

TEXAS HIGHER EDUCATION COORDINATING BOARD



Regular Quarterly Meeting

January 21, 2021

SCHEDULE OF QUARTERLY COORDINATING BOARD MEETINGS

April 22, 2021

July 22, 2021

October 21, 2021



TEXAS HIGHER EDUCATION COORDINATING BOARD

P.O. Box 12788 Austin, Texas 78711

MEMORANDUM

January 7, 2021

Stuart W. Stedman
CHAIR

Fred Farias III, O.D.
VICE CHAIR

Ricky A. Raven
SECRETARY OF THE BOARD

Levi D. McClenny
STUDENT REPRESENTATIVE

S. Javaid Anwar
Cody C. Campbell
Emma W. Schwartz
R. Sam Torn
Donna N. Williams
Welcome Wilson, Jr.

Harrison Keller, Ph.D.
COMMISSIONER
OF HIGHER EDUCATION

512/ 427-6101
Fax 512/ 427-6127

Web site:
<http://www.highered.texas.gov>

To: Coordinating Board Members & Interested Parties

From: Harrison Keller, Ph.D.

Subject: Coordinating Board Meeting

The agenda for the January 21, 2021, Coordinating Board meeting is attached. The meeting will be held via live broadcast according to the schedule below. The agenda is organized according to committees. The times indicated for the start and conclusion of each section of the agenda are approximate and depend on the length of discussion for each item. A link to the live broadcast for this meeting will be available on our website at <http://www.highered.texas.gov>.

PUBLIC TESTIMONY: The presiding chair shall designate whether public testimony will be taken at the beginning of the meeting, at the time the related item is taken up by the Board after staff has presented the item, or any other time as determined by the presiding chair. For procedures on testifying please go to <http://www.highered.texas.gov/public-testimony>.

Wednesday, January 20

NEW TIME

10:00 a.m.

Committee on Innovation, Data, and Educational Analytics

Via Live Broadcast

NEW TIME

10:15 a.m.

Committee on Academic Workforce and Success

Via Live Broadcast

NEW TIME

10:30 p.m.

Agency Operations Committee

Via Live Broadcast

~~6:30 p.m.~~

~~**Dinner for Board Members**~~ **Cancelled**

Thursday, January 21

9:00 a.m.

Coordinating Board Meeting

Via Live Broadcast

9:05 a.m.

Agenda Item I

Call to Order: Opening Remarks

9:20 a.m.

Agenda Item II

Public Testimony

9:25 a.m.

Agenda Item III

Approval of Minutes

9:30 a.m.

Agenda Item IV

Approval of the Consent Calendar for entire meeting

9:35 a.m.

Agenda Item V

Matters relating to the Full Board

10:15 a.m.

Agenda Item VI

Major Policy Discussion

11:15 a.m.

Agenda Item VII

Matters relating to the Committee on Innovation, Data, and Educational Analytics

NEW TIME

11.20 a.m.

Agenda Item VIII

Lunch

NEW TIME

11.20 a.m.

Agenda Item IX

Matters relating to the Committee on Academic and Workforce Success

NEW TIME

11:40 a.m.

Agenda Item X

Matters relating to the Agency Operations Committee

NEW TIME

11:45 a.m.

Agenda Item XI

Adjournment

Texas Penal Code Section 46.035(c) states: "A license holder commits an offense if the license holder intentionally, knowingly, or recklessly carries a handgun under the authority of Subchapter H, Chapter 411, Government Code, regardless of whether the handgun is concealed or carried in a shoulder or belt holster, in the room or rooms where a meeting of a governmental entity is held and if the meeting is an open meeting subject to Chapter 551, Government Code, and the entity provided notice as required by that chapter." Thus, no person can carry a handgun and enter the room or rooms where a meeting of the THECB is held if the meeting is an open meeting subject to Chapter 551, Government Code.

Please Note that this governmental meeting is, in the opinion of counsel representing THECB, an open meeting subject to Chapter 551, Government Code and THECB is providing notice of this meeting as required by Chapter 551. In addition, **please note** that the written communication required by Texas Penal Code Sections 30.06 and 30.07, prohibiting both concealed and open carry of handguns by Government Code Chapter 411 licensees, will be posted at the entrances to this governmental meeting.

TEXAS HIGHER EDUCATION COORDINATING BOARD**PREFERRED MAILING ADDRESS LIST**EMAIL: boardmember@highered.texas.gov

BOARD MEMBER	ADDRESS/PHONE/EMAIL	TERM ENDS
Stuart W. Stedman <i>Chair</i> HOUSTON	c/o Texas Higher Education Coordinating Board P. O. Box 12788, Austin, TX 78711 Phone: (512) 427-6101	08/31/21
Fred Farias III, O.D. <i>Vice Chair</i> MCALLEN	c/o Texas Higher Education Coordinating Board P. O. Box 12788, Austin, TX 78711 Phone: (512) 427-6101	08/31/25
Ricky A. Raven. <i>Secretary of the Board</i> SUGAR LAND	c/o Texas Higher Education Coordinating Board P. O. Box 12788, Austin, TX 78711 Phone: (512) 427-6101	08/31/21
S. Javaid Anwar MIDLAND	c/o Texas Higher Education Coordinating Board P. O. Box 12788, Austin, TX 78711 Phone: (512) 427-6101	08/31/21
Cody C. Campbell FORT WORTH	c/o Texas Higher Education Coordinating Board P. O. Box 12788, Austin, TX 78711 Phone: (512) 427-6101	08/31/23
Emma W. Schwartz EL PASO	c/o Texas Higher Education Coordinating Board P. O. Box 12788, Austin, TX 78711 Phone: (512) 427-6101	08/31/25
R. Sam Torn HOUSTON	/o Texas Higher Education Coordinating Board P. O. Box 12788, Austin, TX 78711 Phone: (512) 427-6101	08/31/25
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Welcome W. Wilson, Jr. HOUSTON	c/o Texas Higher Education Coordinating Board P. O. Box 12788, Austin, TX 78711 Phone: (512) 427-6101	08/31/23
Levi D. McClenny <i>Student Representative</i> COLLEGE STATION	c/o Texas Higher Education Coordinating Board P. O. Box 12788, Austin, TX 78711 Phone: (512) 427-6101	05/31/21

Dated 06/17/20

Standing Committee Membership
Effective June 01, 2020

Committee on Academic and Workforce Success (CAWS)

Fred Farias III, O.D., Chair

Donna N. Williams., Vice Chair

Cody C. Campbell

Emma W. Schwartz

R. Sam Torn

Welcome W. Wilson, Jr.

Levi D. McClenny (Student Representative), Ex-Officio

Stuart W. Stedman, Ex-Officio

Committee on Innovation, Data, and Educational Analytics (IDEA)

S. Javaid Anwar, Chair

Welcome W. Wilson, Jr., Vice Chair

Ricky A. Raven

R. Sam Torn

Donna N. Williams

Levi D. McClenny (Student Representative), Ex-Officio

Stuart W. Stedman, Ex-Officio

Agency Operations Committee (AOC)

Ricky A. Raven, Chair

Emma W. Schwartz, Vice Chair

S. Javaid Anwar

Cody C. Campbell

Fred Farias III, O.D.

Levi D. McClenny (Student Representative), Ex-Officio

Stuart W. Stedman, Ex-Officio

NOTE: The Coordinating Board meeting will be broadcast live on the Internet at <http://www.highered.texas.gov/Events>. Board meeting agendas, minutes, presentations, and reports are also available at this address.

TEXAS HIGHER EDUCATION COORDINATING BOARD

A G E N D A

Regular Quarterly Meeting

VIA LIVE BROADCAST

9:00 A.M., Thursday, January 21, 2021

The Texas Higher Education Coordinating Board may convene in Executive Session at any point in this meeting, concerning any item listed in the agenda or to seek or to receive its attorney's advice on legal matters related thereto, pursuant to Texas Government Code Ann. 551.071.

PUBLIC TESTIMONY: The presiding chair shall designate whether public testimony will be taken at the beginning of the meeting, at the time the related item is taken up by the Board after staff has presented the item, or any other time as determined by the presiding chair. For procedures on testifying please go to <http://www.highered.texas.gov/public-testimony>.

I. Call to Order: Opening Remarks

- A. Commissioner's Remarks

II. Public Testimony

- A. Public Testimony

III. Approval of Minutes

- A. Quarterly Board Meeting, October 22, 2020

IV. Approval of the Consent Calendar

- A. Consent Calendar

V. Matters relating to the Full Board

- A. Gifts and Donations to the Board
- B. 60x30TX Refinement Update
- C. Agency Strategic Planning Update

VI. Major Policy Discussion

- A. Update on Texas Transfer Framework
- B. Remarks by Senator Royce West

VII. Matters relating to the Committee on Innovation, Data, and Educational Analytics

- A. Committee Chair's Overview
- B. Consideration of approval of the data report required by Texas Education Code §51.4033 and 51.4034 related to non-transferable credit and transfer courses
- C. Consideration of approval of the study of post-secondary outcomes for certain traditional and non-traditional high school students as required by Texas Education Code § 61.06692
- D. Review of facilities project submitted to the Coordinating Board, pursuant to Texas Education Code §61.0572 and §61.058
- E. Report on Financial Aid Advisory Committee activities
- F. Proposed Rules
 - (1) Consideration of adopting the proposed amendments to Chapter 17, Subchapter L, Section 17.113 of Board rules, concerning the Institutional Audit Cycle
 - (2) Consideration of adopting the proposed amendments to Chapter 22, Subchapter A, Section 22.1 of Board rules, definitions for student financial aid programs
- G. Consideration of approving the recommendation to enter into staff augmentation and technology service contracts to support implementation of the data modernization initiative

NEW ITEM

VIII. Lunch

IX. Matters relating to the Committee on Academic and Workforce Success

- A. Committee Chair's Overview
- B. Consideration of approval of the certification required by Education Code § 62.146 for the National Research University Fund for Fiscal Year 2020
- C. Consideration of adopting Report Regarding Compliance by Postsecondary Educational Institutions on Reporting Incidents of Sexual Harassment, Sexual Assault, Dating Violence, and Stalking required by Texas Education Code § 51.258
- D. Consideration of adopting the Report on Developmental Education (Rider 32, 86th Texas Legislature, Regular Session)

- E. Consideration of adopting the July 2020 Annual Compliance Reports for institutions under a Certificate of Authorization (Names beginning with "P" through "Z")

- F. Consideration of approving the requests for a new degree program:

PRAIRIE VIEW A&M UNIVERSITY

(1) Doctor of Business Administration (DBA) in Business Administration

THE UNIVERSITY OF HOUSTON

(2) Doctor of Nursing Practice in Nursing Practice (51.3818.00)

THE UNIVERSITY OF TEXAS RIO GRANDE VALLEY

(3) Doctor of Philosophy (PhD) in Mathematics and Statistics with Interdisciplinary Applications

THE UNIVERSITY OF TEXAS RIO GRANDE VALLEY

(4) Doctor of Nursing Practice (DNP) in Nursing Practice

- G. Consideration of approving the appointment of members to the Apply Texas Advisory Committee

- H. Consideration of approving the allocation of funds for Completion Models that scale and enhance course strategies for successful completions for students in their second semester

- I. Report on institutional requests acted on by the Commissioner or Assistant Commissioner since the last Board meeting

- J. Proposed Rules:

(1) Consideration of adopting the proposed repeals of Texas Administrative Code, Title 19, Part 1, Chapter 4, Subchapter N, Sections 4.227(11) and 4.229, concerning Public Access to Course Information

(2) Consideration of adopting the proposed amendments for Title 19, Part 1, Chapter 13, Subchapter G, Sections 13.122 and 13.126 of Board Rules, concerning Restricted Research Expenditures

(3) Consideration of adopting the proposed repeal of Title 19, Part 1, Chapter 13, Subchapter K, Sections 13.190 – 13.197 of Board Rules, concerning the Technology Workforce Development Grant Program

X. Matters relating to the Agency Operations Committee

- A. Committee Chair's Overview

- B. Report on grants and contracts

- C. Consideration of approving the issuance of a Request for Proposals (RFP) for selection of a vendor for Bond Counsel relating to the performance of legal services for the agency in support of the student loan bond program
- D. Consideration of approving a two-year extension for the student loan software contract with Nelnet
- E. Consideration of approving the issuance of a Request for Proposals (RFP) for selection of a vendor to provide software for supporting and servicing of the agency's student loan programs

XI. Adjournment

AGENDA ITEM I-A

Commissioner's Remarks

RECOMMENDATION: No action required

Background Information:

The Board will invite Dr. Harrison Keller, Commissioner of Higher Education, to comment on some of the important agenda items.

AGENDA ITEM II-A

Public Testimony

RECOMMENDATION: No action required

Background Information:

PUBLIC TESTIMONY: The presiding chair shall designate whether public testimony will be taken at the beginning of the meeting, at the time the related item is taken up by the Board after staff has presented the item, or any other time as determined by the presiding chair.

AGENDA ITEM III-A

Consideration of Approval of the Minutes from October 22, 2020, Quarterly Board Meeting

RECOMMENDATION: Approval

TEXAS HIGHER EDUCATION COORDINATING BOARD

DRAFT M I N U T E S

October 22, 2020

Regular Quarterly Meeting

Via Video Conference Call

The Texas Higher Education Coordinating Board convened at 9:03 a.m. on October 22, 2020, with the following members present: Stuart Stedman, presiding; Javaid Anwar; Cody Campbell; Fred Farias; Emma Schwartz; Sam Torn; Donna Williams; Welcome Wilson; and Levi McClenny (ex-officio).

Members absent: Ricky Raven

AGENDA ITEM	ACTION
I. Call to order: Opening Remarks	<p>Mr. Stedman called the teleconference meeting of the Coordinating Board to order and called the role. All members, except for Mr. Raven, were present.</p> <p>On a motion by Mr. Anwar, seconded by Mr. Wilson, the Board excused the absence of Mr. Raven. The vote was unanimous.</p> <p>A quorum was met for this board meeting.</p>
A. Commissioner's Remarks	No action required.
II. Public Testimony	
A. Public Testimony	No action required.
III. Approval of Minutes	
A. Quarterly Board Meeting, July 23, 2020	On a motion by Mr. Torn, seconded by Mr. Anwar, the Board approved the minutes for the July Quarterly Board Meeting. The vote was unanimous.
B. Special Called Board Meeting, August 25, 2020	On a motion by Mr. Anwar, seconded by Ms. Schwartz, the Board approved the minutes for the August Special Called Board Meeting. The vote was unanimous.
C. Special Called Board Meeting, September 10, 2020	On a motion by Mr. Torn, seconded by Mr. Anwar, the Board approved the minutes for the September Special Called Board Meeting. The vote was unanimous.

AGENDA ITEM	ACTION
IV. Approval of the Consent Calendar	
<p>A. Consent Calendar</p>	<p>On a motion by Mr. Wilson, seconded by Mr. Torn, the Board approved the non-rule Consent Calendar as amended. The vote was unanimous.</p> <p>Agenda Item IX-J was removed from the consent calendar by Mr. Stedman.</p> <p>Items approved on the non-rule consent calendar as amended were: Agenda Item VII-C; Agenda Item VII-D; Agenda Item VII-E; Agenda Item VII-F; Agenda Item VII-G; Agenda Item VII-H; Agenda Item IX-B; Agenda Item IX-C; Agenda Item IX-D; Agenda Item IX-E; Agenda Item IX-F; Agenda Item IX-G; Agenda Item IX-H; Agenda Item IX-I; Agenda Item IX-K (1); Agenda Item IX-K (2); Agenda Item IX-N; Agenda Item IX-O; X-B; and Agenda Item X-C.</p> <p><i>Due to technical difficulties the live broadcast of the Quarterly Board Meeting was halted at 9:27 a.m. The meeting and live broadcast resumed at 9:34 a.m. The Chair resumed with the rule items on the consent calendar and revoted those items</i></p> <p>On a motion by Dr. Farias, seconded by Mr. Anwar, the Board approved the rule Consent Calendar as amended. The vote was unanimous.</p> <p>Agenda Item IX-P (4) was removed from the consent calendar by Mr. Stedman.</p> <p>Ms. Nichole Bunker-Henderson, General Counsel, presented an overview of the rules on consent and was available for questions.</p> <p>Items approved on the rule consent calendar as amended were: VII-I (1); Agenda Item VII-I (2); Agenda Item VII-I (3); Agenda Item VII-I (4); Agenda Item VII-I (5); Agenda Item VII-I (6); Agenda Item VII-I (7); Agenda Item IX-P (1); Agenda Item IX-P (2); and Agenda Item IX-P (3).</p>
V. Major Policy Discussion	
<p>A. Appointment of 60x30TX Refresh - Steering Committee</p> <p>B. 60X30TX Update and Refinement</p>	<p>Mr. Stedman announced that Agenda items V-A and V-B would be taken out of turn and would be heard following agenda item VII.</p>

AGENDA ITEM	ACTION
VI. Matters relating to the Full Board	
<p>A. Consideration and possible approval of the Board to enter an Interagency Agreement with The University of Texas at Austin (UT-Austin) to transfer the Texas OnCourse program to the Texas Higher Education Coordinating Board (THECB).</p>	<p>On a motion by Mr. Torn, seconded by Mr. Anwar, the Board approved the Board entering an Interagency Agreement with The University of Texas at Austin to transfer the Texas OnCourse program to the Texas Higher Education Coordinating Board. The vote was unanimous.</p> <p>Ms. Melissa Henderson, Associate Commissioner, presented this item and was available for questions.</p>
<p>B. Acceptance of Gifts and Donations to the Board</p>	<p>On a motion by Ms. Williams, seconded by Mr. Wilson, the Board approved the acceptance of Gifts and Donations to the Board. The vote was unanimous.</p> <p>Ms. Nichole Bunker-Henderson, General Counsel, presented this item and was available for questions.</p>
<p>C. Consideration of renewing Emergency Rule § 1.15(b) authorizing the Commissioner of Higher Education to make adjustments to reporting requirements pertaining to formula funding as necessary to assist institutions of higher education (IHEs) in responding to the COVID-19 pandemic or other disasters, with an effective date of December 24, 2020 for a period of 60 days.</p>	<p>On a motion by Mr. Torn, seconded by Mr. Anwar, the Board approved renewing Emergency Rule § 1.15(b) authorizing the Commissioner of Higher Education to make adjustments to reporting requirements pertaining to formula funding as necessary to assist institutions of higher education (IHEs) in responding to the COVID-19 pandemic or other disasters, with an effective date 60 days beyond the expiration of the current emergency rule. The vote was unanimous. A copy of the Board rules as adopted may be found in the agenda materials.</p> <p>Ms. Emily Cormier, Assistant Commissioner for Funding, presented this item and was available for questions.</p>
VII. Matters Relating to the Committee on Innovation, Data, and Educational Analytics	
<p>A. Committee Chair's Overview</p>	<p>No action required.</p>

AGENDA ITEM	ACTION
B. Consideration of approval of the <i>60x30TX</i> Progress Report	<p>On a motion by Mr. Wilson, seconded by Ms. Schwartz, the Board approved the <i>60x30TX</i> Progress Report. The vote was unanimous.</p> <p>Dr. Julie Eklund, Assistant Commissioner for Strategic Planning, was available for questions.</p>
C. Consideration of adopting the certification of gifts that are fundable through the Texas Research Incentive Program (TRIP) for the Emerging Research Universities	This item was approved on the Consent Calendar.
D. Consideration of adopting the Evaluation of the Nursing Shortage Reduction Program report (<i>General Appropriations Act, House Bill 1, Article III, Section 28(g), 86th Texas Legislature</i>)	This item was approved on the Consent Calendar.
E. Consideration of adopting the report to the Legislature on High School Individual Graduation Committee (IGC) Graduates (Texas Education Code, Section 28.02591)	This item was approved on the Consent Calendar.
F. Review of facilities projects that were submitted to the Coordinating Board	This item was approved on the Consent Calendar.
G. Consideration of adopting the report on Student Financial Aid in Texas Higher Education, Fiscal Year 2019 (<i>General Appropriations Act, House Bill 1, Article III, Section 25, 86th Texas Legislature</i>)	This item was approved on the Consent Calendar.
H. Consideration of adopting the staff recommendation to the Board relating to approval of the nominated members of the Financial Aid Advisory Committee	This item was approved on the Consent Calendar.
<p>I. Proposed Rules:</p> <p>(1) Consideration of adopting proposed amendments to Chapter 22, Subchapter A, Section 22.11 of Board rules, concerning General Provisions</p>	This item was approved on the Consent Calendar. A copy of the Board rules as adopted may be found in the agenda materials.

AGENDA ITEM	ACTION
<p>(2) Consideration of adopting proposed amendments to Chapter 22, Subchapter B, Section 22.28 of Board rules, concerning Provisions for the Tuition Equalization Grant Program</p> <p>(3) Consideration of adopting the proposed repeal of Chapter 22, Subchapter L, Sections 22.232, 22.235, 22.238-22.240, and 22.242 of Board Rules, and amendments to Sections 22.225-22.231, 22.233, 22.234, and 22.241 of Board rules, concerning the Toward EXcellence, Access, and Success (TEXAS) Grant Program</p> <p>(4) Consideration of proposed amendments to Chapter 22, Subchapter M, Section 22.261 of Board rules, concerning the Texas Educational Opportunity Grant Program</p> <p>(5) Consideration of adopting the proposed repeal of Chapter 22, Subchapter Q, Sections 22.331-22.336 and 22.342-22.344 of Board rules, and amendments to Chapter 22, Subchapter Q, Sections 22.329, 22.330, 22.339, and 22.341, concerning the Texas B-On-Time Loan Program</p> <p>(6) Consideration of adopting proposed amendments to Chapter 23, Subchapter C, Sections 23.65-23.67 of Board rules, concerning The Physician Education Loan Repayment Program</p> <p>(7) Consideration of adopting proposed amendments to Chapter 23, Subchapter H, Sections 23.200 and 23.214 of Board Rules, concerning the Peace Officer Loan Repayment Assistance Program</p>	<p>This item was approved on the Consent Calendar. A copy of the Board rules as adopted may be found in the agenda materials.</p> <p>This item was approved on the Consent Calendar. A copy of the Board rules as adopted may be found in the agenda materials.</p> <p>This item was approved on the Consent Calendar. A copy of the Board rules as adopted may be found in the agenda materials.</p> <p>This item was approved on the Consent Calendar. A copy of the Board rules as adopted may be found in the agenda materials.</p> <p>This item was approved on the Consent Calendar. A copy of the Board rules as adopted may be found in the agenda materials.</p> <p>This item was approved on the Consent Calendar. A copy of the Board rules as adopted may be found in the agenda materials.</p>
V. Major Policy Discussion	
<p>A. Appointment of <i>60x30TX</i> Refresh - Steering Committee</p>	<p>Mr. Stedman announced that he had appointed himself, Dr. Farias, Ms. Williams, and Mr. Wilson, to the <i>60x30TX</i> Refresh - Steering Committee. No action required.</p> <p>Ms. Melissa Henderson, Associate Commissioner, was available for questions.</p>

AGENDA ITEM	ACTION
B. 60X30TX Update and Refinement	Dr. Harrison Keller, Commissioner of Higher Education, and Ms. Melissa Henderson, Associate Commissioner, presented this item and were available for questions. No action required.
VIII. Lunch	Mr. Stedman announced the Board would not break for lunch.
IX. Matters relating to the Committee on Academic and Workforce Success	
A. Committee Chair's Overview	No action required.
B. Consideration of adopting the report on Access to Higher Education for Persons with Intellectual and Developmental Disabilities (<i>Texas Education Code, Section 61.06641</i>)	This item was approved on the Consent Calendar.
C. Consideration of adopting the report on the Recruitment of Persons with Intellectual and Developmental Disabilities at Institutions of Higher Education (<i>Texas Education Code, Section 61.0664</i>)	This item was approved on the Consent Calendar.
D. Consideration of adopting the report on The Effectiveness of the Advise TX Program (<i>General Appropriations Act, House Bill 1, Article III, Section 51, 86th Texas Legislature</i>)	This item was approved on the Consent Calendar.
E. Consideration of adopting the Graduate Medical Education Report: An Assessment of Opportunities for Graduates of Texas Medical Schools to Enter Residency Programs in Texas (<i>Texas Education Code, Section 61.0661(b)</i>)	This item was approved on the Consent Calendar.
F. Consideration of adopting the Aerospace and Aviation Report (<i>Texas Government Code, Section 481.0066(d-2)</i>)	This item was approved on the Consent Calendar.
G. Consideration of adopting Study and Report on Core Curriculum (<i>Senate Bill 25, 86th Texas Legislature, Regular Session; Texas Education Code, Section 61.8221</i>)	This item was approved on the Consent Calendar.
H. Consideration of adopting the Texas Application for State Financial Aid (TASFA) Advisory Committee report set out in the Texas Education Code, Section 61.07762	This item was approved on the Consent Calendar.

AGENDA ITEM	ACTION
I. Consideration of adopting the Texas Application for State Financial Aid (TASFA) Advisory Committee report set out in Texas Education Code Section 61.0776	This item was approved on the Consent Calendar.
J. Consideration of adopting the Study regarding Streamlining Physician Licensing Requirements for Advanced Practice Registered Nurses (<i>Texas Education Code, Section 61.06693</i>)	<p>On a motion by Ms. Schwartz, seconded by Ms. Williams, the Board authorized the Commissioner to finalize the Study Regarding Streamlining Physician Licensing Requirements for Advanced Practice Registered Nurses and send the report to the Legislature upon completion. The vote was unanimous.</p> <p>Dr. Stacey Silverman, Assistant Commissioner for Academic Quality and Workforce, presented this item and was available for questions.</p>
<p>K. Consideration of adopting the requests for a new degree program:</p> <p>THE UNIVERSITY OF TEXAS AT ARLINGTON (1) Bachelor of Science (BS) in Data Science</p> <p>THE UNIVERSITY OF TEXAS AT AUSTIN (2) Bachelor of Science and Bachelor of Arts in Informatics</p> <p>THE UNIVERSITY OF TEXAS RIO GRANDE VALLEY (3) Doctor of Occupational Therapy (OTD) in Occupational Therapy</p> <p>THE UNIVERSITY OF TEXAS RIO GRANDE VALLEY (4) Doctor of Podiatric Medicine (DPM)</p>	<p>This item was approved on the Consent Calendar.</p> <p>This item was approved on the Consent Calendar.</p> <p>On a motion by Dr. Farias, seconded by Ms. Williams, the Board adopted the new degree program. The vote was unanimous.</p> <p>Dr. Stacey Silverman, Assistant Commissioner for Academic Quality and Workforce, presented this item and was available for questions.</p> <p>On a motion by Dr. Farias, seconded by Ms. Schwartz, the Board adopted the new degree program. The vote was unanimous.</p> <p>Dr. Stacey Silverman, Assistant Commissioner for Academic Quality and Workforce, presented this item and was available for questions.</p>

AGENDA ITEM	ACTION
<p>L. Consideration of approving the issuance of a Request for Applications for the Minority Health Research and Education Grant Program</p>	<p>On a motion by Mr. Anwar, seconded by Ms. Williams, the Board approved the issuance of a Request for Applications for the Minority Health Research and Education Grant Program. The vote was unanimous.</p> <p>Dr. Stacey Silverman, Assistant Commissioner for Academic Quality and Workforce, presented this item and was available for questions.</p>
<p>M. Consideration of approving the issuance of a Request for Applications to solicit employers to offer internships through the Texas WORKS Internship Program</p>	<p>On a motion by Mr. Wilson, seconded by Ms. Williams, the Board approved the issuance of a Request for Applications to solicit employers to offer internships through the Texas WORKS Internship Program.</p> <p>Mr. Jerel Booker, Assistant Commissioner for College Readiness and Success, presented this item and was available for questions.</p>
<p>N. Consideration of approving a request to establish a contract with a higher education artificial intelligence vendor for the Virtual Advising Project (ADVi)</p>	<p>This item was approved on the Consent Calendar.</p>
<p>O. Report on institutional requests acted on by the Commissioner or Assistant Commissioner since the last Board meeting</p>	<p>This item was approved on the Consent Calendar.</p>
<p>P. Proposed Rules:</p> <p>(1) Consideration of adopting the proposed amendment to Chapter 1, Subchapter T, Section 1.223 of Board Rules, concerning the Workforce Education Course Manual Advisory Committee</p> <p>(2) Consideration of adopting the proposed amendments to Chapter 4, Subchapter S, Section 4.314 of Board Rules, concerning the Approval for Participation in the State Authorization Reciprocity Agreement (SARA) for Public Institutions of Higher Education</p>	<p>This item was approved on the Consent Calendar. A copy of the Board rules as adopted may be found in the agenda materials.</p> <p>This item was approved on the Consent Calendar. A copy of the Board rules as adopted may be found in the agenda materials.</p>

AGENDA ITEM	ACTION
<p>(3) Consideration of adopting the proposed amendments to Chapter 7, Subchapter B, Section 7.54 of Board Rules, concerning the Approval for Participation in the State Authorization Reciprocity Agreement (SARA) for Private or Independent Institutions of Higher Education and Private Postsecondary Educational Institutions</p> <p>(4) Consideration of adopting the proposed amendments to Chapter 4, Subchapter C, Sections 4.56, 4.57, and 4.62 of Board Rules, concerning the Texas Success Initiative</p>	<p>This item was approved on the Consent Calendar. A copy of the Board rules as adopted may be found in the agenda materials.</p> <p>On a motion by Ms. Schwartz, seconded by Mr. Torn, the Board adopted the proposed amendments to Chapter 4, Subchapter C, Sections 4.56, 4.57, and 4.62 of Board Rules, concerning the Texas Success Initiative. A copy of the Board rules as adopted may be found in the agenda materials.</p> <p>Mr. Jerel Booker, Assistant Commissioner for College Readiness and Success, presented this item and was available for questions.</p>
X. Matters relating to the Agency Operations Committee	
A. Committee Chair's Overview	No action required.
B. Report on grants and contracts	This item was approved on the Consent Calendar.
C. Consideration of adopting a resolution authorizing the issuance of State of Texas College Student Loan Bonds in one or more series; and delegation of the authority for administration and approval of the activities necessary to complete the sale of the private activity bonds	This item was approved on the Consent Calendar.
XI. Adjournment	

With no further business, on a motion by Dr. Farias, seconded by Ms. Williams, the meeting adjourned at approximately 11:02 a.m.

