler Junior College
Del Mar College

Desk Review

Weatherford College

Private Universities – Nine institutions, based on risk assessment

Onsite Review

St. Edwards University
Austin College
Southwestern Adventist University
Southwestern Christian University
Our Lady of the Lake University – San Antonio
Southern Methodist University
Paul Quinn College

Desk Review

Texas Christian University
Trinity University

Public Technical State College – One institution, based on risk assessment

Desk Review

Texas State Technical College in Waco

TOTAL RISK-BASED REVIEWS	4,625	100%
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Completion of FY 22 Risk-Based Reviews	<u>Hours</u>	<u>%</u>
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1. Formula Funding at Institutions of Higher Education	100	20%
Two institutions		

2. Financial Assistance Compliance at Institutions of Higher Education One institution	400	80%
TOTAL COMPLETION of FY 22 REVIEWS	500	100%
Follow-Up Reviews	<u>Hours</u>	<u>%</u>
3. Formula Funding at Institutions of Higher Education Four institutions	400	100%
TOTAL FOLLOW-UP REVIEWS	400	100%
4. Sexual Misconduct State Reporting at Institutions of Higher Education Perform compliance review of Senate Bill 212 and House Bill 1735 (86th Legislature) reporting at institutions of higher education.	1600	100%
TOTAL SEXUAL MISCONDUCT REVIEWS	1600	100%

Agency Operations Committee

AGENDA ITEM IX

Executive Session: Pursuant to Texas Government Code § 551.076, the committee will meet in closed session to discuss internal security audits, including of the Customer Relationship and Feedback Tracking (CRAFT) system.

RECOMMENDATION: No action required