Respectfully Submitted,

Ricky A. Raven
Secretary of the Board

AGENDA ITEM IV-A

Consideration of Approval of the Consent Calendar

RECOMMENDATION: Approval

Background Information:

In order to ensure that meetings are efficient, the Board has a Consent Calendar for items that are noncontroversial. Any item can be removed from the Consent Calendar by a Board member.

TEXAS HIGHER EDUCATION COORDINATING BOARD
REGULAR QUARTERLY MEETING
****DRAFT****
CONSENT CALENDAR
January 2021

VII. Matters relating to the Committee on Innovation, Data, and Educational Analytics

- D. Review of facilities project submitted to the Coordinating Board, pursuant to Texas Education Code §61.0572 and §61.058
- E. Report on Financial Aid Advisory Committee activities
- F. Proposed Rules
 - (1) Consideration of adopting the proposed amendments to Chapter 17, Subchapter L, Section 17.113 of Board rules, concerning the Institutional Audit Cycle
 - (2) Consideration of adopting the proposed amendments to Chapter 22, Subchapter A, Section 22.1 of Board rules, definitions for student financial aid programs

IX. Matters relating to the Committee on Academic and Workforce Success

- B. Consideration of approval of the certification required by Education Code § 62.146 for the National Research University Fund for Fiscal Year 2020
- D. Consideration of adopting the Report on Developmental Education (Rider 32, 86th Texas Legislature, Regular Session)
- G. Consideration of approving the appointment of members to the Apply Texas Advisory Committee
- J. Proposed Rules:
 - (1) Consideration of adopting the proposed repeals of Texas Administrative Code, Title 19, Part 1, Chapter 4, Subchapter N, Sections 4.227(11) and 4.229, concerning Public Access to Course Information
 - (2) Consideration of adopting the proposed amendments for Title 19, Part 1, Chapter 13, Subchapter G, Sections 13.122 and 13.126 of Board Rules, concerning Restricted Research Expenditures
 - (3) Consideration of adopting the proposed repeal of Title 19, Part 1, Chapter 13, Subchapter K, Sections 13.190 – 13.197 of Board Rules, concerning the Technology Workforce Development Grant Program

AGENDA ITEM V-A

Acceptance of Gifts and Donations to the Board

RECOMMENDATION: Approval

Background Information:

The Board will consider the acceptance of gifts and donations to the Board.

Nichole Bunker-Henderson, General Counsel, will present this item and be available to answer questions.

Agenda Item V-A

The following gifts and donations have been provided to the agency since the October 2020 Quarterly Board Meeting. This Board must vote to accept these gifts or donations.

Date Received	Gift or Donation	Donor Name	Estimated Value
11/2/20	Alvarez & Marsal - Additional funding to support the data infrastructure modernization project.	Texas Higher Education Foundation	\$436,205
01/6/21	Burning Glass Technologies for technical analyses to support GradTX 2.0	Texas Higher Education Foundation	\$160,000

AGENDA ITEM V-B

60x30TX Refinement and Update

RECOMMENDATION: No action required

Background Information

Staff will give an update on the process for refining the *60x30TX* plan. The state's higher education, workforce, and economic landscapes have been indelibly altered by the extraordinary challenges and impacts of COVID-19. These impacts exposed and exacerbated existing opportunity gaps, threatening the state's competitiveness and ability to innovate.

Higher education institutions and, most importantly, their graduates, will play critical roles in driving an equitable economic recovery for Texans, their communities, and the state. The Texas Higher Education Coordinating Board is prepared to provide strategic leadership to respond to the changed landscape, in close partnership with institutions, other state agencies, and communities across the state to re-examine and refine *60x30TX* goals to effectively meet the needs of the state's workforce, its economy, and individual Texans.

Melissa Henderson, Associate Commissioner, will provide this overview and be available for questions.

AGENDA ITEM V-C

Agency Strategic Planning Update

Background Information:

The Coordinating Board has launched a formal and comprehensive strategic planning effort, focused on clear, actionable priorities for guiding the agency's work. This will enable THECB to deploy its resources toward long-term change and ultimately achieve the agency's desired impact for Texas' students and workforce. It will clarify the mission, strategic priorities, and enablers, and allow the agency to focus its work in improving outcomes for over one million Texas postsecondary students, accelerating its pace, scale, and impact. Staff will give an update on this strategic planning effort.

Melissa Henderson, Associate Commissioner, will present this item and be available to answer questions.

AGENDA ITEM VI-A

Update on Texas Transfer Framework

RECOMMENDATION: No action required

Background Information:

Substantially improving transfer, particularly for students attending community colleges who plan to transfer to a public four-year university is a priority for the Coordinating Board. Senate Bill 25 (SB 25), passed by the 86th Texas Legislature strengthened transfer policies and included several provisions to improve transfer for Texas students. To build on the momentum created by SB 25, the Coordinating Board convened the Improving Texas Transfer Workgroup. The Workgroup was comprised of an equal number of representatives from public two- and four-year institutions.

The workgroup met weekly from April through October to study and develop recommendations to improve vertical transfer and applicability of credit. The workgroup heard from state and national leaders to help inform them of approaches and policies. Additionally, the workgroup reviewed existing Texas data and current mechanisms in place that could be updated or revised to improve transfer. The workgroup collaborated to develop recommendations that would substantially 1) improve vertical transfer, 2) improve applicability of credit to a major, and 3) reduce excess semester credit hours.

The Texas Transfer Framework is intended to complement and bolster transfer pathways and cross-institutional partnerships that are student-centric and flexible for students and institutions, particularly through regional collaborations that effectively streamline students' most common transfer pathways. The proposed implementation rollout will also protect current students who are pursuing existing Field of Study Curricula, while providing paths for current and future students to take advantage of the Texas Transfer Framework.

Jacob Fraire, President and Chief Executive Officer, Texas Association of Community Colleges, and Dr. James Hallmark, Vice Chancellor for Academic Affairs, Texas A&M University System, will provide the workgroup's recommendations and an overview of the Texas Transfer Framework.

Ray Martinez, Deputy Commissioner for Academic Affairs and Workforce Education, and Dr. Stacey Silverman, Assistant Commissioner for Academic and Health Affairs, will be available for questions.

AGENDA ITEM VI-B

Remarks by Senator Royce West

RECOMMENDATION: No action required

Background Information:

Senator Royce West, Vice Chair of the Senate Committee on Higher Education and member of Senate committees on Finance, Education, Redistricting, and Transportation will address the Board.

AGENDA ITEM VII-A

Committee Chair's Overview

Mr. S. Javaid Anwar, Chair of the Committee on Innovation, Data, and Educational Analytics, will provide the Board an overview of the items on the agenda.

AGENDA ITEM VII-B

Consideration of approval of the data report required by Texas Education Code §51.4033 and 51.4034 related to non-transferable credit and transfer courses

RECOMMENDATION: Approval

Background Information:

Texas Education Code (TEC), Sections 51.4033 and 51.4034, require the Texas Higher Education Coordinating Board (THECB) to provide the Legislature with two transfer-related data reports by March 1 of each year, beginning in 2021. Institutions are required to report the necessary data to the THECB to facilitate the preparation of these reports. The report requirements were part of several outlined in Senate Bill 25 (SB 25), passed in the 86th Legislative Session, relating to measures to facilitate the transfer, academic progress, and timely graduation of students in public higher education. Because the reports both relate to transfer, the information will be combined into one report for the Legislature.

Specifically, Section 51.4033 of the TEC focuses on data collected from public universities. It requires a report of non-transferable credit that describes any courses in the *Lower-Division Academic Course Guide Manual* or its successor for which 1) a student who transfers to the institution from another institution of higher education is not granted academic credit at the receiving institution; or 2) a student has declared a major and has not changed majors, and has not received academic credit toward the student's major at the receiving institution. The report must indicate the course name and type, which institution of higher education provided academic credit for the course, and the reason why the receiving institution did not grant academic credit for the course.

Section 51.4034 of the TEC requires a report of courses taken at public two-year colleges. It focuses on courses taken by students who, during the preceding academic year, transferred to a general academic teaching institution or earned an associate degree at a college. The report includes the total number of courses attempted and completed at the college from which the student transferred or earned a degree, including the total number of semester credit hours for those courses, disaggregated by whether the course is in the *Workforce Education Course Manual* or the *Lower-Division Academic Course Guide Manual*. It also includes courses attempted and completed at the college that are not in the recommended core curriculum developed by the THECB under Section 61.822 of the TEC, and courses taken for dual credit.

The required data report will be completed once data are submitted to the THECB for this purpose and subsequently certified. The data are collected through the standardized process on Coordinating Board Management (CBM) reports, including through a newly implemented report for public four-year institutions, the CBM Transfer report, or through the Student Schedule Report, which collects data about course completion. Due to the timing of the reporting collection, the report is expected to be finalized in February 2021 for submission to the Legislature by the required date of March 1, 2021.

THECB staff respectfully requests that the Committee recommend that the Board of the THECB authorize the Commissioner to submit the SB 25 data report to the Governor's Office and Legislature as soon as it is finalized.

Dr. Julie Eklund, Assistant Commissioner for Strategic Planning, will present this item and be available to answer questions.

AGENDA ITEM VII-C

Consideration of approval of the study of post-secondary outcomes for certain traditional and non-traditional high school students as required by Texas Education Code §61.06692

RECOMMENDATION: Approval

Background Information:

As per Texas Education Code, Section 61.06692, enacted in 2019 by the 86th Texas Legislature, the Texas Higher Education Coordinating Board is required to conduct a study comparing postsecondary educational outcomes for students enrolled at institutions of higher education who received a high school diploma and students enrolled at those institutions who received a high school equivalency certificate, disaggregated by the high school equivalency examination completed.

Dr. Melissa Humphries, Director, Strategic Planning Division, will provide a presentation of the study findings and be available to answer questions.

AGENDA ITEM VII-D

Review of facilities projects submitted to the Coordinating Board, pursuant to Texas Education Code §61.0572 and §61.058

RECOMMENDATION: No action required

Background Information:

Senate Bill 215, 83rd Texas Legislature, Regular Session, 2013, shifted the authority to approve capital projects from the Texas Higher Education Coordinating Board (THECB) to the Boards of Regents. However, it grants the THECB the authority to require that institutions continue to report projects to the Board, and THECB staff continue to review facilities projects. Additional information can be provided upon request for projects that do not meet one or more standards. The Board must notify the governor, lieutenant governor, speaker of the house, and Legislative Budget Board on all projects that do not meet standards. These materials serve as that notification.

Ms. Emily Cormier, Assistant Commissioner for Funding, will be available to answer questions.

Reviewed Projects

Institution Project Name	Project Number	Project Cost	Standard Met - Yes/No			
			Space Usage	Space Need	Cost	Building Efficiency
Texas A&M University System Engineering Experiment Station <i>Innovative Technologies Development Complex</i>	000712-20-001	\$80,000,000	NA ¹	Yes	Yes	Yes
University of Houston <i>Melcher Gym Pool Infill and Renovation</i>	003652-20-003	\$15,750,000	NA ²	Yes	Yes	Yes
Texas A&M University - San Antonio <i>Academic & Administrative Building Phase 1</i>	042485-20-001	\$30,000,000	No ³	Yes	Yes	Yes
Texas A&M University - San Antonio <i>Academic & Administrative Building Phase 2</i>	042485-20-002	\$53,000,000	No ³	Yes	Yes	No ⁴
Texas A&M University System <i>RELLIS Academic Complex - Phase II</i>	003629-21-001	\$28,650,000	NA ¹	NA ⁵	Yes	No ⁶
Texas A&M University Health Science Center <i>Engineering and Health Building Renovation</i>	000089-21-001	\$85,718,000	NA ²	Yes	Yes	No ⁷
Texas A&M University <i>Instructional Laboratory & Innovative Learning Building</i>	003632-20-002	\$100,000,000	Yes	Yes	Yes	No ⁸

¹ Space Usage Efficiency is not calculated for Texas A&M System Agencies or System Offices.

² Space Usage Efficiency is not applicable to repair and renovation projects.

³ While the standards for space usage efficiency are not currently met, the institution reports that the downward expansion of this campus and the relocation of a college to the campus will significantly increase the classroom/class lab need on campus, and therefore increase the campus utilization.

⁴ The institution reports that the project does not meet building efficiency standards due to a large amount of shell space in the project. Build-out of this shell space is expected to be included as part of the final project, which will then meet the minimum standard.

⁵ Space Need is not calculated for System Offices.

⁶ The institution reports that to avoid potential issues that occurred on previous projects with similar designs, the penthouse portion of this project was enclosed. This increased the non-assignable GSF space of the project and lowered the efficiency. The actual building does meet the standard when removing the penthouse space from the calculation.

⁷ The institution reports that the space usage efficiency appears low because two levels, in addition to a mechanical penthouse and half of the basement are unassigned for mechanical systems, and nine levels and half of the basement are unassigned shell space for future lease opportunities.

⁸ The current design of the building does not meet the efficiency standard due to safety requirements for the Chemistry Department. To separate hazardous chemical traffic from student traffic, a racetrack corridor system with a separate laboratory prep corridor is included in the design. This factor reduced the overall efficiency but creates a safer environment.

Guidelines Used for Capital Project Reviews				
Project Type	Space Usage	Space Need	Cost	Building Efficiency
New Construction and Addition	Space Usage Efficiency (SUE) score of: <ul style="list-style-type: none"> 75 points in the classroom score for classroom type facilities 75 points in the class laboratory score for lab type facilities 150 points overall for all others 	Does not create nor add to a surplus as predicted in the space projection model	Does not exceed the annually published cost standard	The ratio of net assignable square feet (NASF) to gross square feet (GSF) shall not exceed: <ul style="list-style-type: none"> Classroom and general – 0.60 Office – 0.65 Clinical, diagnostic support labs, and technical research – 0.50 For parking structures: <ul style="list-style-type: none"> Automobile – 400 SF per space Boathouses – 500 SF per space Airplanes – 3,000 SF per space
Repair and Renovation (including repairs and renovations as part of a real property purchase)	Not applicable	Does not create nor add to a surplus as predicted in the space projection model	Does not exceed the annually published cost standard	Does not reduce existing ratio of NASF to GSF more than ten percent
Real Property Purchases	Not applicable	Does not create nor add to a surplus as predicted in the space projection model	Should not exceed the higher of two appraisals. If the cost exceeds this amount, institution must demonstrate the need to purchase at the higher price	Not applicable

AGENDA ITEM VII-E

Report on Financial Aid Advisory Committee activities

RECOMMENDATION: No action required

Background Information:

Texas Higher Education Coordinating Board (THECB) rules require advisory committees to report on committee activities on an annual basis. This allows the THECB to properly evaluate the committee's work, usefulness, and the costs related to the committee's existence. The current report covers the period from October 2019 through October 2020.

The Financial Aid Advisory Committee (FAAC) is authorized under Texas Education Code, Section 61.0776 and Texas Government Code, Section 2110.0012. The Financial Aid Advisory Committee provides the Board of the THECB with advice and recommendations regarding the development, implementation, and evaluation of state financial aid programs for college students. The FAAC also assists staff in the development of training materials for use by the Center for Financial Aid Information and others in informing students, parents, secondary education counselors, college personnel, members of appropriate community-based organizations, and others about financial aid opportunities for Texas students, including eligibility requirements and procedures for applying for financial aid.

Mr. Robert Merino, Dean of Financial Aid at The San Jacinto Community College District, and Past Chair of the FAAC, will present a summary of the Financial Aid Advisory Committee's recent activities. Mr. Merino and Dr. Charles W. Contèro-Puls, Assistant Commissioner for Student Financial Aid Programs will be available to answer questions.

FINANCIAL AID ADVISORY COMMITTEE ANNUAL REPORT

COMMITTEE ABOLISHMENT DATE: 10/31/2021

Committee Purpose: The Financial Aid Advisory Committee was created to provide the Board advice and recommendations regarding the development, implementation, and evaluation of state financial aid programs for college students. It also assists staff in the development of training materials for use by the Center for Financial Aid Information and others in informing students, parents, secondary education counselors, college personnel, members of appropriate community-based organizations, and others about financial aid opportunities for Texas students, including eligibility requirements and procedures for applying for financial aid. (Texas Education Code [Sec. 61.0776](#)). In addition, the committee shall provide insight on state financial aid program policies and procedures (e.g. eligibility, allocations, disbursement processes, etc.); review the collection, use, and reporting of data; and identify areas of research for consideration. (Texas Administrative Rules governing this committee can be found by visiting [FAAC Administrative Rules](#) and [Advisory Committee Administrative Rules](#).)

Report Period:

November 2019 – October 2020 (FY 2020)

Committee Members:

Chair: Robert Merino – San Jacinto Community College

Vice Chair: Ed Kerestly – Angelo State University

Past Chair: Diane Todd Sprague – The University of Texas at Austin

Charles Contéro-Puls	THECB Rep	Ongoing	Thomas Ratliff	Abilene Christian Univ.	Nov 2019-Nov 2022
Tacey Anderson	Rice University	Oct 2019-Nov 2020	Terry Sheneman	Fort Bend ISD	Dec 2017-Oct 2020
Ben Bholan	Arlington ISD	Nov 2019-Nov 2022	Samantha Stalnaker	Tarrant County College	Dec 2017-Nov 2020
Christine Carruthers	TX State Tech	Dec 2017-Nov 2020	Kelly Steelman	Amarillo College	Dec 2018-Nov 2021
Shannon Crossland	Texas Tech Univ.	Jun 2019-Nov 2020	Joy Thomas	Prairie View A&M Univ.	Nov 2019-Nov 2022
Karla Flores	UT-Rio Grande Valley	Dec 2017-Oct 2020	Formon Thompson	Midland College	Nov 2019-Oct 2022
Paul Galyean	Jacksonville College	Dec 2018-Oct 2021	Denise Welch	Panola College	Dec 2018-Nov 2021
Rachele Garrett	S.F. Austin State Univ.	Nov 2019-Nov 2022	Brent Williford	Blinn College District	Dec 2017-Nov 2020
Heidi Granger	UT-El Paso	Dec 2018-Dec 2021	Marilyn Abedrabbo	Student Rep	Jun 2018-May 2020
Lyn Kinyon	TASFAA Rep	Nov 2019-Nov 2020	Johnathan Cereceres	Student Rep	Jun 2018-May 2020
Tam Nguyen	UNT Health Science	Dec 2018-Nov 2021	Jase Kugiya	Student Rep	Jun 2020-May 2022
Shonna Norton	Wichita Falls ISD	Mar 2020-Oct 2023			

Committee Meeting Dates:

11-21-2019

03-05-2020

06-04-2020 (cancelled due to COVID-19 restrictions)

09-03-2020 (virtual)

Annual Costs Expended

Note: The June FAAC meeting was cancelled, and the September meeting was held virtually due to COVID-19 restrictions. These restrictions led to a reduction in annual expenses and time commitments from the prior year.

Travel: \$6,080 (a 35% reduction from FY 2019)

Other: \$4.00

Time Commitments: It is estimated that committee members and agency staff averaged 313 hours in preparation, meeting day, and post-meeting administrative activities for each quarterly meeting.

Current Recommendations to the Board:

1. Continue to work with the Financial Aid Advisory Committee (FAAC) in providing feedback and recommendations on new initiatives in particular when there would be an implied or real impact on state financial aid program policies and procedures. This is critical to the strengthening of student participation and providing access to higher education.
2. Continue to work with FAAC in seeking feedback on how the State aid program rules and policies could align with Federal policies with an eye to mitigating barriers to students.
3. Continue the work of the Data Collection Subcommittee to review and streamline the collection, use, and reporting of institutional reports to the Texas Higher Education Coordinating Board (THECB).
4. Continue to create and utilize Financial Aid Advisory Committee subcommittees with subject experts to review and identify areas of development/research for consideration of topics of interests.
5. Continue to provide information regarding proposed legislative recommendations so FAAC can provide feedback on potential impact to students in high school and those seeking a postsecondary education.
6. Continue support of tuition set-aside funds.
7. Continue work with THECB in cooperation with FAAC on providing an electronic TASFAA and review the possibilities on providing a student's complete state financial aid history to institutions.

Summary of Tasks Completed:

Over the course of the year, the Financial Aid Advisory Committee members discussed and provided feedback and recommendations regarding a number of financial aid topics. Highlights included, but are not limited to the following:

- **Hosted a New Member Orientation** – The FAAC Chair, Past Chair, and Coordinating Board staff provided an orientation for new members to assist in roles, responsibilities and expectations in order to assist new members in being able to participate more fully with FAAC in providing more robust conversation and feedback.
- **Hosted presentations to FAAC** – Working with Coordinating Board staff, FAAC hosted and discussed a number of presentations relative to innovative or best practices within higher education aid administration.
 - Texas State Grant Programs: Investigating Strengths and Weaknesses – Sandy Baum and Kristen Blagg, Urban Institute
 - Draft Changes to Rules for SB 887 (85R) Student Debt Letter – Ginger L. Gossman, Ph.D., THECB Innovation & Policy Development
 - Financial Wellness Learning Collaborative – Erin Willig, College Readiness and Success, and Bryan Ashton, Trellis
 - Senate Bill 1474 and Its Impact on New Student Loan Options – Ken Martin, Assistant Commissioner/CFO and Debra Urias, Vice President, Brazos Higher Education Servicing Corp.
 - Department of Education Experimental Sites Initiative; Second Chance Pell – Felipe Leal, Director of Financial Aid, Lee College and Cynthia Martinez, Financial Aid Senior Advisor, Southwest Texas Junior College
 - Department of Education Experimental Sites Initiative; Pell Grants for Students in Dual Enrollment – Don Hilton, Director of Financial Aid, Ranger College
- **TASFA Subcommittee** – FAAC's TASFA Subcommittee surveyed TASFAA members and based on survey results the subcommittee proposes the following recommendations:
 - Add Adjusted Gross Income to the TASFA.
 - Add taxes paid to the TASFA.
 - Improve the explanation in the parenthesis on #70 to better align with FAFSA's verbiage.
 - Add additional verbiage throughout the document to clarify that every question on the TASFA should be answered or marked N/A.
 - Reference certain definitions within the question to more clearly steer people to the instructions.

- Add verbiage to direct student to the FA office if they have questions about the Selective Service Status form.
- Add verbiage about SSS.gov as a resource for students that may be unfamiliar with the requirements or the term “exempt”.
- Add verbiage regarding school participation “most school participate but check with your financial aid office to confirm which application to use”.
- **Data Collection Subcommittee** – FAAC’s Data Collection Subcommittee continued to identify areas of improvement:
 - Discussed proposal to redesign the net price calculator tool to ensure that the design provided consistency across the board on how institutions are to provide data and to provide additional training to institutions for using the tool.
 - Reviewed the Move It account users to make sure the transition to individual accounts does not affect data reporting.
 - Changed FAD cycle two to an informational cycle instead of reconciliation cycle to ease the process to acknowledge the increased opportunities for summer financial aid activity.
 - Made two enhancements to the grants payments system –email notifications for fund requests, return of funds, and payment; additional role for access – processing access or review access (viewing only)
 - Fixed FAD edits that weren't working as intended, and additional edits have been added to address data inconsistencies
 - Subcommittee provided input on potential approaches to institutional TCWS fund requests that better align with when institutions expend the funds
 - Improved the Student Financial Aid Programs (SFAP) webpages. The committee reviewed some recommendations and sample pages and provided feedback on the suggested changes.
 - Financial Aid Services Communications group requested additional feedback on the current System Authorization Form used by institutions to add, remove, or update user access for the three financial aid portals (HelmNet, MOVEit and CBPass).
- **Legislative Recommendations Subcommittee** – FAAC’s Legislative Recommendations Subcommittee recommend the follow legislative proposals for the 87th Legislative Session:
 - Federal/State Alignment Opportunities
 - Align State aid program’s Selective Service requirements, controlled substance requirements, and satisfactory academic progress (SAP) criteria with corresponding Federal aid requirements.
 - Align the Texas Educational Opportunity Grant (TEOG) Program matching requirement to that of the Federal Supplemental Educational Opportunity Grant (FSEOG) and/or TEXAS Grant Program matching requirements. This would allow the Federal Pell Grant to be used to match the TEOG grant in covering tuition and required fees.
 - Align the state and federal annual debt letter (new Federal Annual Student Loan Acknowledgement requirement delayed until 2021-22).
 - Work as a Resource
 - Align the Texas Work-Study Mentorship to Texas College Work-Study reinforcing goals of 60x30TX.
 - Priority Financial Aid Deadline
 - Assess the need and purpose of priority the state financial aid deadline.
 - Increasing TEXAS Grant from the \$5,000
 - Overall percentage increase in funding for the TEXAS Grant Program to allow the recommended (target) award amount to increase from \$5,000 per year to an amount equitable of the average tuition and fees.

Meeting Notes

November 21, 2019

March 5, 2020

June 4, 2020 (cancelled)

September 3, 2020

November 21, 2019 Meeting Notes

Committee Members in Attendance		Committee Members Absent
Robert Merino (Chair) Diane Todd Sprague (Past Chair) Tacey Anderson Dr. Ben Bholan Shannon Crossland Karla Flores Rachele Garrett Ed Kerestly Lyn Kinyon Tam Nguyen Charles Contero-Puls Thomas Ratliff Terry Sheneman	Joy Thomas Formon Thompson Denise Welch Brent Williford Johnathan Cereceres (Student Rep) <u>Via Teleconference:</u> Paul Galyean Heidi Granger Kelly Steelman Christine Stuart Carruthers	Samantha Stalnaker Marilyn Abedrabbo – (Student Rep)

Agenda Item A. Welcome, Introductions, and Overview of Meeting	Robert Merino, Chair
Handout Provided: No	Formal Decision/Action Required Creation of new permanent agenda item
Presentation Summary: <ul style="list-style-type: none"> New implementations – lunch break for members to network and slow down the meeting for quality purposes. New agenda item introduced – “Topics for Future Meetings” will provide opportunity to create topics for the next agenda 	

Agenda Item B. Consideration of Approval of Minutes from meetings held September 5, 2019	Robert Merino, Chair
Handout Provided: No	Formal Decision/Action Required Minutes approved
Critical Discussion Points: <ul style="list-style-type: none"> No corrections were identified Motion to approve minutes and motion was seconded with all in favor 	

Agenda Item C. Update: External Relations	John Wyatt, Sr. Director, External Relations
Handout Provided: Yes	Formal Decision/Action Required N/A
Presentation Summary: <ul style="list-style-type: none"> In the interim period between sessions, committees will consider issues for next session, as well as monitor recent legislation The Lt. Governor has released his interim charges – see handout A lot of discussion going on about adult learners No interim charges from the House yet THECB will work with the committee members and institutions to provide data, analysis, and any relevant information to assist Commissioner Keller has prioritized working collaboratively with stakeholders to understand perspectives and advance consensus-based solutions to the challenges we face in higher education; looking forward to engaging institutions 	

Agenda Item D. Presentation via Skype: Urban Institute Financial Aid Study	Sandy Baum and Kristen Blagg, Urban Institute
Handout Provided: Yes	Formal Decision/Action Required Will review after completion of study
Presentation Summary: <ul style="list-style-type: none"> Project is funded by Arnold Ventures to study the Texas state grant programs and working to strengthen those programs Goal of study is to talk with various constituents, stakeholders, students, and policy holders Presenters identified various student aid issues in Texas – see handout A particular interest of the researchers is the difference between Pell Grants, which are scaled based on an individual's EFC, and TEXAS Grants/TEOG, which effectively provide one grant level (e.g. tuition and fees) to any recipient Researchers were surprised not to have heard more about (1) how students are covering living expenses and (2) older students being excluded. The Institute is delving into these issues without preconceived ideas or conclusions but in order to tweak the system to effectively meet the state's goals 	

Critical Discussion Points:

- FAAC members pointed out that the limited appropriation may be the cause of concerns regarding the equity of allocations, especially in light of the negotiated rule-making that occurs to help strengthen equitable distribution.
- In-district vs. out-of-district tuition presents different challenges for colleges with high out-of-district populations, given the fact that Pell cannot be used as a matching fund for the tuition and fee requirement. For some students the Pell being excluded from matching is helpful, and for others it is not.
- Examples were provided regarding trying to cover living expenses and how to help the working adults attending school who are not able to take a full-time load due to work schedule; one institution is working with non-profit organizations to connect students with additional resources.
- Texas has a unique combination of decentralized grants with a tuition and fee coverage requirement. Without the tuition and fee coverage requirement, there is the potential to offer smaller grants to more students, which could have an impact on loan levels.
- The diversity of Texas institutions and the diversity of students served make it challenging to develop a grant program that serves everyone effectively.
- TEXAS Grant is like a "golden ticket." If you get that ticket, your tuition and fees are covered for four years. If you don't get that ticket, it may be a huge cliff in the funding a student receives.
- The higher academic progress requirements for state grants expect the recipient to do better than what it takes to graduate, which is somewhat counterintuitive to the idea that we are trying to graduate students; seems to be an arbitrary barrier, since students may be eligible for federal and institutional aid, but not state. Affidavit students are particularly impacted, since they don't have federal aid to fall back on.
- Changes to the grant programs without increased appropriations will likely result in simply shifting the benefit from some students to other students.
- Committee members offered informal data from their institutions suggesting students who receive more aid stay in school

Agenda Item E. Discussion: Proposed Update to Texas Administrative Code Related to Student Indebtedness	Dr. Ginger Gossman, Sr. Director, Innovation and Policy Development
Handout Provided: No	Formal Decision/Action Required N/A
Presentation Summary: <ul style="list-style-type: none"> • Updating based on SB 241 (86th Legislative Session) which updated and clarified SB 887 (85th Legislative Session) by stating higher education institutions are not required to disclose or report private loans on the annual debt letter • State student loans are not considered private loans for this statute and must be included in the letter • There were no suggested changes from the committee. 	

Agenda Item F. Election of Vice Chair	Robert Merino, Chair
Handout Provided: No	Formal Decision/Action Required Ed Kerestly elected as VC
<ul style="list-style-type: none"> • Chair asked for nominations for FAAC Vice-Chair • Only one nomination was received and that was for Ed Kerestly; Mr. Kerestly accepted • Motion was received, seconded, and voted in as vice-chair 	

Agenda Item G. Approval of 2020 Meeting Dates	Robert Merino, Chair
Handout Provided: No	Formal Decision/Action Required Meeting dates approved
<ul style="list-style-type: none"> • Proposed meeting dates <ul style="list-style-type: none"> ◦ March 5, 2020 ◦ June 4, 2020 ◦ September 3, 2020 ◦ December 10, 2020 • Motion was received to accept the dates, seconded, and voted in favor unanimously 	

Agenda Item H. Discussion: Member Expectations	Robert Merino, Chair
Handout Provided: No	Formal Decision/Action Required N/A
Critical Discussion Points: <ul style="list-style-type: none"> New members had an orientation prior to today's meeting Chair is taking the opportunity to review the expectations/requirements with all members Those requirements/expectations are: <ul style="list-style-type: none"> Review materials prior to attending meeting, so we can all participate and have meaningful input and group discussion Attendance – you are expected to attend all meetings; three missed meetings in a row or in a year will result automatically vacating your spot on the committee If you cannot make it to the meeting, you can assign a non-voting substitute If you cannot make it to the meeting, you may teleconference in, though in-person attendance is highly encouraged to allow for more robust conversations Bookmark the FAAC website to access all the materials needed [http://www.thecb.state.tx.us/about-us/advisory-committees/financial-aid-advisory-committee/] Information for lunch will be provided in advance; be prepared to bring cash for your lunch on the day of the meeting 	

Agenda Item I. Update: Prior FAAC Business	Charles Contéro-Puls, Deputy Assistant Commissioner
Handout Provided: No	Formal Decision/Action Required N/A
<ul style="list-style-type: none"> All prior business will be addressed in individual agenda items. 	

Agenda Item J. Presentation: Financial Wellness Learning Collaborative program	Erin Willig, Program Specialist, College Readiness and Success
Handout Provided: Yes	Formal Decision/Action Required N/A
Presentation Summary: <ul style="list-style-type: none"> Due to the illness of Ms. Willig, her co-collaborator from the Trellis Company, Bryan Ashton, made the presentation The idea is to bring together higher education professionals in various roles from various parts of the state to have conversations about how we can implement some of the financial wellness recommendations from the Financial Literacy Advisory Committee. Inputs, Activities, Outputs, and Outcomes, as well as workgroup structure are outlined in the handout. Hope to develop a network of professionals and IHEs ready to prioritize student financial wellness Developing a professional development module with Texas OnCourse to train higher education advisers on how to talk to students about financial wellness Working to understand key points for policy and procedure changes and improvements Developing a report on the survey of financial wellness programs and recommendations for minimum standards or promising practices Intent is to take an approach that acknowledges the impact of financial wellness across the campus, as it relates to retention and a broad number of campus components. 	

Agenda Item K. Presentation: FAAC Annual Report	Diane Todd Sprague Past Chair
Handout Provided: Yes	Formal Decision/Action Required N/A
Presentation Summary: <ul style="list-style-type: none"> One of the duties of the past chair is preparation of the annual report for presentation to the Board. Provides the Board with an indication of the interaction that occurs between the financial aid community and the Coordinating Board. Report will focus on the committee's work on strengthening the state's program in relation to access and retention: The ability for the FAAC to interact with the Coordinating Board to provide feedback on initiatives that would have an impact on state financial aid programs is critical Working with the Coordinating Board on how state programs can align with federal programs to eliminate any artificial barriers to students Subcommittees have been invaluable to the work of the FAAC Continuing to work on the electronic TASFA and the benefits that it can provide Implemented a new member orientation for individuals joining the FAAC 	

Agenda Item L. Update: TASFA Sub-Committee	Robert Merino sub-committee Chair
Handout Provided: No	Formal Decision/Action Required N/A
Presentation Summary: <ul style="list-style-type: none"> There was no subcommittee meeting since the last FAAC meeting College Readiness and Success has submitted proposed rules on the TASFA advisory committee, with a 30 day comment period ending November 25 They are hoping to begin nominations beginning February 20, 2020. 	

Agenda Item M. Update: Data Collection Sub-Committee	Samantha Stalnaker sub-committee Chair
Handout Provided: No	Formal Decision/Action Required N/A
Presentation Summary: <ul style="list-style-type: none"> De Chà Reid presented in Samantha's absence – sub-committee met October 30, 2019, and went over the following items: <ul style="list-style-type: none"> Discussed proposal to redesign the net price calculator tool –it can be cumbersome for students when pulling information and information is not as consistent as it needs to be. Looking for a re-design that is user-friendly for students, institutions, and public and in full compliance. Committee's primary feedback was to ensure that the design provided consistency across the board on how institutions are to provide data and to provide additional training to institutions for using the tool. Reviewed the Move It account users – looking at security; uses an institution login not individual account login; looking at how it would work with individual accounts. Primary feedback from committee was to make sure the transition to individual accounts doesn't impact data reporting. Grant payment system – improving the system that rolled out August 1. Feedback – email notification after request, at the approval, and at disbursement because other areas at the institutions are affected but don't have access to the system. A recent change allows institutions to extract data from the request screen and to see the document number for the transaction detail to track disbursements. Looking into the FAD cycles and reconciliation –Looking at making cycle two an informational cycle instead of reconciliation cycle to ease the process to acknowledge the increased opportunities for summer financial aid activity. The mentorship program has made some significant improvements in their reporting to help align with FAD. Continuing to look at duplicated data elements to see what opportunities may exist for efficiency. <ul style="list-style-type: none"> FADS cycle dates upcoming 19-20 Cycle one – opens Feb 10, 2020, with a deadline of April 17, 2020 Cycle two – opens June 22, 2020, with a deadline August 28, 2020 Cycle three - opens September 28, 2020 with a deadline December 11, 2020 	

Agenda Item N. Update: Legislative Recommendations Subcommittee	Shannon Crossland, Chair
Handout Provided: Yes	Formal Decision/Action Required N/A
Presentation Summary: <ul style="list-style-type: none"> Started committee in October. Want to explore Legislative recommendations for 87th legislature Will have proposal for recommendations prior to March 2020 meeting for FAAC review. Potential items for review include: <ul style="list-style-type: none"> Federal and state financial aid alignment opportunities such as selective service, satisfactory academic progress, and controlled substance requirements Populations not being served Work as a resource Priority financial aid deadline Promise programs Course program of study Net Price Calculator 	

Agenda Item O. Update: TASFAA Recommendations and Feedback	Lyn Kinyon, TASFAA President
Handout Provided: Yes	Formal Decision/Action Required N/A
<ul style="list-style-type: none"> No information at this point 	

Agenda Item P. Update: School District Recommendations and Feedback	Terry Sheneman, Fort Bend ISD
Handout Provided: No	Formal Decision/Action Required N/A
<ul style="list-style-type: none"> This is his last meeting one the FAAC. No information to report. Shonna Norton has accepted a nomination; her nomination goes to CAAP in December and Board in January for approval. Her first official FAAC meeting is March 2020. 	

Agenda Item Q. Discussion: FAFSA State Deadline Language	Charles Contéro-Puls Deputy Assistant Commissioner
Handout Provided: Yes	Formal Decision/Action Required No requests for changes were received
Critical Discussion Points: <ul style="list-style-type: none"> The language being used for Texas is now more in line with other states 	

Agenda Item R. Discussion: Proposed Updates to Texas Administrative Code	Charles Contéro-Puls Deputy Assistant Commissioner
Handout Provided: Yes	Formal Decision/Action Required <ul style="list-style-type: none"> SFAP will investigate whether FAD data can provide insight into impact of priority deadline. Members will connect with colleagues/staffs to gather input on priority deadline concepts

Presentation Summary:

- Proposed updates discussed at the September meeting are being handled as follows:
 - Changes to TEOG program and old conditional grant programs for teachers will be posted in the Texas Register late January/early February
 - Proposed updates are being reviewed to strengthen participation in TASSP and the opportunities for service after graduation. Looking to try to reduce artificial barriers and improve participation and nominations from Legislators.
 - Updating B-on-Time but delaying posting until late April or early May so we can delete rules that relate to making new awards since program is phasing out; no new awards will be allowed after this year.
- Seeking feedback on potential approaches to addressing the challenges that have been identified related to the priority deadline. Since administrative code can be changed more easily than statute, seeking input on whether there is any opportunity to address the identified challenges through rule changes.
 - Challenges identified include: deadline doesn't align with the transfer process; deadline impacts an institution's flexibility to award to different populations; deadline causes confusion for students transferring between the different sectors of higher education
 - Feedback received has been: while there is a state required priority deadline, the true deadline varies across institutions, since some schools will use an earlier date; for some schools it's a priority, while for others it's really a cut-off due to limited funding, and still others have to spend a lot of time explaining that it is not a cut-off; the diversity of the types of students make it difficult to have a common priority; the common date doesn't benefit each institution in the same way due to the diversity of institutions; priority is not a guarantee of funding, and may have become more of a rationing tool; was introduced as a means of encouraging students to complete the FAFSA but may have become a deterrent to students who miss the deadline
 - HB3 introduces additional challenges: FAFSA is now required for high school graduation, so is the priority deadline now redundant; and what happens if the high school has a different FAFSA deadline for high school graduation than the priority deadline
 - Looking at whether there is an opportunity to move the priority deadline later to align with high school graduation requirements, and less of an attempt to articulate what every institution must use. Seeking feedback on whether this is an idea the agency should continue to explore, and whether the appropriate way to address it is via rule or statute.

Critical Discussion Points:

- Clarified that the TEXAS Grant Priority Model is not directly linked to the Priority Deadline; a question being considered is which priorities are most critical (e.g. is the academic-based priority of the Priority Model more or less critical than the time-based priority of the Priority Deadline)
- HB3 may eliminate any deterrent, since high school students will now be required to graduate, though the deterrent may still exist for "non-traditional" populations
- Any discussion should consider how the priority deadline will impact allocations, as well as how any flexibility that has been introduced into their use of funding
- Not having a deadline may result in the loss of any incentive for a student/parent to get the FAFSA done.
- There's a lack of clarity on what the state is trying to achieve with the priority deadline.
- Limited funds result in aid being awarded on a first-come first served basis, though those who apply late may often be the ones who need the most help and may be the ones who help the state achieve its completion goals.
- Intent of the statute was to create consistency, though only in a small population of institutions; though the creation of the priority deadline occurred prior to the HB3 implementation of a FAFSA deadline, so need to assess how those statutes interact.

Agenda Item S. Update: Preliminary Considerations for 87th Legislative Session	Charles Contéro-Puls Deputy Assistant Commissioner
Handout Provided: Yes	Formal Decision/Action Required N/A
Presentation Summary: <ul style="list-style-type: none"> • This agenda item does NOT reflect any decision by the agency to pursue certain changes. Instead, it is an opportunity for the FAAC to help the agency better understand the various ideas and suggestions that have arisen regarding the state's financial aid programs. • At the recent TASFAA conference, some ideas were presented for feedback, including: <ul style="list-style-type: none"> ◦ federal and state financial aid alignment (conference members suggested some additional opportunities for alignment, including record retention requirements, and repeated coursework and eligible coursework limits); ◦ priority deadline (conference member feedback was to let institutions determine what is the best deadline for their organization and student body); ◦ work as a resource and considering work from a much broader perspective, such as the new Texas WORKS program, and potentially revising the allocation rule to create more flexibility (conference members acknowledged that work-study reallocations don't result in the creation of new work opportunities but rather allow institutions to recategorize institutional work-study as state work-study); ◦ student populations who aren't served or are underserved by our programs (conference feedback provided additional populations, such as for-profit colleges and upper-middle income families); ◦ decentralized nature of the state's programs results in lack of portability of grants; • Conference feedback provided some "nitty-gritty" details of unintended consequences of program requirements (such as the challenge in TEOG when Pell can't be used as a matching grant but there's not enough need to award other funds); this detailed feedback helps the agency understand what is occurring in the field. • Conference feedback was very similar to prior FAAC feedback, which helps increase the agency's confidence level that FAAC feedback provides a good, broad-based picture of the financial aid community. 	

Agenda Item T. Update: Office of Student Financial Aid Programs	Charles Contéro-Puls Deputy Assistant Commissioner
Handout Provided: No	Formal Decision/Action Required N/A
Presentation Summary: <ul style="list-style-type: none"> • Monthly webcasts will resume in the new year – notifications will go out • Pay attention to upcoming deadlines <ul style="list-style-type: none"> ◦ User access portal review was due Nov 15 – if you haven't met this deadline, do so immediately to keep access ◦ State campus-based programs report due December 13. Form was simplified, removing unnecessary information ◦ Financial aid database certification due December 16. VERY CRITICAL to meet this deadline. Financial Aid services team will help you and work with you to solve any issues • Eliminated the academic hold requirement. Academic holds did not help achieve completion and the goals of 60x30TX. The November report should be used to remove those holds. • SFAP will be implementing expanded due diligence to a group of at-risk borrowers. • In December, the loan origination process will be streamlined so that loans for the majority of students will be available to an institution for certification within minutes of the borrower completing the application. 	

Agenda Item U. Discussion: Topics for Future Meetings	Robert Merino, Chair
Handout Provided: No	Formal Decision/Action Required N/A
Suggested Topics: <ul style="list-style-type: none"> Proposals by presidential candidates to offer free higher education, loan forgiveness, etc. If something like that were to move forward, what would it mean for state financial aid? FAFSA high school completion – how will it relate to ApplyTexas so we can start thinking about it <ul style="list-style-type: none"> Review TEA website video as it relates to FAFSA Will add a standing agenda item for future meetings on this topic Presentation on pilot project for Pell Grants dual credit students and incarcerated students Presentation on recognizing hidden bias and professional judgment Be on the lookout for future topics from conferences you attend; email Dr. Contéro-Puls with your ideas 	

Agenda Item V. Adjournment	Robert Merino, Chair
Handout Provided: No	Formal Decision/Action Required N/A
<ul style="list-style-type: none"> Next meeting March 5, 2020 Meeting adjourned 	

March 5, 2020

Meeting Notes

Committee Members in Attendance		Committee Members Absent
Robert Merino (Chair) Ed Kerestly (Vice Chair) Charles Contéro-Puls Tacey Anderson Dr. Ben Bholan Shannon Crossland Rachele Garrett Lyn Kinyon Thomas Ratliff	Joy Thomas Denise Welch Brent Williford <u>Via Teleconference:</u> Karla Flores Heidi Granger Tam Nguyen Formon Thompson	Paul Galyean Diane Todd Sprague (Past Chair) Samantha Stalnaker Christine Stuart-Carruthers Brent Williford Marilyn Abedrabbo (Student Rep) Johnathan Cereceres (Student Rep)

Agenda Item A. Welcome, Introductions, and Overview of Meeting	Robert Merino, Chair
Handout Provided: No	Formal Decision/Action Required N/A

Agenda Item B. Consideration of Approval of Minutes from meetings held November 21, 2019	Robert Merino, Chair
Handout Provided: Yes	Formal Decision/Action Required Minutes approved
Action Summary: <ul style="list-style-type: none"> No corrections were identified Motion to approve minutes by Denise Welch; motion was seconded by Shannon Crossland; all members voted in favor 	

Agenda Item C. Recognition of Outgoing Student Representatives	Charles Contéro-Puls, Deputy Assistant Commissioner
Handout Provided: No	Formal Decision/Action Required N/A
Presentation Summary: <ul style="list-style-type: none"> The committee recognized the two students with terms ending 	

Agenda Item D. Update: Prior FAAC Business	Charles Contéro-Puls, Deputy Assistant Commissioner
Handout Provided: No	Formal Decision/Action Required N/A
Action Summary: <ul style="list-style-type: none"> All prior business has been included in the day's agenda 	

Agenda Item E. Presentation: Senate Bill 1474 and Its Impact on New Student Loan Options	Mr. Ken Martin, Assistant Commissioner/CFO and Debra Urias, Vice President, Brazos Higher Education Servicing Corporation
Handout Provided: Yes	Formal Decision/Action Required N/A
Presentation Summary: <ul style="list-style-type: none"> SB 1474 enables other providers to pursue student and parent lending products Deborah Urias and Justin Green from Brazos Higher Education Servicing Corporation provided details on the parent loan product Brazos has developed based on SB 1474. 	

Agenda Item F. Presentation: Department of Education Experimental Sites Initiative; Second Chance Pell (<i>Via Skype</i>)	Felipe Leal, Director of Financial Aid, Lee College, and Cynthia Martinez, Financial Aid Senior Advisor, Southwest Texas Junior College
Handout Provided: Yes	Formal Decision/Action Required N/A
Presentation Summary: <ul style="list-style-type: none"> Cynthia Martinez provided an overview of how the Second Chance Pell program has been implemented by Southwest Texas Junior College at the Dolph Briscoe Correctional Institution. 	

Agenda Item G. Presentation: Department of Education Experimental Sites Initiative; Pell Grants for Students in Dual Enrollment	Don Hilton, Director of Financial Aid, Ranger College
Handout Provided: Yes	Formal Decision/Action Required N/A
Presentation Summary: <ul style="list-style-type: none"> Don Hilton provided an overview of how the Pell Grant program for Dual Credit students has been implemented by Ranger College Action Summary: <ul style="list-style-type: none"> Ed Kerestly raised the point that ongoing interest in expanding and strengthening dual credit enrollment in the state may result in legislative interest in this program and how it fits into the state's overall goals, which the agency may wish to follow closely. 	

Agenda Item H. Discussion: House Bill 3 (FAFSA/TASFA Graduation Requirement) and House Bill 2140 (Online TASFAA)	Claudette Jenks, Director, College Readiness and Success
Handout Provided: Yes	Formal Decision/Action Required N/A
Presentation Summary: <ul style="list-style-type: none"> Claudette Jenks provided an overview of the activities that are occurring at TEA and THECB regarding the FAFSA/TASFA high school graduation requirement in HB 3 and the online TASFA requirement in HB Action Summary: <ul style="list-style-type: none"> Lyn Kinyon raised the point regarding associated costs and questioned whether students would be charged for filing a TASFA. Claudette clarified that the advisory committee would provide guidance on how development and maintenance costs would most appropriately be addressed. Ed Kerestly raised the point that involving financial aid software providers early in the planning process is critical. Claudette clarified that the advisory committee would solicit information from vendors/stakeholders as part of their discussions. Charles Contéro-Puls raised the point of the difference between the timeliness of receiving FAFSA data and needing to understand why institutions receive data much faster than the agency does. 	

Agenda Item I. Discussion: Proposed Update to Texas Administrative Code Related to TEXAS Grants	Charles Contéro-Puls, Deputy Assistant Commissioner
Handout Provided: Yes	Formal Decision/Action Required Proposed rules will be posted in the <i>Texas Register</i>
Presentation Summary: <ul style="list-style-type: none"> Charles Contéro-Puls reviewed proposed updates to the Texas Administrative Code regarding TEXAS Grant prior to posting the proposed rules in the <i>Texas Register</i>. Action Summary: <ul style="list-style-type: none"> Committee members confirmed that the term "award" is being replaced by "grant" and "offer" in published materials. As changes are proposed to the administrative code in the future, this will be integrated into proposed rules. Committee members did not raise any concerns regarding the proposed rules as they exist within statutory limitations. 	

Agenda Item J. Discussion: Proposed Update to Texas Administrative Code Related to Adding a Definition of Academic Year	Charles Contéro-Puls, Deputy Assistant Commissioner
Handout Provided: Yes	Formal Decision/Action Required Proposed rules will be posted in the <i>Texas Register</i>
Presentation Summary: <ul style="list-style-type: none"> Charles Contéro-Puls reviewed a proposed update to the Texas Administrative Code regarding the definition of "academic year," prior to posting the proposed rule in the <i>Texas Register</i>. Action Summary: <ul style="list-style-type: none"> Committee members agreed that aligning the state definition with the federal definition would be helpful. Shannon Crossland suggested a change in wording that will be incorporated into the proposed rule before it is published in the <i>Texas Register</i>. 	

Agenda Item K. Discussion: Proposed Update to Texas Administrative Code Related to Repealing the Grant Pro-Ration Requirements	Charles Contéro-Puls, Deputy Assistant Commissioner
Handout Provided: Yes	Formal Decision/Action Required Proposed rules will be posted in the <i>Texas Register</i>
Presentation Summary: <ul style="list-style-type: none"> Charles Contéro-Puls reviewed a proposed update to the Texas Administrative Code regarding the elimination of grant pro-ration in TEXAS Grant, TEOG, and TEG, prior to posting in the <i>Texas Register</i>. Action Summary: <ul style="list-style-type: none"> Committee members agreed that elimination of grant pro-ration would provide institutions with greater flexibility to make determinations as to what best suits the needs of their student population. 	

Agenda Item L. Discussion: Proposed Convening of a Negotiated Rule-Making Committee on Texas College Work-Study	Charles Contéro-Puls Deputy Assistant Commissioner
Handout Provided: Yes	Formal Decision/Action Required Charles Contéro-Puls will begin agency discussions on launching negotiated rulemaking
Presentation Summary: <ul style="list-style-type: none"> Charles Contéro-Puls reviewed a proposal to convene a negotiated rule-making committee focused on the allocation process for the Texas Work-Study Program with a focus on greater flexibility for institutions. He also reviewed the plan to shift the Texas Work-Study Program disbursement process from a single disbursement at the start of the year to disbursements on an as-needed basis. Action Summary: <ul style="list-style-type: none"> Committee members agreed that a negotiated rule-making process would be a good use of participants time. 	

Agenda Item M. Update: TASFA Sub-Committee	Robert Merino Sub-Committee Chair
Handout Provided: No	Formal Decision/Action Required N/A
Presentation Summary: <ul style="list-style-type: none"> Robert Merino provided an overview of the TASFA sub-committee discussions which have occurred since the last FAAC meeting. Items being considered: including AGI on the TASFA; considering September 1 release date for institutions and October 1 for students; additional institutional surveys to gather more feedback 	

Agenda Item N. Update: Data Collection Sub-Committee	Samantha Stalnaker, Sub-Committee Chair
Handout Provided: No	Formal Decision/Action Required N/A
Presentation Summary: <ul style="list-style-type: none"> Samantha Stalnaker provided an overview of the Data Collection sub-committee discussions which have occurred since the last FAAC meeting. Four agenda items at the last subcommittee meeting <ul style="list-style-type: none"> Update on grants payments system – two enhancements –email notifications for fund requests, return of funds and payment; additional role for access – processing access or review access (viewing only) FAD Data Review Findings – FAD edits that weren't working as intended have been fixed, and additional edits have been added to address data inconsistencies TCWS funds request form – Subcommittee provided input on potential approaches to institutional fund requests that better align with when institutions expend the funds. Updates: Subcommittee provided feedback on upcoming SFAP activities and challenges being reviewed Action Summary: <ul style="list-style-type: none"> In response to a question about how coronavirus -related events might impact student eligibility, Charles Contéro-Puls indicated that the agency is meeting to discuss efforts and management procedures in relation to the coronavirus. Charles Contéro-Puls raised the question of how Federal Work-Study Funds are down through the federal system to better understand how the state work-study approach might align with the federal approach. He will review the federal approach in more detail. 	

Agenda Item O. Update: Legislative Recommendations Subcommittee	Shannon Crossland, Sub-Committee Chair
Handout Provided: Yes	Formal Decision/Action Required The subcommittee will update their report to incorporate the items proposed by FAAC members.
Presentation Summary: <ul style="list-style-type: none"> Shannon Crossland presented the recommendations of the Legislative Recommendations sub-committee. Action Summary: <ul style="list-style-type: none"> Kelly Steelman proposed adding the state's "debt letter" to the list of discussion items for the sub-committee to review in considering federal and state alignment. Ed Kerestly proposed having the subcommittee address whether the financial implications of the expanded eligibility based on aligning the state SAP guidelines with federal guidelines would influence the subcommittee's recommendation. Charles Contéro-Puls proposed having the subcommittee address whether the lack of legislative appropriations to fund an increase to the Target Award would influence the subcommittee's recommendation. Charles Contéro-Puls proposed (during Agenda Item S) having the subcommittee indicate more detail on what they would be looking for in a data-informed study on the Priority Deadline. 	

Agenda Item P. Update: TASFAA Recommendations and Feedback	Lyn Kinyon, TASFAA President
Handout Provided: Yes	Formal Decision/Action Required N/A
Presentation Summary: <ul style="list-style-type: none"> Lyn Kinyon presented an update on recent TASFAA activities and upcoming events. 	

Agenda Item Q. Update: School District Recommendations and Feedback	Ben Bholan, Arlington ISD
Handout Provided: No	Formal Decision/Action Required N/A
Presentation Summary: <ul style="list-style-type: none"> Ben Bholan provided a School District perspective based on the new state requirements regarding FAFSA completion. School Districts are encouraged by the new requirements, though there are concerns as to how the 100% expectations will be implemented given the challenges that may prevent families from following through on the requirement. 	

Agenda Item R. Discussion: Interaction of Priorities Impacting State Financial Aid	Charles Contéro-Puls Deputy Assistant Commissioner
Handout Provided: Yes	Formal Decision/Action Required Program Guidelines will be updated to provide clarity on the interaction of the various priorities
Presentation Summary: <ul style="list-style-type: none"> Charles Contéro-Puls presented the agency's interpretation of how the various TEXAS grant priorities interact to gather committee feedback on how the agency's interpretation aligns with institutional practice. Action Summary: <ul style="list-style-type: none"> Committee members indicated that the agency's interpretation largely aligns with institutional practice. 	

Agenda Item S. Discussion: Priority Deadline	Charles Contéro-Puls Deputy Assistant Commissioner
Handout Provided: Yes	Formal Decision/Action Required Similar charts will be assembled looking at all eligible students
Presentation Summary: <ul style="list-style-type: none"> Charles Contéro-Puls presented data that had been assembled regarding TEXAS Grant, TEOG, and TEG recipients in relation to FAFSA filing date and demographics. 	

Agenda Item T. FAAC Nomination Process	Charles Contéro-Puls Deputy Assistant Commissioner
Handout Provided: Yes	Formal Decision/Action Required The nomination timeline will be revised to allow new members to start their term in September
Presentation Summary: <ul style="list-style-type: none"> Charles Contéro-Puls presented the process for collecting nominations for the FAAC. He also raised the question of whether the committee thought the start of the term dates should be shifted from December, back to September. Action Summary: <ul style="list-style-type: none"> Committee members supported the proposed change to have terms start in September and adjusting the nomination timeline accordingly. Lyn Kinyon raised the point that the TASFAA President has typically been the TASFAA Representative on the FAAC, but the individual's term as TASFAA President does not start until November 1. Charles will review this with TASFAA to determine how best to accommodate this. 	

Agenda Item U. Update: Office of Student Financial Aid Programs	Charles Contéro-Puls Deputy Assistant Commissioner
Handout Provided: No	Formal Decision/Action Required N/A
Presentation Summary: <ul style="list-style-type: none"> Charles Contéro-Puls presented an update of recent activities in the Office of Student Financial Aid Programs Recent updates to our resources (training materials, guidelines, memos, etc.) <ul style="list-style-type: none"> Institutions Calendar – Spring 2020 Consanguinity or Affinity Scholarship Statement Six new exemption guidelines Announcements <ul style="list-style-type: none"> State Grant Award Amounts – FY 2021 Tuition Set-Asides – Uniform Standards FAD Cycle One opened Feb 21 Upcoming items that will occur before the June FAAC meeting <ul style="list-style-type: none"> Training activities <ul style="list-style-type: none"> TASFAA Regional Trainings FAS Webcast – Mar. 10 Deadlines (processing, reports, etc.) <ul style="list-style-type: none"> Opt In/Out Survey (all institutions) - deadline Feb. 28 <ul style="list-style-type: none"> Texas College Work-Study (TCWS) Work-Study Student Mentorship (WSMP) Educational Aide Exemption (EAE) Tuition Equalization Grant (TEG) Good Neighbor Recommendations – deadline Mar. 15 Recent SFAP Accomplishments <ul style="list-style-type: none"> Grant Payment Platform Enhancements (discussed in Agenda Item N) Loan origination automation was implemented Dec 17 to speed the approval of borrower applications so that institutions can certify loans more quickly Enhanced due diligence efforts have been implemented to provide more active outreach to support borrowers who may be more likely to become delinquent 	

Agenda Item V. Discussion: Topics for Future Meetings	Robert Merino, Chair
Handout Provided: No	Formal Decision/Action Required Members will e-mail Robert with recommended meeting topics
Presentation Summary: <ul style="list-style-type: none"> Robert Merino requested input from the committee on future meeting topics Action Summary: <ul style="list-style-type: none"> Topic suggestion: Are there opportunities to expand financial literacy efforts for parents and high school students given the new FAFSA graduation requirement 	

Agenda Item W. Adjournment	Robert Merino, Chair
Handout Provided: No	Formal Decision/Action Required Meeting Adjourned
<ul style="list-style-type: none">• Next meeting June 4, 2020• Be sure to send in your Attendance Form to Michelle, even if you attended on the phone• Shannon Crossland moved to adjourn; Thomas Ratliff seconded the motion.	

September 3, 2020

Meeting Notes

Committee Members in Attendance Via <u>Virtual</u> Meeting Connection		Committee Members Absent
Robert Merino (Chair) Ed Kerestly (Vice Chair) Diane Todd Sprague (Past Chair) Charles Contéro-Puls Tacey Anderson Dr. Ben Bholan Shannon Crossland Karla Flores Paul Galyean Rachele Garrett Heidi Granger Lyn Kinyon	Tam Nguyen Shonna Norton Thomas Ratliff Samantha Stalnaker Kelly Steelman Christine Stuart-Carruthers Joy Thomas Formon Thompson Denise Welch Brent Williford Jase Kugiya (Student Rep)	None

Agenda Item 1. Welcome and Overview of Meeting	Robert Merino, Chair
Handout Provided: No	Formal Decision/Action Required N/A
Action Summary: <ul style="list-style-type: none"> • Provided instructions and guidelines for the members to ensure the meeting runs smoothly • Due to nature of virtual meetings, roll call of members ensured a quorum of committee members 	

Agenda Item 2. Introductions: New Student Representative	Robert Merino, Chair
Handout Provided: No	Formal Decision/Action Required N/A
Presentation Summary: <ul style="list-style-type: none"> • UT at Austin doctoral student Jase Kugiya joins the committee for a two-year term. 	

Agenda Item 3. Acknowledgment: Members finishing their terms	Robert Merino, Chair
Handout Provided: No	Formal Decision/Action Required Committee members will receive certificate of appreciation
Presentation Summary: <ul style="list-style-type: none"> • The committee recognized the nine members with terms ending – Tacey Anderson, Shannon Crossland, Karla Flores, Lyn Kinyon (as TASFAA Representative), Shonna Norton, Diane Todd Sprague (completing term as Past Chair), Samantha Stalnaker, Christine Stuart-Carruthers, and Brent Williford) • FAAC Member Shonna Norton is on the proposed slate of nominees for next term 	

Agenda Item 4. Consideration of Approval of Minutes from the meeting held on March 5, 2020	Robert Merino, Chair
Handout Provided: Yes	Formal Decision/Action Required Minutes approved
Action Summary: <ul style="list-style-type: none"> • No corrections were identified • Motion to approve minutes by Denise Welch, motion was seconded by Heidi Granger • All members voted in favor • Adopted / approved minutes for the March 5, 2020, FAAC meeting will be uploaded to the FAAC website 	

Agenda Item 5. Update: Prior Business	Charles Contéro-Puls, Deputy Assistant Commissioner
Handout Provided: Yes	Formal Decision/Action Required N/A
Presentation Summary: <ul style="list-style-type: none"> • Additional details are provided regarding the timing of FAFSA filing across the student population. Previous charts provided details for students who had received funding through the TEXAS Grant, TEOG, and TEG programs. Today's charts provide details on students who were eligible for those programs. The patterns are relatively the same between the prior and current charts. 	

Agenda Item 6. Update: External Relations	John Wyatt Senior Director, External Relations
Handout Provided: Yes	Formal Decision/Action Required Collect data on enrollment – current and future projections
<p>Presentation Summary:</p> <ul style="list-style-type: none"> • Provided detailed highlights for the Governor's Emergency Education Relief (GEER) funding - a one-time investment of \$175 million as follows: <ul style="list-style-type: none"> ◦ Need-based Financial Aid (State grant programs) \$57M ◦ Direct student support (Emergency Education Aid) \$93M ◦ Online learning support (Open education, learning analytics, quality improvement) \$10M ◦ Data infrastructure (student advising, dashboards, modernization) \$15M • THECB is working on our legislative appropriations request for the next biennium (FY 2022-23) <ul style="list-style-type: none"> ◦ Next biennium's budget needs to account for the 5% reduction from this biennium • THECB's response to legislative inquiries on how agencies are responding to COVID highlight the work of the Texas Higher Education Foundation, in partnership with Greater Texas Foundation and Trellis Foundation, to launch the Texas Emergency Aid Grant Program early on during the pandemic to raise funds through philanthropy to provide emergency grants to students. <p>Discussion:</p> <ul style="list-style-type: none"> • Concerns regarding the deadlines for spending GEER funding were raised 	

Agenda Item 7. Joint Update: HB3 and on-line TASFA Progress and TASFA Sub-committee	Claudette Jenks, Director, College Readiness and Success and Robert Merino, Sub-Committee Chair
Handout Provided: Yes	Formal Decision/Action Required N/A
<p>Presentation Summary:</p> <ul style="list-style-type: none"> • Dr. Jenks works closely with TEA FAFSA advisory committee and also coordinates THECB's eTASFA advisory committee • Some of the most challenging questions are how development and maintenance of the system will be funded and how to have a system in place for the 2022-2023 application cycle. • The eTASFA advisory committee's report is due to the Legislature by January 1, 2021 • Robert Merino provided an update on the TASFA updates that have occurred for the TASFA that was released to institutions on September 1 and will be available to students on October 1. <p>Action Summary:</p> <ul style="list-style-type: none"> • Another TASFAC advisory committee meeting is scheduled for September 9, 2020 	

Agenda Item 8. Discussion: TASFAA Recommendations	Lyn Kinyon, TASFAA President
Handout Provided: Yes	Formal Decision/Action Required N/A
<p>Presentation Summary:</p> <ul style="list-style-type: none"> • Lyn Kinyon provided update on TASFAA's latest activity • All in person workshops and training are cancelled due to COVID-19 • TASFAA Annual Business Meeting scheduled for October 9 – 100% electronic/virtual/online – <p>Action Summary:</p> <ul style="list-style-type: none"> • Dr. Contéro-Puls said he would pass along the TASFAA members request encouraging THECB to have a speedy development of the application for the electronic TASFA 	

Agenda Item 9. Discussion: School District Recommendations	Shonna Norton and Ben Bholan
Handout Provided: No	Formal Decision/Action Required Additional requests for feedback on this issue will be forthcoming.
<p>Presentation Summary:</p> <ul style="list-style-type: none"> Shonna Norton has collected some data from some northern school districts regarding the new FAFSA requirement, passed along the following information: <ul style="list-style-type: none"> A lot of support for moving the priority deadline back to March 15; Schools are wondering if the priority deadline can be moved so that it can also serve as the deadline for the FAFSA graduation requirement – ideally in the March 15-April 15 time range Schools are concerned about getting parents to complete the FAFSA; how will this affect accountability if a student completes the form, but the parent hasn't completed it. Ben Bholan reported: <ul style="list-style-type: none"> Schools are concerned about waning enrollment numbers; Shifting students to online classes is a huge challenge <p>Discussion:</p> <ul style="list-style-type: none"> Dr. Contéro-Puls asked the committee whether moving the priority deadline is an item the agency should be more actively reviewing; responses: <ul style="list-style-type: none"> Need to have something to encourage students to complete the application to meeting graduation requirements Priority deadline doesn't make a difference at community colleges Four-year schools are providing award notifications much earlier, as early as December Reconciling the interests that have been expressed from the higher education community to make the deadline earlier or to make it go away entirely with the interests expressed by the school district community to make the deadline later to support the FAFSA completion requirement is a challenge. Changing the priority dates can create confusion with students and challenges with publication timelines. The challenge of inconsistent deadlines is occurring even with the current priority deadline requirement since some institutions have earlier deadlines and the deadline doesn't apply to all institutions. 	

Agenda Item 10. Update: Data Collection Subcommittee	Samantha Stalnaker, Sub-Committee Chair
Handout Provided: Yes	Formal Decision/Action Required N/A
<p>Presentation Summary:</p> <ul style="list-style-type: none"> In person meetings scheduled for March and May were cancelled and handled via e-mail discussions over the summer – provided feedback on improving SFAP webpages and improving the system authorization process. <p>Action Summary:</p> <ul style="list-style-type: none"> Next meeting planned for late October/early November Current sub-committee chair's FAAC term is ending – a new chair needs to be elected 	

Agenda Item 11. Selection of Data Collection Subcommittee Chair	Samantha Stalnaker, Sub-Committee Chair
Handout Provided: No	Formal Decision/Action Required Postponed to December meeting
<p>Presentation Summary:</p> <ul style="list-style-type: none"> None 	

Agenda Item 12. Update: Legislative Recommendation Subcommittee	Shannon Crossland, Sub-Committee Chair
Handout Provided: Yes	Formal Decision/Action Required N/A
<p>Presentation Summary:</p> <ul style="list-style-type: none"> Recommendations included: <ul style="list-style-type: none"> Federal/State Alignment (state debt letter, selective service, and SAP) State alignment of Pell treatment in TEOG and TEXAS Grant Improve the alignment between the Texas College Work-Study and Work-Study Student Mentorship programs Eliminating the priority deadline date Increasing the TEXAS Grant target award only if additional appropriations are provided to fund the increase <p>Discussion:</p> <ul style="list-style-type: none"> Dr. Contéro-Puls responded to a few items on the subcommittee's report to verify the committee's views: Regarding the priority deadline – ultimately, students need to apply as early as possible and not wait for deadline, but also, if they miss the deadline, they should still apply ;members agreed with the statements regarding the priority deadline Regarding removing roadblock for hold on academic transcripts; institutions still would like a report for this information – THECB is working on this Regarding the net price calculator (NPC) – the agency is investigating the model that is the wraparound of the federal Dept of Ed's net price calculator to ensure we are in line with federal requirements ; feedback from the committee included: improving the product so that the output was more useful (not such broad ranges); including specific information in Pell and state grants, if possible; providing as much advanced notice as possible so that institutions don't pursue alternate products unnecessarily; consider using FAD data to populate the NPC THECB has a renewed focus on the population that has some college but did not complete to a degree or certificate 	
Agenda Item 13. Update: Slate of FAAC nominees	Charles Contéro-Puls Deputy Assistant Commissioner
Handout Provided: Yes	Formal Decision/Action Required Board members will consider the slate of nominees at the October Board meeting
<p>Presentation Summary:</p> <ul style="list-style-type: none"> Reminded the committee that the agency wants to ensure the FAAC will have an array of members from all various areas -- university systems, geographic regions, size of the institution -- to have different perspectives New FAAC members will begin term in November. 	
Agenda Item 14. Discussion: Student Representative from 2-year sector	Charles Contéro-Puls Deputy Assistant Commissioner
Handout Provided: Yes	Formal Decision/Action Required Look at amending rule regarding FAAC two-year sector student representatives
<p>Presentation Summary:</p> <ul style="list-style-type: none"> We did not receive student representative nominations from the 2-year sector in any of the past three nomination cycles <ul style="list-style-type: none"> Does the committee want to continue holding one of the 24 committee slots for a student representative from the two-year sector? <p>Discussion Summary:</p> <ul style="list-style-type: none"> Suggestion to give extra weight to a student from a four-year institution who had transferred from a two-year institution. Recommendation from members to eliminate the two-year student representative requirement to allow for an additional member of the financial aid community. Can a tweak to the statute be "tweaked" to change the requirements? Probably have to select a new rep each year but will have representation. <ul style="list-style-type: none"> Dr. Contéro-Puls will take this suggestion to THECB's External Relations area; acknowledged that statute is often slow to change 	
Agenda Item 15. Update: Office of SFAP	Charles Contéro-Puls Deputy Assistant Commissioner
Handout Provided: Yes	Formal Decision/Action Required N/A

Presentation Summary:

- Rules activity – currently proposed and adopted in April
- Announcements: CAL interest rate; institutional calendar; user access form
- Deadlines: Net Price Calculator; Financial aid Database
- Accomplishments since last FAAC meeting: online loan reporting; SFAP webpage updates; HelmNet improvements; launch of the Peace Officer Loan Repayment Program
- GEER funding contracts are being processed and funds distributed to institutions

Agenda Item 16. Transition of Leadership	Robert Merino, Chair
Handout Provided: No	Formal Decision/Action Required N/A
<ul style="list-style-type: none"> • Robert Merino handed the chair duties to Ed Kerestly, he now becomes the past chair • A vice-chair will be nominated and elected at the December FAAC meeting 	

Agenda Item 17. Adjournment	Ed Kerestly, Chair
Handout Provided: No	Formal Decision/Action Required Meeting Adjourned
<ul style="list-style-type: none"> • Next meeting December 10, 2020 • Shannon Crossland moved to adjourn; Thomas Ratliff seconded the motion. 	

AGENDA ITEM VII-F (1)

Consideration of adopting the proposed amendments to Chapter 17, Subchapter L, Section 17.113 of Board rules, concerning the Institutional Audit Cycle

RECOMMENDATION: Approval

Background Information:

Texas Education Code (TEC), Section 61.0583 requires the Texas Higher Education Coordinating Board (THECB) to conduct a comprehensive audit of educational and general facilities on the campuses of public senior colleges and universities and the Texas State Technical College System to verify the accuracy of the facilities inventory for each of those institutions. Texas Administrative Code (TAC), Title 19, Section 17.113(b) requires that each institution be audited a minimum of once each five years.

Because the timeline requirement was adopted by rule and not specified in the TEC, by changing Rule 17.113, the THECB will gain flexibility in the audit timeline for cases of natural disaster or other circumstances resulting in a need for prioritizing audits at an institution during a certain fiscal year. This change would not amend the rule text limiting these audits to not more than once every five years except upon specific request as required under Rule 17.113.

Section 17.113(b) is amended to remove the requirement that institutions must be audited a minimum of once each five years to allow flexibility in the scheduling of the audits.

Section 17.113(c) is amended due to division name changes and provides that staff of the THECB will publish the schedule rather than specifying a division.

Ms. Emily Cormier, Assistant Commissioner for Funding, will be available to answer questions.

Date published in the *Texas Register*: November 20, 2020

The 30-day comment period with the *Texas Register* ended on: December 20, 2020

No comments were received during the public comment period.

The amendments have been prepared in the following format:

- New language is in lowercase, underlined, and shaded.
- Deleted language is bracketed and struck through.
- When new language replaces deleted language, the new language precedes the deleted language.

Chapter 17 Resource Planning

Subchapter L Facilities Audit

Sections

§17.110 General Provisions

§17.111 Facilities Audit Objectives

§17.112 Data Sources

§17.113 Institutional Audit Cycle

§17.114 On-Site Audit

§§17.110 – 17.112 No Change.

§17.113 Institutional Audit Cycle

(a) The Board shall determine the frequency and the scope of the audits authorized by this section; audits shall be limited to objectives stated in 17.111 of this title (relating to Facilities Audit Objectives).

(b) ~~[Each institution of higher education shall be audited a minimum of once each five years.]~~
The Board may conduct an audit [Audits] of an institution ~~[institutions may be conducted]~~ more often than every five years upon the request of the institution, the Board, the Legislature, or another agency within revenue appropriated for this purpose.

(c) Staff of the Board ~~[The Office of Resource Planning]~~ shall publish a schedule of audits for the succeeding fiscal year.

§17.114 No Change.

AGENDA ITEM VII-F (2)

Consideration of adopting the proposed amendments to Chapter 22, Subchapter A, Section 22.1 of Board rules, definitions for student financial aid programs

RECOMMENDATION: Approval

Background Information:

Texas Administrative Code, Title 19, Section 22.1(1) is amended to define the phrase "academic year" throughout the chapter to align the use of the phrase in state financial aid programs with its use in federal financial aid programs. Remaining definitions are renumbered accordingly.

Dr. Charles W. Contéro-Puls, Assistant Commissioner for Student Financial Aid Programs, will be available to answer questions.

Date published in the *Texas Register*: November 6, 2020.

The 30-day comment period with the *Texas Register* ends on: December 6, 2020.

No comments were received regarding this rule.

The amendments have been prepared in the following format:

- New language is in lowercase, underlined, and shaded.
- Deleted language is bracketed and struck through.
- When new language replaces deleted language, the new language precedes the deleted language.

CHAPTER 22 STUDENT FINANCIAL AID PROGRAMS

SUBCHAPTER A GENERAL PROVISIONS

Sections

- §22.1. Definitions
- §22.2. Timely Distribution of Funds
- §22.3. Student Compliance with Selective Service Registration
- §22.4. Records Retention
- §22.5. Exclusion of Certain Resources in Determining Need for State Aid
- §22.6. Priority Deadline for Applying for State Aid
- §22.7. Dissemination of Information and Rules
- §22.9. Institutional Responsibilities
- §22.10. Grade Point Average Calculations for Satisfactory Academic Progress
- §22.11. Provisions Specific to the TEXAS Grant, TEOG, TEG, and Texas Work-Study Programs

§22.1. Definitions

The following words and terms, when used in Chapter 22, shall have the following meanings, unless otherwise defined in a particular subchapter:

(1) Academic Year -- The combination of semesters defined by a public or private institution of higher education to fulfill the federal "academic year" requirement as defined by 34 CFR 668.3.

(2) [(1)] Attempted Semester Credit Hours -- Every course in every semester for which a student has been registered as of the official Census Date, including but not limited to, repeated courses and courses the student drops and from which the student withdraws. For transfer students, transfer hours and hours for optional internship and cooperative education courses are included if they are accepted by the receiving institution towards the student's current program of study.

(3) [(2)] Awarded--Offered to a student.

(4) [(3)] Board or Coordinating Board--The Texas Higher Education Coordinating Board.

(5) [(4)] Board Staff--The staff of the Texas Higher Education Coordinating Board.

(6) [(5)] Categorical Aid--Gift aid that the institution does not award to the student, but that the student brings to the school from a non-governmental third party.

(7) [(6)] Commissioner--The Commissioner of Higher Education, the Chief Executive Officer of the Board.

(8) [~~(7)~~] Cost of Attendance/Total Cost of Attendance--An institution's estimate of the expenses incurred by a typical financial aid recipient in attending a particular institution of higher education. It includes direct educational costs (tuition and fees) as well as indirect costs (room and board, books and supplies, transportation, and personal expenses, and other allowable costs for financial aid purposes).

(9) [~~(8)~~] Degree or certificate program of four years or less--A baccalaureate degree or certificate program other than a program determined by the Board to require four years or less to complete.

(10) [~~(9)~~] Degree or certificate program of more than four years--A baccalaureate degree or certificate program determined by the Board to require more than four years to complete.

(11) [~~(10)~~] Encumber--Program funds that have been officially requested by an institution through procedures developed by the Coordinating Board.

(12) [~~(11)~~] Entering undergraduate--A student enrolled in the first 30 semester credit hours or their equivalent, excluding hours taken during dual enrollment in high school and courses for which the student received credit through examination.

(13) [~~(12)~~] Expected Family Contribution (EFC)--A measure of how much the student and his or her family can be expected to contribute to the cost of the student's education for the year as determined following the federal methodology.

(14) [~~(13)~~] Financial Need--The Cost of Attendance at a particular public or private institution of higher education less the Expected Family Contribution. The Cost of Attendance and Expected Family Contribution are to be determined in accordance with Board guidelines.

(15) [~~(14)~~] Full-Time--For undergraduate students, enrollment or expected enrollment for the equivalent of twelve or more semester credit hours per semester. For graduate students, enrollment or expected enrollment for the normal full-time course load of the student's program of study as defined by the institution.

(16) [~~(15)~~] Gift Aid--Grants, scholarships, exemptions, waivers, and other financial aid provided to a student without a requirement to repay the funding or earn the funding through work.

(17) [~~(16)~~] Graduate student--A student who has been awarded a baccalaureate degree and is enrolled in coursework leading to a graduate or professional degree

(18) [~~(17)~~] Half-Time--For undergraduates, enrollment or expected enrollment for the equivalent of at least six but fewer than nine semester credit hours per regular semester. For graduate students, enrollment or expected enrollment for the equivalent of 50 percent of the normal full-time course load of the student's program of study as defined by the institution.

(19) [(18)] Period of enrollment--The semester or semesters within the current state fiscal year (September 1-August 31) for which the student was enrolled in an approved institution and met all eligibility requirements for an award through this program.

(20) [(19)] Program Officer--The individual named by each participating institution's chief executive officer to serve as agent for the Board. The Program Officer has primary responsibility for all ministerial acts required by the program, including the determination of student eligibility, selection of recipients, maintenance of all records, and preparation and submission of reports reflecting program transactions. Unless otherwise indicated by the institution's chief executive officer, the director of student financial aid shall serve as Program Officer.

(21) [(20)] Residency Core Questions--A set of questions developed by the Coordinating Board to be used to determine a student's eligibility for classification as a resident of Texas, available for downloading from the Coordinating Board's website, and incorporated into the ApplyTexas application for admission.

(22) [(21)] Resident of Texas--A resident of the State of Texas as determined in accordance with Chapter 21, Subchapter B of this title (relating to Determination of Resident Status). Nonresident students who are eligible to pay resident tuition rates are not residents of Texas.

(23) [(22)] Semester -- A payment period, as defined by 34 CFR 668.4(a) or 34 CFR 668.4(b)(1)

(24) [(23)] Three-Quarter-Time--For undergraduate students, enrollment or expected enrollment for the equivalent of at least nine but fewer than 12 semester credit hours per semester. For graduate students, enrollment or expected enrollment for the equivalent of 75 percent of the normal full-time course load of the student's program of study as defined by the institution.

(25) [(24)] Timely Distribution of Funds--Activities completed by institutions of higher education related to the receipt and distribution of state financial aid funding from the Board and subsequent distribution to recipients or return to the Board.

(26) [(25)] Undergraduate student--An individual who has not yet received a baccalaureate degree.

§§22.2. – 22.11. No Change.

AGENDA ITEM VII-G

Consideration of approving the recommendation to enter into staff augmentation and technology service contracts to support implementation of the data modernization initiative

RECOMMENDATION: Approval

Background Information:

Supplemental Materials will be forthcoming.

Ms. Lori Fey, Deputy Commissioner for Data Analytics and Innovation, will provide a brief update presentation and be available to answer questions.

AGENDA ITEM IX-A

Committee Chair's meeting overview

Fred Farias III, O.D., Chair of the Committee on Academic and Workforce Success, will provide the Board an overview of the items on the agenda.

AGENDA ITEM IX-B

Consideration of approval of the certification required by Education Code § 62.146 for the National Research University Fund for Fiscal Year 2020

RECOMMENDATION: Approval

Background Information:

Texas Education Code, Section 62.146(b) requires the Texas Higher Education Coordinating Board (THECB) to certify to the Texas Legislature that information relating to the criteria used to determine eligibility for distributions of money from the National Research University Fund (NRUF) has been verified. The statute requires the certification report be submitted to the Comptroller of Public Accounts and Texas Legislature "as soon as practicable in each state fiscal year."

The Texas Legislature established NRUF in 2009 "to provide a dedicated, independent, and equitable source of funding to enable emerging research universities in this state to achieve national prominence as major research universities." An emerging research university must meet legislatively specified benchmarks to be eligible for funds and must have expended more than \$45 million on restricted research for two consecutive years.

The NRUF is funded from an endowment managed by the Texas Treasury Safekeeping Trust Company, a subsidiary of the Comptroller of Public Accounts. Up to 4.5% of the NRUF investment, calculated at the average market value of the fund for the last 12 fiscal quarters, may be appropriated to the fund for distribution to the designated NRUF institutions.

Eight universities are currently designated as emerging research universities in the THECB Accountability System. Two universities, Texas Tech University and the University of Houston, achieved eligibility for NRUF funding in 2012, and one institution, The University of Texas at Dallas, achieved eligibility in 2018. The institutions that have met NRUF eligibility received annual funding that has ranged from \$7.4 to \$9.5 million per year, with an average of \$8.3 million per year over nine years. Five additional emerging research institutions are potentially eligible to receive NRUF funding in the future, including Texas State University, The University of Texas at Arlington, The University of Texas at El Paso, The University of Texas at San Antonio, and University of North Texas.

In September 2020, the Commissioner reported to the Comptroller of Public Accounts that The University of Texas at Arlington met the eligibility criteria for Fiscal Year (FY) 2019. If the institution again meets these criteria in FY 2020, the institution will be eligible for fund distribution in FY 2021, pending the outcome of a statutorily required audit conducted by the State Auditor's Office in FY 2021.

The University of Texas at El Paso reached the required restricted research expenditure benchmark in FY 2019 but had not achieved the additional required benchmarks to become eligible for NRUF funding in FY 2021.

The NRUF certification report will be completed once all emerging research institutions submit certified data to the THECB for this purpose, using the regular Coordinating Board Management (CBM) reporting mechanism. The certification report is expected to be finalized in spring 2021. THECB staff requests that the Board authorize the Commissioner to submit the NRUF certification report for FY 2020 to the Comptroller and Legislature as soon as it is finalized.

Dr. Stacey Silverman, Assistant Commissioner for Academic and Health Affairs, will present this item and be available to answer questions.

AGENDA ITEM IX-C

Consideration of adopting the Report Regarding Compliance by Postsecondary Educational Institutions on Reporting Incidents of Sexual Harassment, Sexual Assault, Dating Violence, and Stalking required by Texas Education Code § 51.258.

RECOMMENDATION: Approval

Background Information:

The 86th Texas Legislature recognized the need for accurate reporting of certain incidents of sexual harassment, sexual assault, dating violence, or stalking at public and private or independent institutions of higher education through its passage of Senate Bill 212 and House Bill 1735, codified as Texas Education Code, Chapter 51, Subchapters E-2 and E-3. The two subchapters require institutions to submit reports.

Subchapter E-2 requires the Chief Executive Officers of postsecondary educational institutions to annually certify to the Texas Higher Education Coordinating Board (THECB) that their institution is in substantial compliance with the subchapter. Subchapter E-2 also requires the THECB to annually submit to the governor, the lieutenant governor, the speaker of the house of representatives, and the standing legislative committees with primary jurisdiction over legislation concerning sexual assault at postsecondary educational institutions a report regarding compliance with this subchapter, including a summary of the postsecondary educational institutions found not to be in substantial compliance and any penalties assessed during the calendar year preceding the date of the report.

Through the process of negotiated rulemaking, Subchapter E-3 requirements became effective August 1, 2020. A report including substantial compliance with Subchapter E-3 requirements will be submitted to the governor, the lieutenant governor, the speaker of the house of representatives, and the standing legislative committees with primary jurisdiction over legislation concerning sexual assault at postsecondary educational institutions in 2021.

The initial report on compliance with Subchapter E-2 includes an *Initial Review of Certain Sexual Misconduct Reporting and Compliance Requirements at Institutions of Higher Education*, presented by Mark Poehl, Assistant Commissioner, Internal Audit and Compliance, for management response.

Dr. Tina Jackson, Assistant Commissioner for Workforce Education, and Dr. Stacey Silverman, Assistant Commissioner for Academic and Health Affairs, will present this item and be available to answer questions.

AGENDA ITEM IX-D

Consideration of adopting the Report on Developmental Education (Rider 32, 86th Texas Legislature, Regular Session)

RECOMMENDATION: Approval

The Texas Higher Education Coordinating Board (THECB) staff requests approval of the Update to the 2018 - 2023 Statewide Plan for Serving Underprepared Students, which reports on the progress in meeting the recommendations of the five-year plan regarding the most effective and efficient combination of developmental education interventions (General Appropriations Act, HB 1, Article III, Section 32, 86th Texas Legislature, Regular Session), including corequisite models as required by House Bill 2223, 85th Texas Legislature, signed into law by Governor Greg Abbott in June 2017. This update also (1) provides outcomes for the college preparatory course, as required by the statute concerning the Texas Success Initiative (TSI) (Texas Education Code, Chapter 51, Subchapter F-1, Section 51.338(g)(2)); and (2) highlights results from the annual Developmental Education Program Survey (DEPS) and performance measures informing progress toward accelerating underprepared students' persistence and completions in support of *60x30TX* goals.

Background Information:

In July 2009, the THECB adopted the state's first Developmental Education Plan (hereinafter referred to as "2009 DE Plan") in response to a directive issued in the General Appropriations Act, House Bill 1, Section 50, 81st Texas Legislature. The purpose of the 2009 DE Plan was to provide direction for the future of developmental education in Texas. The 2012-2017 Statewide Plan for Developmental Education provided an update to the original plan, and the current 2018 -2023 Plan provided new recommendations to significantly scale the persistence and success of underprepared students, which is paramount to meeting the ambitious goals of *60x30TX*.

Since the creation and implementation of the 2009 DE Plan, the THECB has funded various developmental education initiatives, including research and evaluation, to support Texas public institutions of higher education in achieving the goals outlined in the plan. Evaluation of the various initiatives coupled with institutional data show that institutions have made significant strides in improving student advising, diversifying instructional strategies and opportunities for students, and accelerating curriculum by targeting student needs within intensive program structures. After years of steady but slow progress, Texas now begins a bold initiative that scales the corequisite model in order to provide the best opportunity to make significant progress supporting not only the college readiness of underprepared students, but also their first college-level course completions, important milestones to building the momentum for persistence and success of this population. The current 2018-2023 Developmental Education Plan builds on promising strategies and initiatives and establishes new program and institutional objectives to bring corequisite models and their supporting best practices to scale. This report

updates progress on the Plan's recommendations to help ensure statewide targets for underprepared students are met in support of *60x30TX* goals.

The report is provided under separate cover.

Jerel Booker, Assistant Commissioner for College Readiness and Success, and Dr. Suzanne Morales-Vale, Director of Developmental and Adult Education, will present this item and be available to answer questions.

AGENDA ITEM IX-E

Consideration of adopting the July 2020 Annual Compliance Reports for institutions under a Certificate of Authorization (Names beginning with "P" through "Z")

RECOMMENDATION: Approval

Background Information:

Starting in January 2014, under Chapter 7, Subchapter A, Section 7.7 of Coordinating Board rules, institutions operating under a Certificate of Authorization are required to submit an annual compliance report to ensure continued compliance of institutions' operations in Texas. The compliance reports include documentation on accreditation status, degree programs being offered, publications, student complaint policies, and financial viability. This requirement was instituted after the U.S. Department of Education found another state's "licensure by accreditation" to be lacking in proper oversight of institutions operating in that state. Since the Certificate of Authorization is similarly based in part on accreditation by a recognized accreditor, proactive measures were taken to ensure Texas had enough review and oversight of institutions operating under a Certificate of Authorization. The annual compliance review report also provides a means for staff to confirm that information about an institution is current in the Texas Higher Education Coordinating Board's files and publications.

Institutions with names beginning with "P" through "Z" were required to submit their reports by July 15, 2020. Institutions with names beginning with "A" through "O" will be required to submit their reports by January 15, 2021. An institution receiving its first Certificate of Authorization less than six months prior to the report due date was not asked to submit an annual report because the information was deemed up-to-date. Following is a summary of the status of all institutions that were required to report in the July 2020 reporting cycle.

Dr. Tina Jackson, Assistant Commissioner for Workforce Education, and Dr. Stacey Silverman, Assistant Commissioner for Academic Quality, will be available to answer questions.

January 2021 Report to Texas Higher Education Coordinating Board
Status of Institutions (P-Z) under a Certificate of Authorization

Background:

Starting in January 2014, institutions operating under a Certificate of Authorization are required to submit an annual compliance report. Institutions with names beginning with “P” through “Z” are required to submit their reports by July 15 each year. An institution receiving its first Certificate of Authorization less than six months prior to the report due date was not asked to submit an annual report because the information was deemed up-to-date. Following are the statuses of all institutions under the July 15, 2020 reporting deadline:

Institutions in compliance with THECB rules, including annual compliance reporting – Operating in Texas under current Certificates of Authorization

Institutions with a physical campus in Texas:

- | | |
|--|--|
| • Park University - Austin | • Strayer University - Fort Worth |
| • Park University - El Paso | • Strayer University - Killeen |
| • Paul Quinn College - Dallas | • Strayer University - North Austin |
| • Pima Medical Institute - El Paso | • Strayer University - North Dallas |
| • Pima Medical Institute - Houston | • Strayer University - Northwest Houston |
| • Quest College - San Antonio | • Strayer University - San Antonio |
| • Relay Graduate School of Education - Dallas/Fort Worth | • Texas Health and Science University |
| • Relay Graduate School of Education - Houston | • Texas Health and Science University - San Antonio |
| • Relay Graduate School of Education - San Antonio | • The Chicago School of Professional Psychology - Richardson |
| • Rio Grande Valley College | • The King's University |
| • Saint Leo University - Corpus Christi | • Universidad Ana G. Mendez - Carolina Campus |

- Saint Louis University - Dallas
- Saint Louis University - Houston
- School of Automotive Machinists & Technology
- Southern Careers Institute
- Southwest University - El Paso
- Springfield College - The Woodlands
- Strayer University - Cedar Hill
- Strayer University - Corpus Christi
- Strayer University - El Paso
- Universidad Ana G. Mendez - Cupey Campus
- Universidad Ana G. Mendez - Gurabo Campus
- Vet Tech Institute of Houston
- Wade College
- Webster University - San Antonio
- West Coast University - Dallas
- Western Governors University - Texas
- Western Technical College - El Paso (Diana Campus)
- Western Technical College - El Paso (Main Campus)

Out-of-state institutions authorized to provide field-based learning in Texas (clinicals, internships):

- San Diego State University
- Saybrook University
- Seward County Community College/ATS
- Southeastern Oklahoma State University at Grayson College
- St. Catherine University
- Touro University Nevada
- United States University
- University of Mississippi
- University of San Francisco
- Webster University - St. Louis, MO
- West Coast University - Los Angeles
- West Coast University - Orange County
- Western Oklahoma State College
- Western University of Health Sciences

Institutions which have submitted annual compliance reporting, but need to provide missing information before fully in compliance

Institutions with a physical campus in Texas:

- Remington College - Fort Worth Campus
- Remington College - Houston North (Greenspoint)
- Remington College - Houston Southeast (Webster)
- South University - Austin
- Southwest School of Art - San Antonio
- The Art Institute of Austin
- The Art Institute of Dallas
- The Art Institute of Houston
- The Art Institute of San Antonio
- The College of Health Care Professions – Austin
- The College of Health Care Professions – Dallas
- The College of Health Care Professions - Fort Worth
- The College of Health Care Professions - Houston Northwest
- The College of Health Care Professions - Houston Southwest
- The College of Health Care Professions – McAllen
- Universal Technical Institute of Northern Texas
- University of Phoenix - Resource Center at Arlington Highlands
- University of Phoenix - Dallas
- University of Phoenix - El Paso
- University of Phoenix - Houston
- University of Phoenix - Killeen Learning Center
- University of Phoenix - San Antonio
- University of St. Augustine for Health Sciences - Dallas
- University of St. Augustine for Health Sciences - Austin
- Visible Music College
- Vista College - Beaumont
- Vista College - College Station
- Vista College - El Paso (Brook Hollow)
- Vista College - El Paso (Montana)
- Vista College - El Paso (North Loop)

- The College of Health Care Professions - San Antonio
- Vista College - Killeen
- The College of Health Care Professions - San Antonio South

Out-of-state institutions authorized to provide field-based learning in Texas (clinicals, internships):

- Samuel Merritt University
- University of Puerto Rico - Medical Sciences
- Southern Arkansas University
- University of Southern California
- South University - Online

Institutions with Certificates of Authorization expired due to campus closure or no current presence in Texas

- Peloton College – Dallas - Currently not offering degrees.
- Strayer University - Stafford
- Tulane University - Houston

Institutions which are operating in Texas under current Certificates of Authorization, but have been requested to update THECB with any changes in status due to financial or accreditation concerns

Institutions with a physical campus in Texas:

Institution	Concern
Remington College - Dallas Campus (Garland)	Heightened Cash Monitoring 1 status because of U.S. Department of Education concerns with company financial responsibility.

Seminary of the Southwest	Letter of Credit requirement because of U.S. Department of Education concerns with company financial responsibility.
Upper Iowa University – Richardson	Interim reporting status with institutional accreditor.
Vista College - Richardson (Online)	Accreditor's pending action regarding reaccreditation.

Out-of-state institutions authorized to provide field-based learning in Texas (clinicals, internships):

Institution	Concern
Research College of Nursing	Financial responsibility composite score less than one.
Walden University	Heightened Cash Monitoring 1 status because of U.S. Department of Education concerns with company financial responsibility.

AGENDA ITEM IX-F (1)

Consideration of the request from Prairie View A&M University for a Doctor of Business Administration (DBA) degree with a major in Business Administration

RECOMMENDATION: Approval, beginning fall 2021

Rationale:

Prairie View A&M University (PVAMU) is seeking approval to offer a Doctor of Business Administration (DBA) degree in Business Administration. The proposed program would require 60 semester credit hours (SCH) beyond a required Master of Business Administration (MBA) degree beginning in fall 2021. The proposed program would be offered in a hybrid format at PVAMU's Northwest Houston Center located in Houston. The cohort-based curriculum plan estimates three years to complete the degree. PVAMU would not seek formula funding for the proposed program.

Students are expected to keep their existing jobs while participating in courses online or on weekends, and they are expected to advance with their current employers after graduation. An analysis of workforce demand indicates positive job prospects: the 157 Texas doctoral graduates in Business in 2019 was 24% of the projected annual openings for postsecondary Business teachers only, not including the additional 4,671 projected openings for Management Analysts and other jobs in a variety of industries across Texas.

The focus of the curriculum would be on the research skills necessary to address issues in specific industries, and the curriculum would emphasize evidence-based solutions for real-world management problems. There are two existing DBA programs in Texas, at University of Dallas and University of the Incarnate Word, both independent institutions. The proposed program would be the first in the Houston metropolitan region, the first at a Texas public university, and the first in the nation at a Historically Black College or University.

The institution will seek accreditation for the proposed program from the Association to Advance Collegiate Schools of Business (AACSB) upon the graduation of its first cohort.

Prairie View A&M University (*Accountability Peer Group: Comprehensive University*)

Completion Measures		Institution	State
<i>Graduate</i>	Master's 5-Year Graduation Rate	64%	75%
	Doctoral 10-Year Graduation Rate	58%	68%
<i>Status of Recently Approved Doctoral Programs</i>	The institution has no new doctoral programs approved in the last five years.	Yes	No <u>N/A</u>
	The institution has met its resource commitments for new doctoral program(s) approved in the last five years:	Yes	No <u>N/A</u>

Proposed Program:

The proposed hybrid program would require one weekend per month of face-to-face instruction, and the remainder of the 60 SCH would be offered in self-paced instruction online beginning in fall 2021. The proposed DBA differs from research-oriented Business PhD programs that are primarily to educate academics who will teach and publish. The DBA is a practice-focused degree designed to primarily produce executives with focused expertise in particular industries. Students or their employers are expected to pay full tuition, which is typical of DBA programs nationwide. The institution will not seek formula funding for the program.

The institution estimates that five-year costs would total \$3,022,010.

Existing Programs:

There are two independent universities offering Doctor of Business Administration programs in Texas.

Independent Colleges and Universities:

University of the Incarnate Word

University of Dallas

There are no existing programs within a 60-minute drive of proposed program.

Start-Up Projections:	Yr. 1	Yr. 2	Yr. 3	Yr. 4	Yr. 5
<i>Students Enrolled</i>	10	21	33	38	42
<i>Graduates</i>	0	0	3	9	10
<i>Avg. Financial Assistance</i>	\$5,000	\$5,000	\$5,000	\$5,000	\$5,000
<i>Students Assisted</i>	2	2	2	2	2
<i>Core Faculty (FTE)</i>	1.75	1.75	1.75	1.75	1.75
<i>Total Costs</i>	\$356,000	\$525,920	\$675,783	\$717,977	\$746,330
<i>Total Funding</i>	\$315,000	\$661,500	\$999,000	\$1,145,400	\$1,264,800
<i>% From Formula Funding</i>	0	0	0	0	0

FIVE-YEAR COSTS	
Personnel	
Faculty	\$ 727,040
Faculty (Reallocated)	\$ 538,000
Program Administration (New)	\$ 266,447
Program Administration (Reallocated)	\$
Graduate Assistants (New)	\$
Graduate Assistants (Reallocated)	\$
Clerical/Staff (New)	\$ 233,141
Clerical/Staff (Reallocated)	\$
Other	\$ 236,800
Student Support	\$ 342,000
Supplies and Materials	\$ 352,300
Library and IT Resources	\$ 138,141
Equipment	\$ 0
Facilities	\$ 0
Other	\$ 188,141
Total	\$ 3,022,010

FIVE-YEAR FUNDING		
Formula Funding (Years 3-5)	\$ 0	
Other State Funding	\$ 0	
Reallocation of Existing Resources	\$ 0	
Federal Funding (In-Hand Only)	\$ 0	
Tuition and Fees	\$ 4,385,700	
Other	\$	0
Total	\$	4,358,700

Major Commitments:

The institution will seek accreditation for the proposed program from the Association to Advance Collegiate Schools of Business (AACSB) upon the graduation of its first cohort.

The institution will submit three reports in Years 1, 3, and 5 confirming institutional commitments and assessing the progress of program implementation.

Dr. Stacey Silverman, Assistant Commissioner for Academic and Health Affairs, will present this item and be available to answer questions.

Online Resume for Legislators and Other Policymakers
PRAIRIE VIEW A&M UNIVERSITY

Location: Prairie View, Gulf Coast Region

Comprehensive Accountability Peer Group: Lamar University, Stephen F. Austin State Univ, Tarleton State Univ, Texas A&M International, West Texas A&M Univ

Out-Of-State Peers: Arkansas State University-Main Campus, Elizabeth City State University, Jackson State University, Morgan State University, University Of Central Missouri

Degrees Offered: Bachelor's, Master's, Doctoral

Institutional Resumes

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Enrollment						
Race/Ethnicity	Fall 2014		Fall 2018		Fall 2019	
	Number	Percent	Number	Percent	Number	Percent
White	267	3.2%	164	1.7%	144	1.6%
Hispanic	420	5.0%	838	8.8%	772	8.6%
African American	7,093	85.0%	8,037	84.5%	7,563	84.6%
Asian	240	2.9%	195	2.0%	178	2.0%
International	237	2.8%	232	2.4%	217	2.4%
Other & Unknown	86	1.0%	50	.5%	66	.7%
Total	8,343	100.0%	9,516	100.0%	8,940	100.0%
TX First Time Transfers	Number	% of UG	Number	% of UG	Number	% of UG
Two-Year Institutions	266	3.9%	382	4.5%	312	3.8%
Other Institutions	116	1.7%	77	.9%	65	.8%

Costs				
Average Annual Total Academic Costs for Resident Undergraduate Student Taking 30 SCH				
Fiscal Year	Texas Rates			
	Institution Average	Percent Increase	Peer Group Average	Percent Increase
2015	\$8,637	.0%	\$8,295	.0%
2016	\$9,645	11.7%	\$8,728	5.2%
2017	\$9,979	3.5%	\$8,938	2.4%
2018	\$9,979	.0%	\$9,079	1.6%
2019	\$10,534	5.6%	\$9,474	4.4%
2020	\$10,785	2.4%	\$9,759	3.0%

Average Number of Fall & Spring Semesters and SCH Attempted for Bachelor's Degree						
Year	Institution			Peer Group Average		
	Grads	Sem	SCH	Grads	Sem	SCH
FY 2015	903	10.42	150.54	1,098	10.18	139.86
FY 2018	866	10.80	155.00	1,239	10.26	138.33
FY 2019	923	10.40	153.00	1,258	10.13	136.50

Financial Aid						
Fiscal Year	Institution		Peer Group		OOS Peer Group	
	Percent	Avg Amt	Percent	Avg Amt	Percent	Avg Amt
Federal Student Loans						
2017	74%	\$6,903	54%	\$7,128	62%	\$7,401
2018	74%	\$6,675	52%	\$7,064	60%	\$7,377
Federal, State, Institutional or Other Grants Known by Institutions						
2017	84%	\$9,987	69%	\$7,007	75%	\$7,743
2018	83%	\$10,271	67%	\$7,408	75%	\$8,186
Federal (Pell) Grants						
2017	65%	\$4,592	46%	\$4,367	51%	\$4,438
2018	66%	\$4,971	46%	\$4,758	50%	\$4,770

Student Success							
One-Year Persistence of First-time, Full-time, Degree Seeking Undergraduates					Graduation Rates		
		Enter Fall 2013	Enter Fall 2017	Enter Fall 2018	Cohort	Institution Rate	Peer Group Rate
Cohort		1,463	2,047	2,176	Fall 2010 4-year	9.8%	20.6%
Total		76.5%	81.7%	77.7%	Fall 2014 4-year	14.9%	27.7%
Same		66.6%	73.4%	66.1%	Fall 2015 4-year	15.6%	28.6%
Other		9.9%	8.3%	11.5%	Fall 2009 5-year	29.0%	37.9%
Two-Year Persistence of First-time, Full-time, Degree Seeking Undergraduates					Fall 2013 5-year	32.3%	45.6%
		Enter Fall 2012	Enter Fall 2016	Enter Fall 2017	Fall 2014 5-year	33.9%	45.8%
Institution Persistence					Fall 2008 6-year	40.0%	47.3%
Cohort		1,595	1,833	2,047	Fall 2012 6-year	39.2%	48.2%
Total		65.0%	70.2%	71.2%	Fall 2013 6-year	40.8%	52.1%
Same		51.3%	58.3%	57.5%	National Comparison (IPEDS Definition)		
Other		13.7%	11.9%	13.7%	Cohort	Institution Rate	OOS Peers Rate
Peer Group Persistence					Fall 2009 4-year	11.0%	19.3%
Cohort		1,504	1,674	1,659	Fall 2013 4-year	13.0%	22.2%
Total		70.5%	74.1%	74.1%	Fall 2014 4-year	12.0%	23.4%
Same		53.2%	57.3%	58.0%	Fall 2008 5-year	29.0%	34.5%
Other		17.3%	16.8%	16.1%	Fall 2012 5-year	28.0%	36.6%
Average Number of Fall & Spring Semesters and SCH Attempted for Bachelor's Degree					Fall 2013 5-year	28.0%	37.8%
					Fall 2007 6-year	37.0%	40.8%
					Fall 2011 6-year	35.0%	41.0%
					Fall 2012 6-year	35.0%	44.0%
Institution Grads	Sem	SCH	Peer Group Average				
			Grads	Sem	SCH		

Six-year Graduation & Persistence Rate, Fall 2013		
Student Group	Cohort	Rate
<i>For Students Needing Dev Ed</i>		
Institution	589	40.2%
Peer Group	290	40.0%
<i>For Students NOT Needing Dev Ed</i>		
Institution	874	52.2%
Peer Group	1,217	62.5%

*Peer Group data is average for peer group.

Funding						
Source	FY 2014 Amount	Pct of Total	FY 2018 Amount	Pct of Total	FY 2019 Amount	Pct of Total
Appropriated Funds	\$74,891,415	44.3%	\$94,776,192	40.4%	\$98,215,450	43.2%
Federal Funds	\$43,376,834	25.6%	\$53,126,765	22.6%	\$59,879,009	26.3%
Tuition & Fees	\$32,483,688	19.2%	\$41,862,856	17.8%	\$40,878,006	18.0%
Total Revenue	\$169,179,665	100.0%	\$234,641,313	100.0%	\$227,272,293	100.0%

Online Resume for Prospective Students, Parents and the Public

PRAIRIE VIEW A&M UNIVERSITY

Location: Prairie View, Gulf Coast Region

Comprehensive Accountability Peer Group: Lamar University, Stephen F. Austin State Univ, Tarleton State Univ, Texas A&M International, West Texas A&M Univ

Out-Of-State Peers: Arkansas State University-Main Campus, Elizabeth City State University, Jackson State University, Morgan State University, University Of Central Missouri

Degrees Offered: Bachelor's, Master's, Doctoral

[Institutional Resumes](#)

[Accountability System](#)

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Enrollment		
Race/Ethnicity	Fall 2019 Number	Percent
White	144	1.6%
Hispanic	772	8.6%
African American	7,563	84.6%
Asian	178	2.0%
International	217	2.4%
Other & Unknown	66	.7%
Total	8,940	100.0%
TX First Time Transfers	Number	% of UG
Two-Year Institutions	312	3.8%
Other Institutions	65	.8%

Baccalaureate Success		
Graduation Rate of First-time, Full-time Degree-seeking Students Entering		
Measure	Fall	Rate
4-year Rate Total	2015	15.6%
Same Institution		14.4%
Other Institutions		1.2%
5-year Rate Total	2014	33.9%
Same Institution		30.6%
Other Institutions		3.2%
6-year Rate Total	2013	40.8%
Same Institution		36.4%
Other Institutions		4.4%

[Grad Rates by Ethnicity](#)

1-Year Persistence, Fall 2018	
Total	77.7%
Same	66.1%
Other	11.5%

2-Year Persistence, Fall 2017	
Total	71.2%
Same	57.5%
Other	13.7%

Avg Number SCH for Bachelor's Degree	
FY 2019 Average	
Sem	SCH
All	10.40 153.00

Degrees Awarded	
Type	FY 2019
Bachelor's	1,146
Master's	374
Doctoral	21
Professional	0
Total	1,541

[Degrees by Ethnicity](#)

First-time Licensure or Certification Examination Pass Rate	
Field	FY 2019 Rate
Education*	100.00%
Law	%
Pharmacy	%
Nursing	97.4%
Engineering	18.2%

*Data for FY 2018

Admissions		
Middle 50% of Test Scores, for First-Time Undergraduates, Fall 2019		
Test Section	ACT	SAT
Composite		
Math		
English		
Critical Reading		

<https://nces.ed.gov/>

Application for First-time Undergraduate Admission Fall 2019			
Race/Ethnicity	Applicants	Accepted	Enrolled
White	90	90.0%	23.5%
African American	5,367	81.7%	35.2%
Hispanic	1,269	84.1%	12.8%
Asian	66	84.8%	14.3%
International	5	100.0%	100.0%
Other	54	79.6%	32.6%
Total	6,851	82.3%	30.6%

Costs				
Average Annual Academic Costs for Resident Undergraduate Student Taking 30 SCH				
Fiscal Year	Institution Average	Percent Increase	Peer Group Average	Percent Increase
2015	\$8,637	.0%	\$8,227	.0%
2016	\$9,645	10.5%	\$8,545	3.7%
2017	\$9,979	3.3%	\$8,729	2.1%
2018	\$9,979	.0%	\$8,899	1.9%
2019	\$10,534	5.3%	\$9,262	3.9%
2020	\$10,785	2.3%	\$9,554	3.1%

Annual Costs for Resident Undergraduate Student Taking 30 SCH, FY 2020	
Type of Cost	Average Amount
Total Academic Cost	\$10,785
On-campus Room & Board	\$9,075
Books & Supplies	\$1,340
Off-Campus Transportation & Personal Expenses	\$4,287
Total Cost	\$25,487

[Rates of Tuition per SCH](#)

[Mandatory Fees](#)

Instruction	
Measure of Excellence	Fall 2019
Undergraduate Classes with < 20 Students	24.5%
Undergraduate Classes with > 50 Students	6.6%
% of Teaching Faculty Tenured/Tenure-track *	46.5%
Student/Faculty Ratio *	0:1

Financial Aid		
Enrolled in FY 2018		
Type of Aid	% of UGs Receiving	Average Amount
Grants or Scholarships	83%	*****
Federal (Pell) Grants	66%	\$4,971
Federal Student Loans	74%	\$6,675

Funding		
Source	FY 2019 Amount	Pct of Total
Appropriated Funds	\$98,215,450	43.2%
Federal Funds	\$59,879,009	26.3%
Tuition & Fees	\$40,878,006	18.0%
Total Revenue	\$227,272,293	100.0%

* Fall 2018 Data

Proposal for a Doctor of Business Administration (DBA) in Business Administration degree with a major in Business Administration



AGENDA ITEM IX-F (2)

Consideration of the request from University of Houston for a Doctor of Nursing Practice (DNP) degree with a major in Nursing Practice

RECOMMENDATION: Approval, beginning fall 2021

Rationale:

University of Houston (UH) is seeking approval to offer a Doctor of Nursing Practice (DNP) in Nursing Practice. If approved, the proposed program would prepare family nurse practitioners (FNP) and nurse executives educated at the doctoral level to address the shortages of primary care providers, nursing educators, and leaders in a complex healthcare environment. The DNP program would be a part-time or full-time, post-master's program designed for working nurses and would utilize a face-to-face with an online component delivery method. Students would choose between two emphasis areas: Family Nurse Practitioner or Administration. The proposed DNP would require face-to-face experiences, particularly in clinical settings. The DNP would consist of 36 semester credit hours (SCHs) beyond the master's degree.

Workforce data suggest that there is a need for nurse practitioners. According to the United States Bureau of Labor Statistics (BLS), the employment rate for nursing practice as a field is expected to grow at a much greater rate (28%) than the average for all occupations (5.2%). Texas Workforce Commission (TWC) recognizes nursing practice as one of the fastest growing careers requiring a master's degree in Texas and expects the state employment rate for nurse practitioners to grow at a faster rate (44%) than the average for all occupations (16.6%). The BLS and TWC anticipate an increased need for nurse practitioners through 2028.

While workforce projections consider a master's degree to be sufficient for nurse practitioners, the American Association of Colleges of Nursing (AACN) adopted a position statement identifying the Doctor of Nursing Practice (DNP) as the most appropriate degree for Advanced Practice Registered Nurses (APRNs) to enter practice and endorsed a position that master's programs educating APRNs should transition to the DNP as the entry-level for APRNs. The proposed program would support the national movement toward making the DNP the necessary degree for advanced nursing practice.

The proposed post-master's DNP at UH would not produce additional nurse practitioners to enter the workforce. Students would enter the program with a master's degree in nursing in an advanced practice area.

In accordance with the institution's proposed hiring schedule, UH will hire two core faculty members in the first year of the program. By June 1 of that year, the institution will provide documentation of the hires through submission of a letter of intent, curricula vitae, and list of nursing practice courses to be taught.

The institution will seek accreditation for the DNP program from the Commission on Collegiate Nursing Education (CCNE).

University of Houston (*Accountability Peer Group: Emerging Research University*)

Completion Measures		Institution	State
<i>Graduate</i>	Master's 5-Year Graduation Rate	89%	75%
	Doctoral 10-Year Graduation Rate	73%	68%
<i>Status of Recently Approved Doctoral Programs</i>	The institution has met its projected enrollments for all new doctoral program(s) approved in the last five years: Yes No N/A		
	Recently Approved Doctoral Programs: <ul style="list-style-type: none"> • Petroleum Engineering (PhD, 2015) enrollments met • Geosensing Systems Engineering and Sciences (PhD, 2015) enrollment is 4 below expected (projected 15, enrolled 11) • Medicine (MD, 2018) • Communication Sciences and Disorders (PhD, 2019) 		
	The institution has met its resource commitments for new doctoral programs) approved in the last five years: Yes No N/A		

Proposed Program:

The proposed DNP would be offered as a face-to-face program and would also have an online component. The proposed program would require 36 SCHs and would be available beginning in fall 2021. The proposed curriculum would align with the Essentials of Doctoral Education for Advanced Nursing Practice which is required for CCNE accreditation. The proposed program would prepare family nurse practitioners (FNP) and nurse executives educated at the doctoral level to address the shortages of primary care providers, nursing educators, and leaders in a complex healthcare environment, which is a profession in high demand in Texas and nationally.

The institution estimates that five-year costs would total \$3,284,609.

Existing Programs:

There are currently 11 public institutions and five independent institutions offering the DNP in Nursing Practice (51.3818).

Public Universities:

Prairie View A&M University
 Texas A&M University-Corpus Christi
 Texas Woman's University
 The University of Texas at Arlington
 The University of Texas at Austin

The University of Texas at El Paso
 The University of Texas at Tyler
 Texas Tech University Health Sciences Center
 The University of Texas Health Science Center at Houston
 The University of Texas Health Science Center at San Antonio
 The University of Texas Medical Branch at Galveston

Independent Colleges and Universities:

Abilene Christian University
 Baylor University
 Texas Christian University
 Texas Wesleyan University
 University of the Incarnate Word

The nearest institution to UH offering a doctoral nursing program in nursing practice is The University of Texas Health Science Center at Houston, which is 4.2 miles away.

In 2019, there were a total of 585 declared majors at the public universities (317) and Health-related institutions (268).

<i>Start-Up Projections:</i>	<i>Yr. 1</i>	<i>Yr. 2</i>	<i>Yr. 3</i>	<i>Yr. 4</i>	<i>Yr. 5</i>
<i>Students Enrolled</i>	7	12	14	18	22
<i>Graduates</i>	0	0	6	10	12
<i>Avg. Financial Assistance</i>	\$8,000	\$8,000	\$8,000	\$8,000	\$8,000
<i>Students Assisted</i>	4	8	8	8	89
<i>Core Faculty (FTE)</i>	11.0	11.0	11.0	11.0	11.0
<i>Total Costs</i>	\$559,872	\$593,655	\$685,752	\$705,384	\$739,946
<i>Total Funding</i>	\$690,859	\$742,492	\$927,197	\$1,005,366	\$1,230,434
<i>% From Formula Funding</i>	0	0	10%	10%	21%

FIVE-YEAR COSTS	
Personnel	
Faculty (New)	\$ 61, 910
Faculty (Reallocated)	\$ 2,607,221
Program Administration (New)	\$ 0
Program Administration (Reallocated)	\$ 0
Graduate Assistants (New)	\$ 55,653
Graduate Assistants (Reallocated)	\$ 84,858
Clerical/Staff (New)	\$ 0
Clerical/Staff (Reallocated)	\$ 299,233
Student Support	\$ 0
Supplies and Materials	\$ 6,750
Library and IT Resources	\$ 6,000
Equipment	\$ 0
Facilities	\$ 0
Other (Marketing, Accreditation)	\$ 162,984
Total	\$ 3,284,609

FIVE-YEAR FUNDING	
Formula Funding (Years 3-5)	\$ 428,152
Other State Funding	\$ 0
Reallocation of Existing Resources	\$ 3,211,514
Federal Funding (In-Hand Only)	\$ 0
Tuition and Fees	\$ 956,681
Other	\$ 0
Total	\$ 4,596,347

Major Commitments:

In accordance with the institution's proposed hiring schedule, UH will hire two core faculty members in the first year of the program. By June 1 of that year, the institution will provide documentation of the hires through submission of a letter of intent, curricula vitae, and list of nursing practice courses to be taught.

The institution will seek accreditation for its DNP program from the Commission on Collegiate Nursing Education (CCNE) according to its schedule.

The institution will submit three reports in Years 1, 3, and 5 confirming institutional commitments and assessing the progress of program implementation.

Dr. Stacey Silverman, Assistant Commissioner for Academic and Health Affairs, will present this item and be available to answer questions.

Online Resume for Legislators and Other Policymakers

UNIVERSITY OF HOUSTON

Location: Houston, Gulf Coast Region

Emerging Research Accountability Peer Group: Texas State Univ, Texas Tech Univ, UT Arlington, UT Dallas, UT El Paso, UT San Antonio, Univ of North Texas

Out-Of-State Peers: University Of Cincinnati-Main Campus, University Of Illinois At Chicago, University Of New Mexico-Main Campus, University Of Oklahoma-Norman Campus, University Of South Carolina-Columbia

Degrees Offered: Bachelor's, Master's, Doctoral, Professional

[Institutional Resumes](#)

[Accountability System](#)

[Definitions](#)

[Institution Home Page](#)

Enrollment						
Race/Ethnicity	Fall 2014		Fall 2018		Fall 2019	
	Number	Percent	Number	Percent	Number	Percent
White	11,855	29.0%	11,417	24.6%	10,924	23.7%
Hispanic	10,994	26.9%	14,725	31.8%	14,963	32.4%
African American	4,567	11.2%	5,025	10.8%	5,073	11.0%
Asian	8,215	20.1%	9,666	20.9%	9,778	21.2%
International	4,025	9.8%	3,675	7.9%	3,464	7.5%
Other & Unknown	1,258	3.1%	1,816	3.9%	1,946	4.2%
Total	40,914	100.0%	46,324	100.0%	46,148	100.0%
TX First Time Transfers	Number	% of UG	Number	% of UG	Number	% of UG
	3,610	11.4%	4,047	10.8%	3,509	9.3%
Two-Year Institutions	783	2.5%	831	2.2%	668	1.8%
Other Institutions						

Costs				
Average Annual Total Academic Costs for Resident Undergraduate Student Taking 30 SCH				
Fiscal Year	Texas Rates			
	Institution Average	Percent Increase	Peer Group Average	Percent Increase
2015	\$10,331	.0%	\$9,598	.0%
2016	\$10,331	.0%	\$9,777	1.9%
2017	\$11,078	7.2%	\$10,201	4.3%
2018	\$11,078	.0%	\$10,443	2.4%
2019	\$10,890	-1.7%	\$10,712	2.6%
2020	\$11,276	3.5%	\$11,011	2.8%

Financial Aid						
Fiscal Year	Institution		Peer Group		OOS Peer Group	
	Percent	Avg Amt	Percent	Avg Amt	Percent	Avg Amt
Federal Student Loans						
2017	39%	\$6,821	46%	\$7,469	41%	\$6,876
2018	39%	\$6,650	45%	\$7,437	43%	\$6,796
Federal, State, Institutional or Other Grants Known by Institutions						
2017	56%	\$7,204	57%	\$7,502	72%	\$7,943
2018	61%	\$7,309	59%	\$7,729	71%	\$7,906
Federal (Pell) Grants						
2017	37%	\$4,242	37%	\$4,226	30%	\$4,304
2018	40%	\$4,477	39%	\$4,482	30%	\$4,543

Student Success							
One-Year Persistence of First-time, Full-time, Degree Seeking Undergraduates				Graduation Rates			
		Enter Fall 2013	Enter Fall 2017	Enter Fall 2018	Cohort	Institution Rate	Peer Group Rate
Cohort		3,332	4,746	4,919	Fall 2010 4-year	24.7%	28.4%
Total		90.8%	90.7%	89.8%	Fall 2014 4-year	38.8%	36.7%
Same		85.0%	84.9%	84.0%	Fall 2015 4-year	40.3%	38.4%
Other		5.8%	5.9%	5.8%	Fall 2009 5-year	46.0%	50.1%
Two-Year Persistence of First-time, Full-time, Degree Seeking Undergraduates					Fall 2013 5-year	58.4%	55.9%
		Enter Fall 2012	Enter Fall 2016	Enter Fall 2017	Fall 2014 5-year	60.8%	57.9%
Institution Persistence					Fall 2008 6-year	55.7%	59.1%
Cohort		3,253	4,249	4,737	Fall 2012 6-year	66.2%	62.6%
Total		86.0%	84.3%	84.7%	Fall 2013 6-year	67.6%	63.5%
Same		72.8%	73.5%	74.5%	National Comparison (IPEDS Definition)		
Other		13.2%	10.8%	10.2%	Cohort	Institution Rate	OOS Peers Rate
Peer Group Persistence					Fall 2009 4-year	16.0%	31.0%
Cohort		3,375	4,030	4,380	Fall 2013 4-year	25.0%	36.0%
Total		81.9%	82.4%	82.2%	Fall 2014 4-year	30.0%	39.0%
Same		64.9%	68.7%	68.9%	Fall 2008 5-year	38.0%	54.5%
Other		17.0%	13.7%	13.3%	Fall 2012 5-year	45.0%	58.2%
					Fall 2013 5-year	52.0%	59.4%
					Fall 2007 6-year	48.0%	60.3%
					Fall 2011 6-year	54.0%	62.6%
					Fall 2012 6-year	60.0%	63.6%
Average Number of Fall & Spring Semesters and SCH Attempted for Bachelor's Degree							
Institution Grade	Sem	SCH	Peer Group Average Grade	Sem	SCH		

Average Number of Fall & Spring Semesters and SCH Attempted for Bachelor's Degree						
Year	Institution			Peer Group Average		
	Grads	Sem	SCH	Grads	Sem	SCH
FY 2015	4,386	11.16	143.00	3,544	10.86	141.45
FY 2018	5,335	11.00	141.00	4,194	10.62	138.12
FY 2019	5,678	11.00	140.00	4,447	10.52	136.87

Six-year Graduation & Persistence Rate, Fall 2013		
Student Group	Cohort	Rate
<i>For Students Needing Dev Ed</i>		
Institution	88	52.3%
Peer Group	258	45.7%
<i>For Students NOT Needing Dev Ed</i>		
Institution	3,244	75.8%
Peer Group	3,351	72.0%

*Peer Group data is average for peer group.

Funding						
Source	FY 2014 Amount	Pct of Total	FY 2018 Amount	Pct of Total	FY 2019 Amount	Pct of Total
Appropriated Funds	\$248,493,586	28.8%	\$286,994,293	25.9%	\$303,832,633	26.4%
Federal Funds	\$119,616,176	13.9%	\$137,471,808	12.4%	\$146,321,086	12.7%
Tuition & Fees	\$295,962,039	34.3%	\$356,456,926	32.2%	\$360,651,617	31.4%
Total Revenue	\$862,012,518	100.0%	\$1,106,201,666	100.0%	\$1,149,175,618	100.0%

Online Resume for Prospective Students, Parents and the Public

UNIVERSITY OF HOUSTON

Location: Houston, Gulf Coast Region

Emerging Research Accountability Peer Group: Texas State Univ, Texas Tech Univ, UT Arlington, UT Dallas, UT El Paso, UT San Antonio, Univ of North Texas

Out-Of-State Peers: University Of Cincinnati-Main Campus, University Of Illinois At Chicago, University Of New Mexico-Main Campus, University Of Oklahoma-Norman Campus, University Of South Carolina-Columbia

Degrees Offered: Bachelor's, Master's, Doctoral, Professional

[Institutional Resumes](#)

[Accountability System](#)

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Enrollment		
Race/Ethnicity	Fall 2019 Number	Percent
White	10,924	23.7%
Hispanic	14,963	32.4%
African American	5,073	11.0%
Asian	9,778	21.2%
International	3,464	7.5%
Other & Unknown	1,946	4.2%
Total	46,148	100.0%
TX First Time Transfers	Number	% of UG
Two-Year Institutions	3,509	9.3%
Other Institutions	668	1.8%

Baccalaureate Success			
Graduation Rate of First-time, Full-time Degree-seeking Students			
Entering		Measure	
Fall		Rate	
4-year Rate Total		2015	
Same Institution		40.3%	
Other Institutions		37.9%	
5-year Rate Total		2014	
Same Institution		60.8%	
Other Institutions		2.4%	
6-year Rate Total		2013	
Same Institution		67.6%	
Other Institutions		61.3%	
Other Institutions		6.2%	

[Grad Rates by Ethnicity](#)

1-Year Persistence, Fall 2018	
Total	89.8%
Same	84.0%
Other	5.8%

2-Year Persistence, Fall 2017	
Total	84.7%
Same	74.5%
Other	10.2%

Avg Number SCH for Bachelor's Degree	
FY 2019 Average	
Sem	SCH
All	11.00 140.00

Degrees Awarded	
Type	FY 2019
Bachelor's	7,750
Master's	2,022
Doctoral	333
Professional	463
Total	10,568

[Degrees by Ethnicity](#)

First-time Licensure or Certification Examination Pass Rate	
Field	FY 2019 Rate
Education*	97.50%
Law	82.2%
Pharmacy	98.3%
Nursing	%
Engineering	85.4%

*Data for FY 2018

Admissions		
Middle 50% of Test Scores, for First-Time Undergraduates, Fall 2019		
Test Section	ACT	SAT
Composite	https://nces.ed.gov/	
Math		
English		
Critical Reading		

Application for First-time Undergraduate Admission Fall 2019			
Race/Ethnicity	Applicants	Accepted	Enrolled
White	4,450	78.7%	30.6%
African American	4,601	48.9%	29.9%
Hispanic	10,333	57.0%	33.4%
Asian	4,385	83.7%	42.3%
International	856	70.0%	22.5%
Other	709	78.6%	35.0%
Total	25,334	65.0%	34.0%

Costs					
Average Annual Academic Costs for Resident Undergraduate Student Taking 30 SCH					Annual Costs for Resident Undergraduate Student Taking 30 SCH, FY 2020
Fiscal Year	Institution Average	Percent Increase	Peer Group Average	Percent Increase	
2015	\$10,331	.0%	\$9,493	.0%	Type of Cost
2016	\$10,331	.0%	\$9,698	2.1%	
2017	\$11,078	6.7%	\$10,076	3.8%	Total Academic Cost
2018	\$11,078	.0%	\$10,352	2.7%	On-campus Room & Board
2019	\$10,890	-1.7%	\$10,687	3.1%	Books & Supplies
2020	\$11,276	3.4%	\$10,974	2.6%	Off-Campus Transportation & Personal Expenses
					Total Cost
					\$11,276
					\$9,368
					\$1,338
					\$4,052
					\$26,034

[Rates of Tuition per SCH](#)

[Mandatory Fees](#)

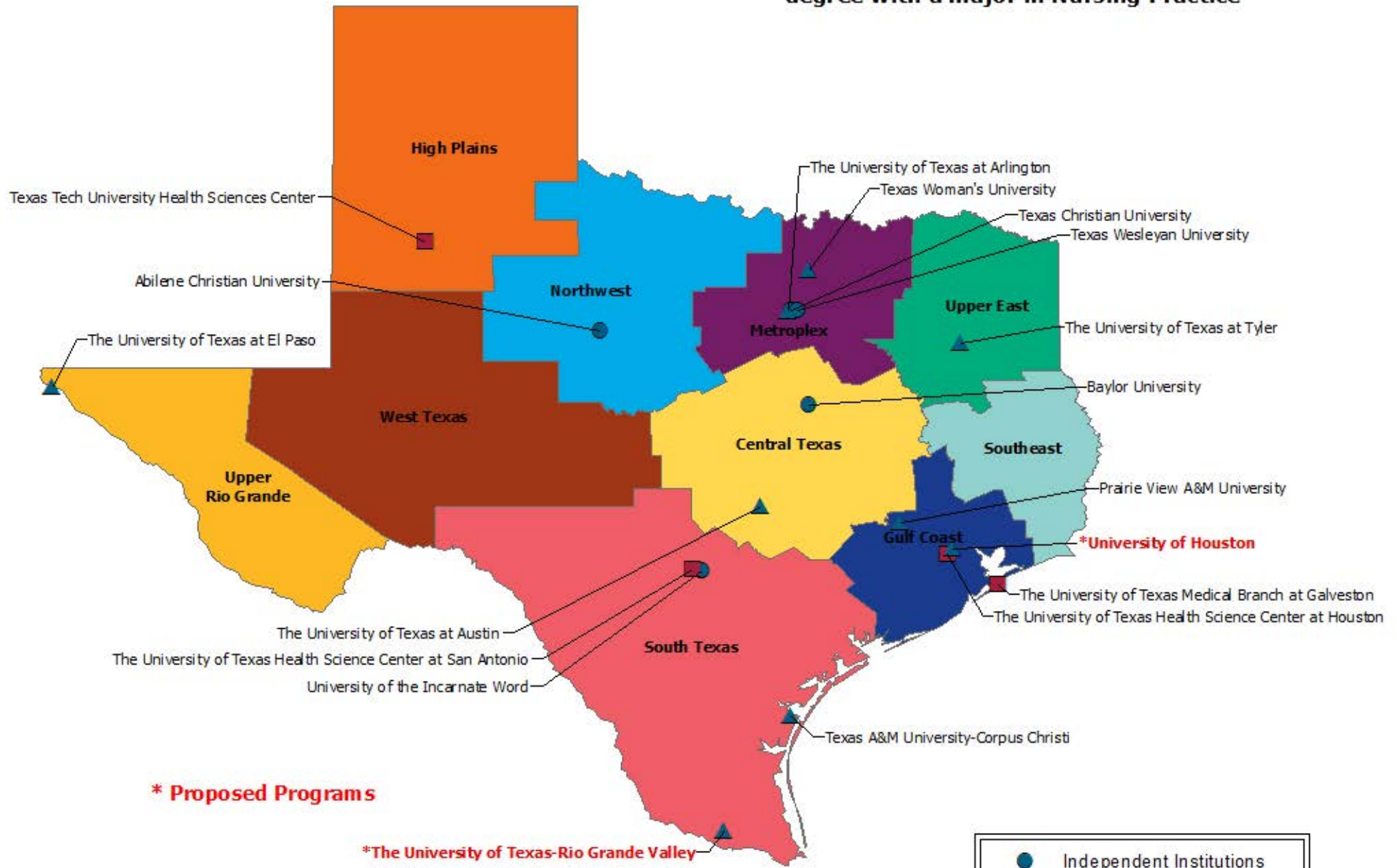
Instruction		
Measure of Excellence	Fall 2019	
Undergraduate Classes with < 20 Students	25.6%	
Undergraduate Classes with > 50 Students	23.0%	
% of Teaching Faculty Tenured/Tenure-track *	60.3%	
Student/Faculty Ratio *	0:1	

Financial Aid		
Enrolled in FY 2018		
Type of Aid	% of UGs Receiving	Average Amount
Grants or Scholarships	61%	\$7,309
Federal (Pell) Grants	40%	\$4,477
Federal Student Loans	39%	\$6,650

Funding		
Source	FY 2019 Amount	Pct of Total
Appropriated Funds	\$303,832,633	26.4%
Federal Funds	\$146,321,086	12.7%
Tuition & Fees	\$360,651,617	31.4%
Total Revenue	\$1,149,175,618	100.0%

* Fall 2018 Data

Proposal for a Doctor of Doctor of Nursing Practice (DNP) degree with a major in Nursing Practice



AGENDA ITEM IX-F (3)

Consideration of the request from The University of Texas Rio Grande Valley for a Doctor of Philosophy (PhD) degree with a major in Mathematics and Statistics with Interdisciplinary Applications

RECOMMENDATION: Approval, beginning fall 2021

Rationale:

The University of Texas Rio Grande Valley (UT-RGV) is seeking approval to offer a Doctor of Philosophy (PhD) degree in Mathematics and Statistics with Interdisciplinary Applications. The proposed program would begin in fall 2021 and require 60 semester credit hours (SCH) beyond a master's degree and 78 SCH beyond a bachelor's degree. The proposed program would be offered face-to-face to students primarily at the Edinburg campus. The curriculum plan estimates three to four years to complete the degree.

The proposed program is intentionally aligned with the workforce needs of the Rio Grande Valley region and throughout Texas. The 13 existing PhD programs in Mathematics and related fields at Texas public institutions produced just 21.4% of the 491 projected job openings per year for just the postsecondary teaching jobs in Texas in 2019, and this does not include the many other industry, business, and research jobs for which an advanced degree in Mathematics and/or Statistics would be an advantage.

There are no institutions in the South Texas or Rio Grande Valley regions offering PhD degrees in Mathematics. The University of Texas at San Antonio does offer a PhD in Applied Statistics. As the first Mathematics PhD program in the region, the proposed program may help to promote industry and technical career advancement in the lower Rio Grande Valley area. The proposed program would also likely increase the number of Hispanic PhD graduates, an underserved population in Mathematics and related fields.

The University of Texas Rio Grande Valley (*Accountability Peer Group: Doctoral University*)

Completion Measures		Institution	State
Graduate	Master's 5-Year Graduation Rate	73%	75%
	Doctoral 10-Year Graduation Rate	NA*	68%
Status of Recently Approved Doctoral Programs	The institution has met its projected enrollments for all new doctoral program(s) approved in the last five years: Yes No N/A		
	Recently Approved Doctoral Programs: <ul style="list-style-type: none"> • Medicine (MD, 2015) enrollments met • Clinical Psychology (PhD, 2018) enrollments met • Physical Therapy (DPT, 2020) recently approved program • Doctor of Occupational Therapy (OTD, 2020) recently approved program • Doctor of Podiatric Medicine (DPM, 2020) recently approved program The institution has met its resource commitments for new doctoral program(s) approved in the last five years: Yes No N/A		

*Institution has not been in existence for 10 years.

Proposed Program:

The proposed face-to-face program would begin in fall 2021 and require 60 semester credit hours (SCH) beyond a master's degree and 78 SCH beyond a bachelor's degree. The proposed program aims to distinguish itself from the existing Mathematics and related PhD programs at eight Texas public universities by emphasizing the interdisciplinary connections between Mathematics, Statistics, and other disciplines. The application states that the curriculum "will prepare scholars to work on problems at the intersection of mathematics, science, engineering, medicine, finance, computer science, and other quantitative disciplines. The program aims to be the most inclusive and broadly interdisciplinary in Texas." This would be achieved by including elective courses from partner disciplines and dissertation co-advisors from outside UT-RGV's School of Mathematical and Statistical Sciences.

The institution estimates that five-year costs would total \$2,909,956.

Existing Programs:

There are eight public and four independent universities offering doctoral programs in Mathematics, Statistics, or Applied Mathematics in Texas.

Public Universities:

- Texas A&M University (Mathematics, Statistics)
- Texas Tech University (Mathematics)
- The University of Texas at Arlington (Mathematics)
- The University of Texas at Austin (Mathematics, Statistics, Computational and Applied Mathematics, Financial Mathematics)

The University of Texas at Dallas (Mathematics, Statistics)
 University of Houston (Mathematics)
 University of North Texas (Mathematics)
 The University of Texas at San Antonio (Applied Statistics)

Independent Colleges and Universities:

Baylor University (Mathematics, Statistics)
 Rice University (Mathematics, Statistics, Computational and Applied Mathematics)
 Southern Methodist University (Statistics, Computational and Applied Mathematics)
 Texas Christian University (Mathematics)

There are no existing programs within a 60-minute drive of proposed program.

In 2019, there were a total of 105 declared majors at the public universities.

<i>Start-Up Projections:</i>	<i>Yr. 1</i>	<i>Yr. 2</i>	<i>Yr. 3</i>	<i>Yr. 4</i>	<i>Yr. 5</i>
<i>Students Enrolled</i>	8	15	22	26	28
<i>Graduates</i>	0	0	4	6	10
<i>Avg. Financial Assistance</i>	\$26,000	\$26,000	\$26,000	\$26,000	\$26,000
<i>Students Assisted</i>	6	12	18	18	18
<i>Core Faculty (FTE)</i>	4.2	4.2	4.2	4.2	4.2
<i>Total Costs</i>	\$374,653	\$510,789	\$680,260	\$671,692	\$672,562
<i>Total Funding</i>	\$374,653	\$510,789	\$680,260	\$993,473	\$1,013,360
<i>% From Formula Funding</i>	0	0	24%	50%	49%

FIVE-YEAR COSTS	
Personnel	
Faculty	\$
Faculty (Reallocated)	\$ 705,793
Program Administration (New)	\$
Program Administration (Reallocated)	\$
Graduate Assistants (New)	\$ 648,000
Graduate Assistants (Reallocated)	\$ 648,000
Clerical/Staff (New)	\$ 73,967
Clerical/Staff (Reallocated)	\$
Other	\$
Student Support	\$ 600,624
Supplies and Materials	\$ 15,100
Library and IT Resources	\$ 10,000
Equipment	\$ 41,000
Facilities	\$ 20,000
Other	\$ 147,472
Total	\$ 2,909,956

FIVE-YEAR FUNDING		
Formula Funding (Years 3-5)	\$ 1,164,411	
Other State Funding	\$	
Reallocation of Existing Resources	\$ 1,386,193	
Federal Funding (In-Hand Only)	\$	
Tuition and Fees	\$ 716,201	
Other	\$	305,730
Total	\$	3,572,535

Major Commitments:

The institution will submit three reports in Years 1, 3, and 5 confirming institutional commitments and assessing the progress of program implementation.

Dr. Stacey Silverman, Assistant Commissioner for Academic and Health Affairs, will present this item and be available to answer questions.

Online Resume for Legislators and Other Policymakers

THE UNIVERSITY OF TEXAS RIO GRANDE VALLEY

Location: Edinburg, South Texas Region

Out-Of-State Peers: East Carolina University, East Tennessee State University, Florida Atlantic University-Boca Raton, New Mexico State University, University Of North Carolina At Greensboro

Degrees Offered: Bachelor's, Master's, Doctoral

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Enrollment						
Race/Ethnicity	Fall 2014		Fall 2018		Fall 2019	
	Number	Percent	Number	Percent	Number	Percent
White	0	.0%	892	3.1%	919	3.2%
Hispanic	0	.0%	25,131	88.2%	25,943	89.7%
African American	0	.0%	236	.8%	215	.7%
Asian	0	.0%	386	1.4%	407	1.4%
International	0	.0%	779	2.7%	774	2.7%
Other & Unknown	0	.0%	1,065	3.7%	651	2.3%
Total	0	.0%	28,489	100.0%	28,909	100.0%
TX First Time Transfers	Number	% of UG	Number	% of UG	Number	% of UG
Two-Year Institutions	0	.0%	1,142	4.6%	1,228	4.9%
Other Institutions	0	.0%	587	2.4%	609	2.4%

Costs				
Average Annual Total Academic Costs for Resident Undergraduate Student Taking 30 SCH				
Fiscal Year	Texas Rates			
	Institution Average	Percent Increase	Peer Group Average	Percent Increase
2016	\$7,292	.0%	\$0	.0%
2017	\$7,448	2.1%	\$0	.0%
2018	\$7,587	1.9%	\$0	.0%
2019	\$7,813	3.0%	\$0	.0%
2020	\$8,132	4.1%	\$0	.0%

Financial Aid						
Fiscal Year	Institution		Peer Group		OOS Peer Group	
	Percent	Avg Amt	Percent	Avg Amt	Percent	Avg Amt
Federal Student Loans						
2017	38%	\$5,032	0%	\$0	45%	\$6,540
2018	37%	\$5,046	0%	\$0	43%	\$5,650
Federal, State, Institutional or Other Grants Known by Institutions						
2017	74%	\$7,351	0%	\$0	68%	\$8,117
2018	75%	\$7,721	0%	\$0	67%	\$9,130
Federal (Pell) Grants						
2017	62%	\$4,455	0%	\$0	41%	\$4,465
2018	63%	\$5,083	0%	\$0	42%	\$5,181

Student Success			
One-Year Persistence of First-time, Full-time, Degree Seeking Undergraduates			
	Enter Fall 2013	Enter Fall 2017	Enter Fall 2018
Cohort	.	4,197	4,234
Total	.	82.8%	83.2%
Same	.	75.9%	76.0%
Other	.	6.9%	7.2%
Two-Year Persistence of First-time, Full-time, Degree Seeking Undergraduates			
	Enter Fall 2012	Enter Fall 2016	Enter Fall 2017
Institution Persistence			
Cohort	.	3,590	4,149
Total	.	77.7%	75.0%
Same	.	68.5%	65.1%
Other	.	9.2%	9.8%

National Comparison (IPEDS Definition)		
Cohort	Institution Rate	OOS Peers Rate
Fall 2009 4-year	16.0%	19.5%
Fall 2013 4-year	22.0%	26.0%
Fall 2014 4-year	21.0%	28.0%
Fall 2008 5-year	31.0%	38.5%
Fall 2012 5-year	38.0%	45.2%
Fall 2013 5-year	38.0%	47.2%
Fall 2007 6-year	39.0%	45.0%
Fall 2011 6-year	45.0%	50.8%
Fall 2012 6-year	46.0%	52.6%

Six-year Graduation & Persistence Rate, Fall 2013		
Student Group	Cohort	Rate
<i>For Students Needing Dev Ed</i>		
Institution	596	44.6%
<i>For Students NOT Needing Dev Ed</i>		
Institution	2,673	60.6%

*Peer Group data is average for peer group.

Funding						
Source	FY 2014 Amount	Pct of Total	FY 2018 Amount	Pct of Total	FY 2019 Amount	Pct of Total
Appropriated Funds	\$0	.0%	\$156,972,828	41.6%	\$149,918,157	38.2%
Federal Funds	\$0	.0%	\$115,663,435	30.7%	\$126,932,586	32.3%
Tuition & Fees	\$0	.0%	\$81,836,302	21.7%	\$90,949,003	23.2%
Total Revenue	\$0	.0%	\$377,256,754	100.0%	\$392,381,065	100.0%

Online Resume for Prospective Students, Parents and the Public

THE UNIVERSITY OF TEXAS RIO GRANDE VALLEY

Location: Edinburg, South Texas Region

Out-Of-State Peers: East Carolina University, East Tennessee State University, Florida Atlantic University-Boca Raton, New Mexico State University, University Of North Carolina At Greensboro

Degrees Offered: Bachelor's, Master's, Doctoral

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Enrollment		
Race/Ethnicity	Fall 2019 Number	Percent
White	919	3.2%
Hispanic	25,943	89.7%
African American	215	.7%
Asian	407	1.4%
International	774	2.7%
Other & Unknown	651	2.3%
Total	28,909	100.0%
TX First Time Transfers	Number	% of UG
Two-Year Institutions	1,228	4.9%
Other Institutions	609	2.4%

Baccalaureate Success		
Graduation Rate of First-time, Full-time Degree-seeking Students Entering		
Measure	Fall	Rate
4-year Rate Total	2015	25.3%
Same Institution		23.9%
Other Institutions		1.3%
5-year Rate Total		.0%
Same Institution		.0%
Other Institutions		.0%
6-year Rate Total		.0%
Same Institution		.0%
Other Institutions		.0%
Grad Rates by Ethnicity		

1-Year Persistence, Fall 2018	
Total	83.2%
Same	76.0%
Other	7.2%
2-Year Persistence, Fall 2017	
Total	75.0%
Same	65.1%
Other	9.8%

Avg Number SCH for Bachelor's Degree	
FY 2019 Average	
Sem	SCH
All	10.00 140.00

Degrees Awarded	
Type	FY 2019
Bachelor's	4,550
Master's	1,206
Doctoral	32
Professional	0
Total	5,788

[Degrees by Ethnicity](#)

First-time Licensure or Certification Examination Pass Rate	
Field	FY 2019 Rate
Education*	88.10%
Law	%
Pharmacy	%
Nursing	92.5%
Engineering	44.2%

*Data for FY 2018

Admissions		
Middle 50% of Test Scores, for First-Time Undergraduates, Fall 2019		
Test Section	ACT	SAT
Composite		
Math	https://nces.ed.gov/	
English		
Critical Reading		

Application for First-time Undergraduate Admission Fall 2019			
Race/Ethnicity	Applicants	Accepted	Enrolled
White	410	78.5%	26.7%
African American	16	100.0%	81.3%
Hispanic	10,382	80.1%	50.6%
Asian	57	100.0%	96.5%
International	71	98.6%	82.9%
Other	181	74.0%	47.0%
Total	11,117	80.2%	50.3%

Instruction	
Measure of Excellence	Fall 2019
Undergraduate Classes with < 20 Students	22.1%
Undergraduate Classes with > 50 Students	14.9%
% of Teaching Faculty Tenured/Tenure-track *	43.4%
Student/Faculty Ratio *	0:1

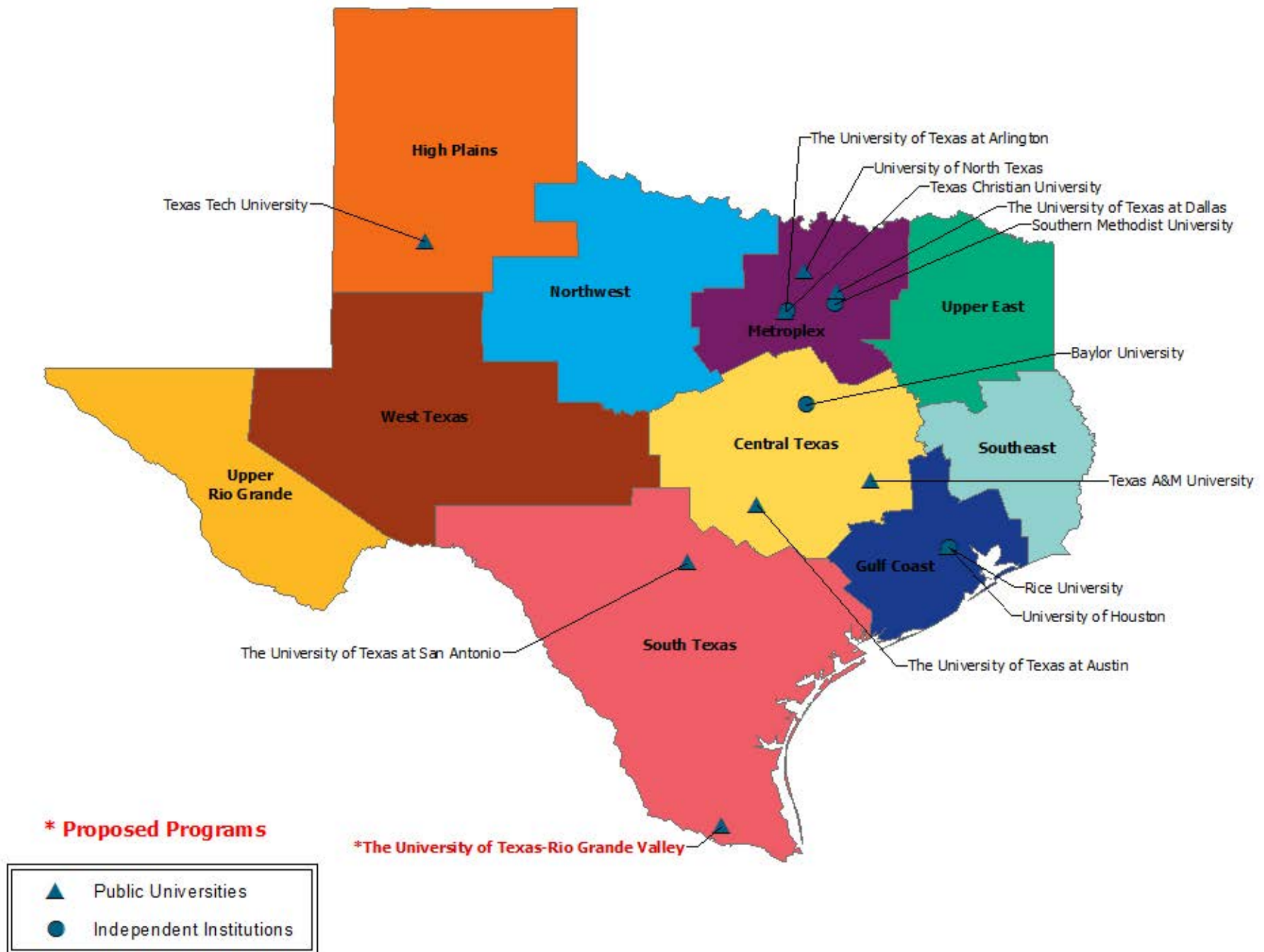
* Fall 2018 Data

Costs	
Annual Costs for Resident Undergraduate Student Taking 30 SCH, FY 2020	
Type of Cost	Average Amount
Total Academic Cost	\$8,132
On-campus Room & Board	\$8,252
Books & Supplies	\$1,237
Off-Campus Transportation & Personal Expenses	\$3,324
Total Cost	\$20,945
Rates of Tuition per SCH	
Mandatory Fees	

Financial Aid		
Enrolled in FY 2018		
Type of Aid	% of UGs Receiving	Average Amount
Grants or Scholarships	75%	\$7,721
Federal (Pell) Grants	63%	\$5,083
Federal Student Loans	37%	\$5,046

Funding		
Source	FY 2019 Amount	Pct of Total
Appropriated Funds	\$149,918,157	38.2%
Federal Funds	\$126,932,586	32.3%
Tuition & Fees	\$90,949,003	23.2%
Total Revenue	\$392,381,065	100.0%

Proposal for a Doctor of Philosophy (PhD) in Mathematics and Statistics with Interdisciplinary Applications



AGENDA ITEM IX-F (4)

Consideration of the request from The University of Texas Rio Grande Valley for a Doctor of Nursing Practice (DNP) degree with a major in Nursing Practice

RECOMMENDATION: Approval, beginning fall 2021

Rationale:

The University of Texas Rio Grande Valley (UT-RGV) is seeking approval to offer a Doctor of Nursing Practice (DNP) degree program. The online post-master's program would require 45 semester credit hours (SCH) beginning fall 2021. If approved, the proposed program would prepare family nurse practitioners (FNP) educated at the doctoral level to address the shortages of primary care providers, nursing educators, and leaders in a complex healthcare environment.

Workforce data suggest that there is a need for nurse practitioners. According to the United States Bureau of Labor Statistics (BLS), the employment rate for nursing practice as a field is expected to grow at a much greater rate (28%) than the average for all occupations (5.2%). Texas Workforce Commission (TWC) recognizes nursing practice as one of the fastest growing careers requiring a master's degree in Texas and expects the state employment rate for nurse practitioners to grow at a faster rate (44%) than the average for all occupations (16.6%). The BLS and TWC anticipate an increased need for nurse practitioners through 2028.

While workforce projections consider a master's degree to be sufficient for nurse practitioners, the American Association of Colleges of Nursing (AACN) adopted a position statement identifying the Doctor of Nursing Practice (DNP) as the most appropriate degree for Advanced Practice Registered Nurses (APRNs) to enter practice and endorsed a position that master's programs educating APRNs should transition to the DNP as the entry-level for APRNs. The proposed program would support the national movement toward making the DNP the necessary degree for advanced nursing practice.

The proposed post-master's DNP at UT-RGV would not produce additional nurse practitioners to enter the workforce. Students would enter the program with a master's degree in nursing in an advanced practice area.

In accordance with the institution's proposed hiring schedule, UT-RGV will hire one core faculty member in the first year of the program. By June 1 of that year, the institution will provide documentation of the hires through submission of a letter of intent, curricula vitae, and list of nursing practice courses to be taught.

The institution will seek accreditation for the DNP program from the Commission on Collegiate Nursing Education (CCNE).

The University of Texas Rio Grande Valley (*Accountability Peer Group: Doctoral University*)

<i>Completion Measures</i>		<i>Institution</i>	<i>State</i>
<i>Graduate</i>	Master's 5-Year Graduation Rate	73%	75%
	Doctoral 10-Year Graduation Rate	NA*	68%
<i>Status of Recently Approved Doctoral Programs</i>	The institution has met its projected enrollments for all new doctoral program(s) approved in the last five years: Yes No N/A		
	Recently Approved Doctoral Programs: <ul style="list-style-type: none"> • Medicine (MD, 2015) enrollments met • Clinical Psychology (PhD, 2018) enrollments met • Physical Therapy (DPT, 2020) recently approved program • Doctor of Occupational Therapy (OTD, 2020) recently approved program • Doctor of Podiatric Medicine (DPM, 2020) recently approved program The institution has met its resource commitments for new doctoral program(s) approved in the last five years: Yes No N/A		

**Institution has not been in existence for 10 years.*

Proposed Program:

The proposed online program would require 45 SCHs and would be available beginning in fall 2021. The proposed curriculum would align with the Essentials of Doctoral Education for Advanced Nursing Practice which is required for CCNE accreditation. The proposed program would prepare family nurse practitioners (FNP) and nurse executives educated at the doctoral level to address the shortages of primary care providers, nursing educators, and leaders in a complex healthcare environment, which is a profession in high demand in Texas and nationally.

The institution estimates that five-year costs would total \$6,179,516.

Existing Programs:

There are currently 11 public institutions and five independent institutions offering the DNP in Nursing Practice (51.3818).

Public Universities:

Prairie View A&M University
 Texas A&M University- Corpus Christi
 Texas Woman's University
 The University of Texas at Arlington
 The University of Texas at Austin
 The University of Texas at El Paso
 The University of Texas at Tyler
 Texas Tech Health Sciences Center
 The University of Texas Health Science Center at Houston

The University of Texas Health Science Center at San Antonio
 The University of Texas Medical Branch at Galveston

Independent Colleges and Universities:

Abilene Christian University
 Baylor University
 Texas Christian University
 Texas Wesleyan University
 University of the Incarnate Word

The nearest institution to UT-RGV offering the DNP in Nursing Practice is Texas A&M University-Corpus Christi, which is 149 miles away.

In 2019, there were a total of 585 declared majors at the public universities (317) and health related institutions (268).

<i>Start-Up Projections:</i>	<i>Yr. 1</i>	<i>Yr. 2</i>	<i>Yr. 3</i>	<i>Yr. 4</i>	<i>Yr. 5</i>
<i>Students Enrolled</i>	14	28	28	28	28
<i>Graduates</i>	0	14	14	14	14
<i>Avg. Financial Assistance</i>	0	0	0	0	0
<i>Students Assisted</i>	0	0	0	0	0
<i>Core Faculty (FTE)</i>	5	6	6	6	6
<i>Total Costs</i>	\$650,150	\$801,078	\$1,246,765	\$1,368,618	\$2,112,905
<i>Total Funding</i>	\$650,150	\$801,078	\$1,246,765	\$1,734,663	\$2,112,905
<i>% From Formula Funding</i>	0	0	12%	43%	35%

FIVE-YEAR COSTS	
Personnel	
Faculty (New)	\$ 3,804,276
Faculty (Reallocated)	\$ 1,477,099
Program Administration (New)	\$ 0
Program Administration (Reallocated)	\$ 0
Graduate Assistants (New)	\$ 0
Graduate Assistants (Reallocated)	\$ 0
Clerical/Staff (New)	\$ 351,000
Clerical/Staff (Reallocated)	\$ 0
Other	\$ 0
Student Support	\$ 182,141
Supplies and Materials	\$ 120,000
Library and IT Resources	\$ 0
Equipment	\$ 50,000
Facilities	\$ 0
Other	\$ 195,000
Total	\$ 6,179,516

FIVE-YEAR FUNDING	
Formula Funding (Years 3-5)	\$ 1,647,656
Other State Funding	\$ 0
Reallocation of Existing Resources	\$ 1,477,099
Federal Funding (In-Hand Only)	\$ 0
Tuition and Fees	\$ 2,155,236
Other	\$ 1,265,570
Total	\$ 6,545,561

Major Commitments:

In accordance with the institution's proposed hiring schedule, UT-RGV will hire one core faculty member in the first year of the program. By June 1 of that year, the institution will provide documentation of the hire through submission of a letter of intent, curricula vitae, and list of nursing practice courses to be taught.

The institution will seek accreditation for the DNP program from the Commission on Collegiate Nursing Education (CCNE) according to its schedule.

The institution will submit three reports in Years 1, 3, and 5 confirming institutional commitments and assessing the progress of program implementation.

Dr. Stacey Silverman, Assistant Commissioner for Academic and Health Affairs, will present this item and be available to answer questions.

Online Resume for Legislators and Other Policymakers

THE UNIVERSITY OF TEXAS RIO GRANDE VALLEY

Location: Edinburg, South Texas Region

Out-Of-State Peers: East Carolina University, East Tennessee State University, Florida Atlantic University-Boca Raton, New Mexico State University, University Of North Carolina At Greensboro

Degrees Offered: Bachelor's, Master's, Doctoral

[Institutional Resumes](#)

[Accountability System](#)

[Definitions](#)

[Institution Home Page](#)

Enrollment						
Race/Ethnicity	Fall 2014		Fall 2018		Fall 2019	
	Number	Percent	Number	Percent	Number	Percent
White	0	.0%	892	3.1%	919	3.2%
Hispanic	0	.0%	25,131	88.2%	25,943	89.7%
African American	0	.0%	236	.8%	215	.7%
Asian	0	.0%	386	1.4%	407	1.4%
International	0	.0%	779	2.7%	774	2.7%
Other & Unknown	0	.0%	1,065	3.7%	651	2.3%
Total	0	.0%	28,489	100.0%	28,909	100.0%
TX First Time Transfers	Number	% of UG	Number	% of UG	Number	% of UG
Two-Year Institutions	0	.0%	1,142	4.6%	1,228	4.9%
Other Institutions	0	.0%	587	2.4%	609	2.4%

Costs				
Average Annual Total Academic Costs for Resident Undergraduate Student Taking 30 SCH				
Fiscal Year	Texas Rates			
	Institution Average	Percent Increase	Peer Group Average	Percent Increase
2016	\$7,292	.0%	\$0	.0%
2017	\$7,448	2.1%	\$0	.0%
2018	\$7,587	1.9%	\$0	.0%
2019	\$7,813	3.0%	\$0	.0%
2020	\$8,132	4.1%	\$0	.0%

Financial Aid						
Fiscal Year	Institution		Peer Group		OOS Peer Group	
	Percent	Avg Amt	Percent	Avg Amt	Percent	Avg Amt
Federal Student Loans						
2017	38%	\$5,032	0%	\$0	45%	\$6,540
2018	37%	\$5,046	0%	\$0	43%	\$5,650
Federal, State, Institutional or Other Grants Known by Institutions						
2017	74%	\$7,351	0%	\$0	68%	\$8,117
2018	75%	\$7,721	0%	\$0	67%	\$9,130
Federal (Pell) Grants						
2017	62%	\$4,455	0%	\$0	41%	\$4,465
2018	63%	\$5,083	0%	\$0	42%	\$5,181

Student Success			
One-Year Persistence of First-time, Full-time, Degree Seeking Undergraduates			
	Enter Fall 2013	Enter Fall 2017	Enter Fall 2018
Cohort	.	4,197	4,234
Total	.	82.8%	83.2%
Same	.	75.9%	76.0%
Other	.	6.9%	7.2%
Two-Year Persistence of First-time, Full-time, Degree Seeking Undergraduates			
	Enter Fall 2012	Enter Fall 2016	Enter Fall 2017
Institution Persistence			
Cohort	.	3,590	4,149
Total	.	77.7%	75.0%
Same	.	68.5%	65.1%
Other	.	9.2%	9.8%

National Comparison (IPEDS Definition)		
Cohort	Institution Rate	OOS Peers Rate
Fall 2009 4-year	16.0%	19.5%
Fall 2013 4-year	22.0%	26.0%
Fall 2014 4-year	21.0%	28.0%
Fall 2008 5-year	31.0%	38.5%
Fall 2012 5-year	38.0%	45.2%
Fall 2013 5-year	38.0%	47.2%
Fall 2007 6-year	39.0%	45.0%
Fall 2011 6-year	45.0%	50.8%
Fall 2012 6-year	46.0%	52.6%

Six-year Graduation & Persistence Rate, Fall 2013		
Student Group	Cohort	Rate
<i>For Students Needing Dev Ed</i>		
Institution	596	44.6%
<i>For Students NOT Needing Dev Ed</i>		
Institution	2,673	60.6%

*Peer Group data is average for peer group.

Funding						
Source	FY 2014 Amount	Pct of Total	FY 2018 Amount	Pct of Total	FY 2019 Amount	Pct of Total
Appropriated Funds	\$0	.0%	\$156,972,828	41.6%	\$149,918,157	38.2%
Federal Funds	\$0	.0%	\$115,663,435	30.7%	\$126,932,586	32.3%
Tuition & Fees	\$0	.0%	\$81,836,302	21.7%	\$90,949,003	23.2%
Total Revenue	\$0	.0%	\$377,256,754	100.0%	\$392,381,065	100.0%

Online Resume for Prospective Students, Parents and the Public

THE UNIVERSITY OF TEXAS RIO GRANDE VALLEY

Location: Edinburg, South Texas Region

Out-Of-State Peers: East Carolina University, East Tennessee State University, Florida Atlantic University-Boca Raton, New Mexico State University, University Of North Carolina At Greensboro

Degrees Offered: Bachelor's, Master's, Doctoral

[Institutional Resumes](#)

[Accountability System](#)

[Definitions](#)

[Institution Home Page](#)

Enrollment		
Race/Ethnicity	Fall 2019 Number	Percent
White	919	3.2%
Hispanic	25,943	89.7%
African American	215	.7%
Asian	407	1.4%
International	774	2.7%
Other & Unknown	651	2.3%
Total	28,909	100.0%
TX First Time Transfers	Number	% of UG
Two-Year Institutions	1,228	4.9%
Other Institutions	609	2.4%

Baccalaureate Success		
Graduation Rate of First-time, Full-time Degree-seeking Students Entering		
Measure	Fall	Rate
4-year Rate Total	2015	25.3%
Same Institution		23.9%
Other Institutions		1.3%
5-year Rate Total		.0%
Same Institution		.0%
Other Institutions		.0%
6-year Rate Total		.0%
Same Institution		.0%
Other Institutions		.0%
Grad Rates by Ethnicity		

1-Year Persistence, Fall 2018	
Total	83.2%
Same	76.0%
Other	7.2%
2-Year Persistence, Fall 2017	
Total	75.0%
Same	65.1%
Other	9.8%

Avg Number SCH for Bachelor's Degree	
FY 2019 Average	
Sem	SCH
All	10.00 140.00

Degrees Awarded	
Type	FY 2019
Bachelor's	4,550
Master's	1,206
Doctoral	32
Professional	0
Total	5,788

[Degrees by Ethnicity](#)

First-time Licensure or Certification Examination Pass Rate	
Field	FY 2019 Rate
Education*	88.10%
Law	%
Pharmacy	%
Nursing	92.5%
Engineering	44.2%

*Data for FY 2018

Admissions		
Middle 50% of Test Scores, for First-Time Undergraduates, Fall 2019		
Test Section	ACT	SAT
Composite	https://nces.ed.gov/	
Math		
English		
Critical Reading		

Application for First-time Undergraduate Admission Fall 2019			
Race/Ethnicity	Applicants	Accepted	Enrolled
White	410	78.5%	26.7%
African American	16	100.0%	81.3%
Hispanic	10,382	80.1%	50.6%
Asian	57	100.0%	96.5%
International	71	98.6%	82.9%
Other	181	74.0%	47.0%
Total	11,117	80.2%	50.3%

Instruction	
Measure of Excellence	Fall 2019
Undergraduate Classes with < 20 Students	22.1%
Undergraduate Classes with > 50 Students	14.9%
% of Teaching Faculty Tenured/Tenure-track *	43.4%
Student/Faculty Ratio *	0:1

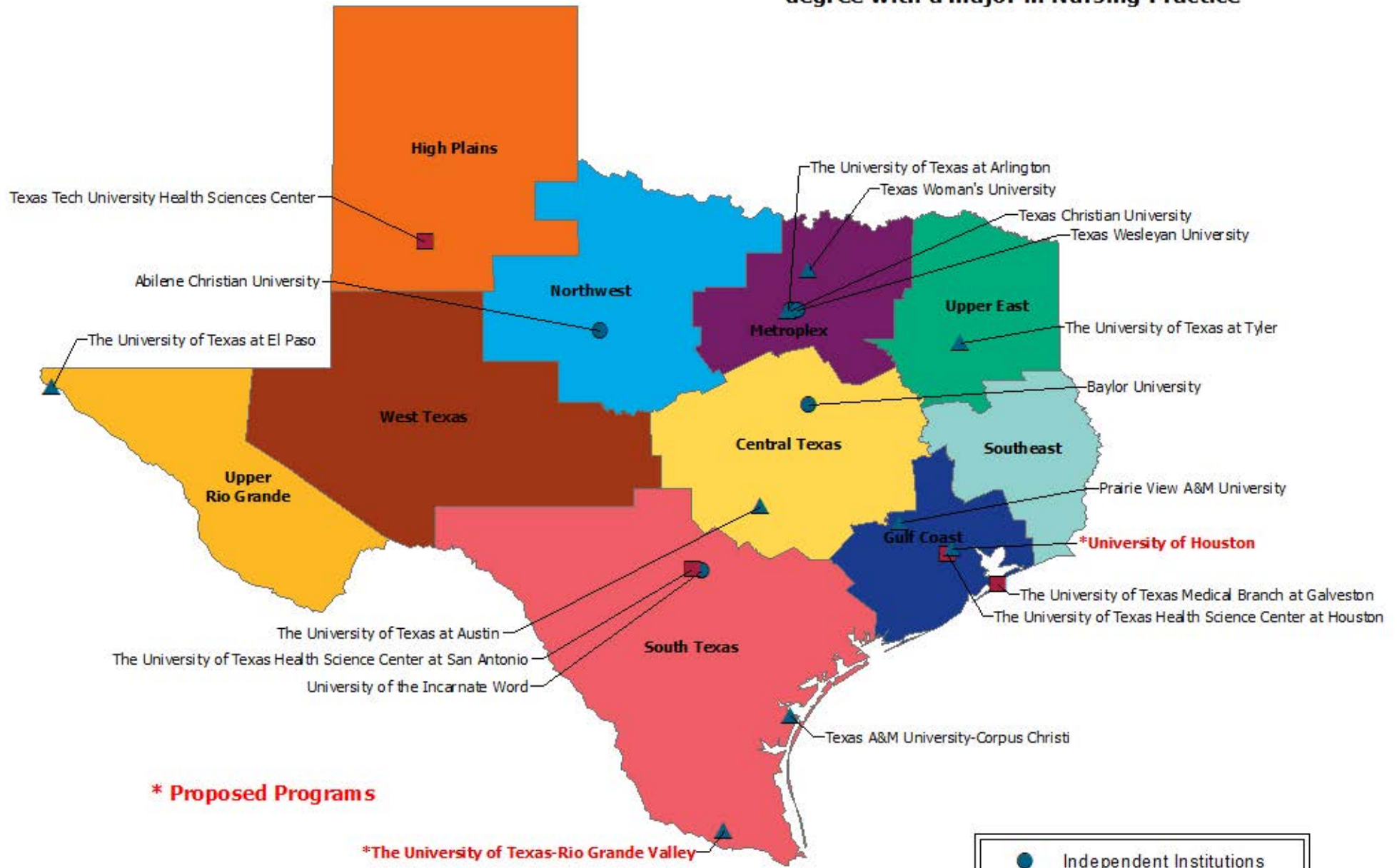
* Fall 2018 Data

Costs	
Annual Costs for Resident Undergraduate Student Taking 30 SCH, FY 2020	
Type of Cost	Average Amount
Total Academic Cost	\$8,132
On-campus Room & Board	\$8,252
Books & Supplies	\$1,237
Off-Campus Transportation & Personal Expenses	\$3,324
Total Cost	\$20,945
Rates of Tuition per SCH	
Mandatory Fees	

Financial Aid		
Enrolled in FY 2018		
Type of Aid	% of UGs Receiving	Average Amount
Grants or Scholarships	75%	\$7,721
Federal (Pell) Grants	63%	\$5,083
Federal Student Loans	37%	\$5,046

Funding		
Source	FY 2019 Amount	Pct of Total
Appropriated Funds	\$149,918,157	38.2%
Federal Funds	\$126,932,586	32.3%
Tuition & Fees	\$90,949,003	23.2%
Total Revenue	\$392,381,065	100.0%

Proposal for a Doctor of Doctor of Nursing Practice (DNP) degree with a major in Nursing Practice



AGENDA ITEM IX-G

Consideration of approving the appointment of members to the Apply Texas Advisory Committee

RECOMMENDATION: Approval

Background Information:

Texas Higher Education Coordinating Board (THECB) staff requests appointment of new members to the Apply Texas Advisory Committee (ATAC). In accordance with Board Rules, Chapter 1, Subchapter F, Rule 1.128, the ATAC provides the Board of the THECB with advice and recommendations regarding the common admission applications and the Apply Texas System.

The ATAC is composed of individuals representing two- and four-year institutions, both public and private. The committee discusses and votes on changes that may be needed to the state common admission applications for the upcoming academic year. The committee also focuses on additional initiatives to strengthen student participation in and access to higher education. This committee may meet up to four times annually as needed to recommend appropriate changes to the common admission applications and the Apply Texas System.

Members serve two-year staggered terms, with 12 members rotating off the committee each year. In compliance with the rotation schedule, the appointment of 12 new members is needed. Recommendations for membership positions were selected from institutions' nominations, considering the type of institution, geographic location, as well as gender composition and college admission experience.

Nominee's current position and highest degree awarded:

Hanna Deland, Associate Director of Admissions Operations, Lamar University
MA in Higher Education Administration, Sam Houston State University

Jerel Booker, Assistant Commissioner for College Readiness and Success, will be available to answer questions.

AGENDA ITEM IX-H

Consideration of approving the allocation of funds for Completion Models that scale and enhance course strategies for successful completions for students in their second semester

Total Project Cost: up to \$130K
Source of Funds: Strategy D.1.3., Completion Models
Authority: General Appropriations Act, House Bill 1
Article III, Section 49, 86th Texas Legislature

RECOMMENDATION: Approval

Background Information:

Texas Higher Education Coordinating Board (THECB) staff requests approval to award up to \$130,000 to institutions of higher education (IHEs) for Completion Models that scale and enhance comprehensive first college-level course strategies that support successful completions for students in their second semester. Eligible institutions are those that in the past successfully completed a Request for Application (RFA) process resulting in an award for Comprehensive Student Success Programs (CSSP) or College Readiness and Success Models (CRSM). Institutions of higher education with positive student outcomes and effective grant management will be identified and asked to submit a Completion Gateway Model. Interested institutions will submit a plan, including scope of work and budget, to address targeted support for the continuation and successful completion of students through their second term.

Funding will support scaling and enhancing comprehensive first college-level course strategies and completion models to achieve the targets of *60x30TX*. In their plan, institutions will identify courses that have a high rate of failure (grades of D or F) or withdrawal and will integrate research-based best practices into these courses and support programs to increase student success.

Eligible institutions will be selected for funding on the basis of Completion Models that are designed to engage faculty and support staff in increasing student retention, student persistence, and completion rates. The targeted student populations are second-semester college students, especially those economically disadvantaged and underserved, who successfully completed their initial semester of first college-level courses, either in a corequisite model or as stand-alone, and are ready to continue progress and build momentum and persistence toward important milestones leading to completions.

Awarded applications will build upon the CSSP, which was a previous initiative that partnered THECB with IHEs (grantees) to address student success strategies to increase student persistence and success through targeted interventions in entry-level courses with high non-completion rates (D, F, and/or W). The previous CSSP initiative allocated \$446,273, and three IHEs (North Central Texas College, Texas Woman's University, and University of Houston-

Downtown) reported significant student success increases for those engaged with CSSP compared to the average student at these respective institutions. Gibson Consulting conducted an external review of the program and contributed the increase in student success to early alert systems, intrusive advising and academic counseling, supplemental instruction, faculty and staff training, and ongoing program evaluation. Awarded applicants will identify these and other best practices as part of their Completion Models.

From Fall 2016 to Spring 2019, four institutions (McLennan Community College, Texas Southmost College, Texas State Technical College-Waco, and University of North Texas-Dallas) have served as grantees for the CRSM Gateway Model. This program has produced 24,148 completers from the 34,721 students enrolled, for a completion rate of 70%. This grant program will enable institutions to continue targeted support for students in their second semester, as well as positively impact important metrics and targets for achieving *60x30TX* goals.

Jerel Booker, Assistant Commissioner for College Readiness and Success, will present this item and be available to answer questions.

AGENDA ITEM IX-I

Report on institutional requests acted on by the Commissioner or Assistant Commissioner since the last Board meeting

RECOMMENDATION: No action required

Background Information:

The Board authorized the Commissioner or the Assistant Commissioner of Academic and Health Affairs to act on institutional requests. Coordinating Board rule, Chapter 5, Section 5.50 (j) directs the Commissioner to provide a list to Board members of all institutional requests acted on by the Commissioner and Assistant Commissioner.

Requests for new degree and certificate programs were reviewed by staff to ensure they met the standards established by Coordinating Board rules, Sections 5.50, 9.93 (c), and 7.4 before receiving approval from the Commissioner or the Assistant Commissioner of Academic Quality and Workforce. The standards address the institution's mission, overall program quality, student demand and job market needs, duplication with existing programs at other institutions, funding, and institutional effectiveness.

Since the last report to the Board, the Assistant Commissioner approved 43 new degree and certificate programs; approved 11 institutional requests to phase out programs; issued 3 Certificate of Authorization (new, renewed, revised, or cancelled); and received 9 planning notifications. Detailed information is provided in the tables on the following pages.

Dr. Stacey Silverman, Assistant Commissioner for Academic and Health Affairs, will present this item and be available to answer questions.

**REPORT ON INSTITUTIONAL REQUESTS ACTED ON BY THE
COMMISSIONER OR ASSISTANT COMMISSIONER SINCE THE PREVIOUS BOARD MEETING**

September 2, 2020 – November 30, 2020

NEW DEGREE AND CERTIFICATE PROGRAMS

Institution	Degree	Program	Date Approved
Community & Technical Colleges			
Central Texas College	AAS and Level 1 Certificate	Fire Science Technology	11/16/2020
Coastal Bend College	Continuing Education Certificate	Fitness Trainers and Instruction	10/19/2020
Frank Phillips College	AAS	Process Technology	10/19/2020
Howard College at Big Spring	AAS	Emergency Medical Services	10/19/2020
Lone Star College System-Houston North Campus	Level 1 Certificate	Accounting, Level 1 Certificate	10/19/2020
Lone Star College System-Houston North Campus	AAS and Level 1 Certificate	Business Administration	10/19/2020
Lone Star College System-North Harris Campus	AS	Computer Science	09/08/2020
Lone Star College System-Tomball Campus	AAS and Level 2 Certificate	Data Analytics	10/19/2020
Lone Star College System-University Park Campus	AAS and Level 2 Certificate	Data Analytics	10/19/2020
Lone Star College System	AS	Chemical Engineering	09/08/2020
Lone Star College System	AA	Drama	09/08/2020
Lone Star College System	AA	English	09/08/2020
Lone Star College System	AA	Fine Arts	09/08/2020
Lone Star College System	AA	Health & Wellness	09/08/2020
Lone Star College System	AA	History	09/08/2020
Lone Star College System	AA	Mexican-American Studies	09/08/2020
Lone Star College System	AA	Political Science	09/08/2020
Lone Star College System	AA	Sociology	09/08/2020
Texas Southmost College	AS	Agribusiness	11/16/2020
Texas Southmost College	AS	Agriculture	11/16/2020

Tyler Junior College	AS	Science	11/24/2020
Vernon College	Continuing Education Certificate	Court Reporting	11/24/2020
Universities & Health-Related			
Lamar University	MS	Hospitality Leadership	10/26/2020
Prairie View A&M University	MSW	Social Work	9/18/2020
Steven F. Austin State University	MSN	Nurse Education	9/24/2020
Tarleton State University	BS	Communication Sciences and Disorders	10/30/2020
Texas A&M University	MS	Data Science	9/22/2020
Texas A&M University-Commerce	BA/BS	Human Services	10/6/2020
Texas A&M University-Commerce	BS	Veterinary Biomedical Technology	9/24/2020
Texas A&M University-Corpus Christi	BS	Sports Management	10/26/2020
Texas A&M University-Kingsville	BGS	General Studies	10/19/2020
Texas A&M University-San Antonio	BS	Cybersecurity	10/15/2020
Texas A&M University-San Antonio	MS	Finance	10/19/2020
Texas A&M University-Texarkana	MSN	Psychiatric Mental Health Nurse Practitioner	10/16/2020
University of North Texas	MS	Information Systems	10/2/2020
University of North Texas-Dallas	MM	Management	11/20/2020
University of North Texas-Dallas	MS	Learning Analytics	10/9/2020
The University of Texas at Austin	BA/BSI	Informatics	10/22/2020
The University of Texas at Austin	MA	Humanities, Health, and Medicine	10/16/2020
The University of Texas at San Antonio	MS	Facility Management	10/22/2020
The University of Texas Permian Basin	BBA	Healthcare Management	9/18/2020
The University of Texas Rio Grande Valley	MS	Global Commerce	10/12/2020
The University of Texas Rio Grande Valley	BS	Integrated Health Sciences	11/3/2020

PHASE-OUT OF PROGRAMS

Institution	Degree	Program	Phase out Date
Community & Technical Colleges			
(None)			
Universities & Health-Related			
Lamar University	BAAS	Applied Arts and Sciences	11/13/2020
Lamar University	BGS	General Studies	11/13/2020
The University of Texas Health Science Center at Houston	DRPH	Health Services Organizations	11/13/2020
The University of Texas Health Science Center at Houston	MS	Environmental Science	11/13/2020
The University of Texas Health Science Center at San Antonio	MSN	Nurse Practitioner	10/22/2020
The University of Texas Permian Basin	BA	Leadership Studies	11/10/2020
The University of Texas Southwestern Medical Center	BS	Radiation Therapy	10/22/2020
The University of Texas Southwestern Medical Center	MRC	Clinical Rehabilitation Counseling	10/22/2020
The University of Texas Southwestern Medical Center	MRT	Radiation Therapy	10/22/2020
The University of Texas Southwestern Medical Center	MS	Integrative Molecular and Biomedical Sciences	10/22/2020
The University of Texas Southwestern Medical Center	PHD	Integrative Molecular and Biomedical Sciences	10/22/2020

PLANNING NOTIFICATIONS

Institution	Authority Level	Program	Date Notified
Universities, Health-Related, & Community & Technical Colleges			
Laredo College	Bachelor	Organizational Leadership	9/18/2020
Stephen F. Austin State University	Doctoral	Social Work	9/29/2020
Tarleton State University	Doctoral	Animal Sciences, General	10/9/2020
Tarleton State University	Doctoral	Counselor Education/School Counseling and Guidance Services	
Texas Tech University	Doctoral	Veterinary Preventive Medicine, Epidemiology, and Public Health	9/17/2020
Texas Tech University Health Sciences Center	Doctoral	Rehabilitation Science	10/23/2020
University of North Texas	Doctoral	Curriculum and Instruction	11/9/2020
University of North Texas	Doctoral	Multi-/Interdisciplinary Studies, General	11/9/2020
University of North Texas	Doctoral	Business/Commerce, General	11/9/2020

CERTIFICATES OF AUTHORIZATION

Non-Public and Out-of-State Institution	Authorization Type	Certificate of Authorization Issue Date
Universal Technical Institute of Texas	Grant associate degrees, grant credits toward degrees, and use certain protected terms.	9/09/2020
AT Still University-School of Osteopathic Medicine in Arizona, North Central Texas Community Health Center	Grant doctoral degrees, grant credits toward degrees, and use certain protected terms.	9/17/2020
Baptist Health System School of Health Professions-San Antonio	Grant associate, bachelor's, and master's degrees, grant credits toward degrees, and use certain protected terms.	10/19/2020

AGENDA ITEM IX-J (1)

Consideration of adopting the proposed repeals of Texas Administrative Code, Title 19, Part 1, Chapter 4, Subchapter N, Sections 4.227(11) and 4.229 of Board Rules, concerning Public Access to Course Information

RECOMMENDATION: Approval

Background Information:

The intent of the proposed amendments to Texas Administrative Code, Title 19, Part 1, Chapter 4, Subchapter N, is to repeal Section 4.227(11) and corresponding Section 4.229. The information in those sections duplicates the details regarding required internet access to work-study information outlined in Texas Administrative Code, Title 19, Part 1, Chapter 22, Subchapter G, Section 22.129(f) and Texas Education Code, Section 56.080.

Dr. Tina Jackson, Assistant Commissioner for Workforce Education, and Dr. Stacey Silverman, Assistant Commissioner for Academic and Health Affairs, will present this item and be available to answer questions.

Date published in the *Texas Register*: November 6, 2020

The 30-day comment period with the *Texas Register* ended on: December 6, 2020

No comments have been received.

The amendments have been prepared in the following format:

- New language is in lowercase, underlined, and shaded.
- Deleted language is bracketed and struck through.
- When new language replaces deleted language, the new language precedes the deleted language.

Chapter 4. Rules Applying to All Public Institutions of Higher Education in Texas

Subchapter N. Public Access to Course Information

- 4.225 Purpose
- 4.226 Authority
- 4.227 Definitions
- 4.228 Internet Access to Course Information
- 4.229 Internet Access to Work-Study Information

4.225 – 4.226. No changes.

4.227. Definitions

(1)-(10). No changes.

~~[(11) Work-study employment opportunity—Includes all of the programs and opportunities in the Federal College Work-Study Program, the State of Texas Work-Study Program, and any similar financial aid employment programs sponsored by the institution. For the purposes of this subchapter, work-study applies only to resident undergraduate students.]~~

4.228. No changes.

4.229. Internet Access to Work-Study Information

~~[(a) Each institution of higher education shall establish and maintain an online list of work-study employment opportunities available to students on the institution's campus, sorted by department as appropriate. Information should be made available no later than April 1, 2010.~~

~~(b) Each institution of higher education shall ensure that the list is easily accessible to the public through a clearly identifiable link that appears in a prominent place on the financial aid page of the institution's Internet website.]~~

AGENDA ITEM IX-J (2)

Consideration of adopting the proposed amendments for Title 19, Part 1, Chapter 13, Subchapter G, Sections 13.122 and 13.126 of Board Rules, concerning Restricted Research Expenditures

RECOMMENDATION: Approval

Background Information:

The intent of the proposed amendments to Chapter 13, Subchapter G, Section 13.122 of Board Rules is to clarify the definitions of terms used for determining restricted research expenditures, and Section 13.126 is to clarify the reporting requirements of restricted research expenditures. Board Rules Section 13.123 requires the Commissioner to convene, on an as needed basis, a committee to review and recommend changes to standards and accounting methods for determining restricted research expenditures. The Restricted Research Committee approved changes to the standards and accounting methods for determining restricted research expenditures, as defined by Board Rules Section 13.124, at its September 2, 2020 annual meeting.

Dr. Stacey Silverman, Assistant Commissioner for Academic and Health Affairs, will present this item and be available to answer questions.

Date published in the *Texas Register*: November 6, 2020

The 30-day comment period with the *Texas Register* ended on: December 6, 2020

Summary of comments received:

No comments have been received.

The amendments have been prepared in the following format:

- New language is in lowercase, underlined, and shaded.
- Deleted language is bracketed and struck through.
- When new language replaces deleted language, the new language precedes the deleted language.

Chapter 13. Financial Planning

Subchapter G. Restricted Research Expenditures

- 13.120. Purpose and Scope
- 13.121. Authority
- 13.122. Definitions
- 13.123. Restricted Research Committee
- 13.124. Standards and Accounting Methods for Determining Restricted Research Expenditures
- 13.125. Report on Restricted Research Awards
- 13.126. Reporting of Restricted Research Expenditures
- 13.127. Audits

13.120 – 13.121. No Changes.

13.122. Definitions

The following words and terms, when used in this subchapter, shall have the following meanings, unless the context clearly indicates otherwise:

- (1) Coordinating Board or Board--The Texas Higher Education Coordinating Board.
- (2) Clinical Trial Agreement--An externally sponsored agreement for the administration of a specifically mandated patient protocol (sometimes in multiple clinical sites involving other institutions), in which some costs typically are paid from patient charges or other sources.
- (3) Commissioner--Commissioner of Higher Education.
- (4) Comptroller--The Texas Comptroller of Public Accounts.
- (5) Core Research Support Fund (CRSF)--A funding mechanism established to promote increased research capacity at emerging research universities under Texas Education Code, §§62.131 - 62.137.
- (6) Demonstration Projects--Projects in which the primary purpose is to apply previous Research and Development findings in new settings and to demonstrate their utility.
- (7) Development--The systematic use of knowledge and understanding gained from research directed toward the production of useful materials, devices, systems, or methods, including design and development of prototypes and processes.
- (8) Indirect Costs--Costs incurred for certain overhead related to administering a particular sponsored project, an instructional activity, or any other institutional activity. Indirect costs are synonymous with "facilities and administrative (F&A) costs."
- (9) Industrial Collaboration Agreements--Agreements with universities, colleges, centers, or institutes under which funds are provided for collaborative R&D activities. The activity must be sponsored by private philanthropic organizations and foundations, for-profit businesses, or individuals.
- (10) Multiple Function Awards--Awards that have multiple goals, such as research, instruction, and public service.
- (11) Other Sponsored Activities--Programs and projects financed by Federal and non-federal agencies and organizations may be R&D for ~~R&D~~ restricted research under certain conditions:
 - (A) travel grants, only if in sole support of research activities;
 - (B) support for conferences or seminars, only if in sole support of research activities;

(C) support for projects pertaining to library collections, acquisitions, bibliographies or cataloging, only if their purpose is primarily for documented research activities; and

(D) programs to enhance institutional resources, including computer enhancements, etc., only if their purpose is primarily for documented research activities.

(12) Pass-through funds ~~[to sub-recipient]~~ --External award funds that are passed from one entity to a sub-recipient. The sub-recipient expends the award funds on behalf of or in connection with the pass-through entity.

(13) Research--A systematic study directed toward fuller scientific knowledge or understanding of the subject studied.

(14) Research and Development (R&D)--All research activities, both basic and applied, and all development activities that are supported at universities, colleges, and other non-profit institutions.

(15) Restricted Funds--Funds for which some external agency, business entity, individual, or organization has placed limitations on the uses for which the funds may be spent.

(16) Restricted Gifts for R&D--A gift provided by an external entity (a foundation, a business, or an individual) for a specific purpose and for which:

(A) there is documented evidence that the gift is restricted for research, such as a donor's restriction for research; or

(B) there is separate evidence that the gift is restricted for research through:

(i) documentation by the donor that the gift is restricted (e.g., endowed chair, fellowship); and

(ii) more than half of the earnings are budgeted for research through the institutional accounting process.

(17) Restricted Research Committee or Committee--The Coordinating Board's Restricted Research Committee.

(18) Restricted Research Expenditure--An expenditure of funds which an external entity has placed limitations on (Restricted Funds) and for which the use of the funds qualifies as research and development.

(19) Sponsored Instruction and Training--Specific instructional or training activity established by grant, contract, or cooperative agreement with federal, state, or local government agencies; private philanthropic organizations and foundations; for-profit businesses; or individuals. Sponsored Instruction and Training may be R&D for restricted research under certain conditions:

(A) curriculum development projects if the primary purpose of the project is developing and testing an instructional or educational model through appropriate research methodologies (i.e., data collection, evaluation, dissemination, and publication); or

(B) activities involving the training of individuals in R&D techniques, commonly called R&D training, if such activities utilize the same facilities as other R&D activities and if such activities are not included in the instruction function. Such activities include thesis and dissertation research and capstone research projects for ~~[work associated with an]~~ R&D ~~[project]~~.

(20) Sources and Uses template--An annual survey of Texas general academic and health-related institutions to detail financial information and provide specific information about revenues and expenditures.

(21) Sponsored Research and Development (Sponsored R&D)--Activity funded by grants, gifts, and/or contracts, including sponsored research contracts, that are externally awarded funds designated by the sponsor as primarily for R&D purposes. The activity must be sponsored

by federal, state, or local governmental agencies; private philanthropic organizations and foundations; for-profit businesses; or individuals. Sponsored R&D includes:

- (A) awards to university faculty to support R&D activities;
- (B) competitively awarded grants and contracts funded by state appropriations specifically identified by the legislature as for research, but not state appropriations made directly to the institution for R&D through formula or special item funding;
- (C) external faculty "career awards" to support the R&D efforts of the faculty;
- (D) external funding to maintain facilities or equipment and/or operation of a center or facility that will be used for R&D
- (E) external support for the writing of books when the purpose of the writing is to publish R&D results;
- (F) the research portion of expenditures in the federal work-study program, in accordance with instructions for preparing the annual financial report that is submitted by an institution to the Comptroller after each fiscal year ends;
- (G) industrial collaboration agreements with universities, colleges, centers, or institutes may qualify as R&D if at least half of the funds are explicitly designated as research support;
- (H) clinical trial agreements in which data collection and analysis are the primary components of the institution's role in the trial, excluding costs of data collection and analysis performed by other institutions under subcontract and excluding costs that are covered by patient charges or similar sources; and
- (I) demonstration projects may be R&D only if they include a new R&D component that is at least one-half of the scope of the project.

(22) Texas Comprehensive Research Fund (TCRF)--A funding mechanism established to promote increased research capacity at eligible general academic institutions that are neither research universities nor emerging research institutions under Texas Education Code, §§62.091 - 62.098.

13.123 – 13.125 No Changes.

13.126. Reporting of Restricted Research Expenditures

(a) Each institution eligible for either TCRF or CRSF shall provide a verified report of its restricted research expenditures to the Commissioner through the Sources and Uses template.

(1) The report will include restricted research expenditures from the awards approved by the Commissioner under §13.125 of this title (relating to Report on Restricted Research Awards).

(2) Certain expenditures related to any award classified as restricted research are prohibited to be recorded as restricted research expenditures: indirect costs; capital construction; and costs associated with entertainment or any direct individual benefit. Examples of costs associated with entertainment or any direct individual benefit include costs for shows, sports events, meals, lodging, rentals for personal use, gratuities, or personal, non-research related travel. Travel reimbursement and costs associated with research workshops or other events to disseminate research is permitted as allowed by award-specific award conditions or limitations.

(b) Not later than December 1, each institution that received a TCRF or CRSF appropriation in the preceding fiscal year shall provide the Commissioner and the Legislative Budget Board with a report that describes how the institution used the appropriated funds in the preceding fiscal year. The report shall include a description of expenditures of appropriated funds received during prior fiscal years.

13.127 No Changes

AGENDA ITEMIX-J (3)

Consideration of adopting the proposed repeal of Title 19, Part 1, Chapter 13, Subchapter K, Sections 13.190 – 13.197 of Board Rules, concerning the Technology Workforce Development Grant Program

RECOMMENDATION: Approval

Background Information:

The intent of the proposed repeal of Chapter 13, Subchapter K, Sections 13.190 - 13.197 of Board Rules is to strike out the entire Subchapter K, concerning the Technology Workforce Development Grant Program (TWD Grant Program). The TWD Grant Program was created by the 77th Texas Legislature, Regular Session, 2001, and originally codified as Texas Education Code, Chapter 51, Subchapter V. In 2003, these provisions were re-lettered and renumbered as Chapter 51, Subchapter X, Sections 51.851 - 51.860. The 82nd Texas Legislature first repealed Texas Education Code, Section 51.859. The 83rd Texas Legislature then repealed Texas Education Code, Chapter 51, Subchapter X, in its entirety, with an effective date of September 1, 2013.

Because the underlying statutory authority for the TWD Grant Program has been repealed, the Texas Higher Education Coordinating Board proposes to update its rules accordingly.

Dr. Stacey Silverman, Assistant Commissioner for Academic and Health Affairs, will present this item and be available to answer questions.

Date published in the *Texas Register*: November 6, 2020

The 30-day comment period with the *Texas Register* ended on: December 6, 2020

No comments have been received.

The amendments have been prepared in the following format:

- New language is in lowercase, underlined, and shaded.
- Deleted language is bracketed and struck through.
- When new language replaces deleted language, the new language precedes the deleted language.

Chapter 13. Financial Planning

Subchapter K. Technology Workforce Development Grant Program

- 13.190. Authority, Scope, and Purpose
- 13.191. Definitions
- 13.192. Advisory Committee
- 13.193. Proposal Solicitation
- 13.194. Proposal Evaluation and Project Selection
- 13.195. Grants
- 13.196. Reporting
- 13.197. External Evaluation

~~[13.190. Authority, Scope, and Purpose]~~

~~[(a) Authority. Authority for this subchapter is provided in the Texas Education Code, Subchapter V, Technology Workforce Development. These rules establish rules for administering the grant program as prescribed in the Texas Education Code, §§51.851–51.860.]~~

~~[(b) Scope. Unless otherwise noted, this subchapter applies to any Texas institution of higher education seeking funding under this program.]~~

~~[(c) Purpose. The purpose of this program is to provide grants to eligible institutions of higher education to undertake programs to increase the number of graduates with baccalaureate degrees in engineering and computer science.]~~

~~[13.191. Definitions]~~

~~[The following words and terms, when used in this subchapter, shall have the following meanings, unless the context clearly indicates otherwise.]~~

~~[(1) Board means the Texas Higher Education Coordinating Board.]~~

~~[(2) Consortium means the Texas Engineering and Technical Consortium created pursuant to Texas Education Code, §51.833.]~~

~~[(3) Eligible institution means an academic member of the consortium.]~~

~~[(4) Technology Workforce Development Account means an account in the general revenue fund of the State Treasury created pursuant to Texas Education Code, §51.835.]~~

~~[(5) Commissioner means the Commissioner of Higher Education.]~~

~~[(6) Sole proposal means a proposal involving eligible institution.]~~

~~[(7) Joint proposal means a proposal submitted by two or more eligible institutions.]~~

~~[13.192. Advisory Committee]~~

~~[(a) The Board chair shall appoint an advisory committee to provide advice regarding implementing the grant program.]~~

~~[(b) Members of the advisory committee shall be experts in the field of engineering or computer science.]~~

~~[(c) The committee shall consist of 11 members, six of whom are representatives of companies participating in the consortium and five of whom are representatives of higher education.]~~

~~[(d) Members shall serve for staggered three-year terms.]~~

~~[(e) The committee shall meet at least once each year in Austin on a date determined by the chair.]~~

~~[(f) The committee shall elect a chair and vice chair and such other officers, as the committee deems necessary at the first meeting in each calendar year.]~~

~~[13.193. Proposal Solicitation]~~

~~[(a) The Board shall authorize distribution of a request for proposals.]~~

~~[(b) The request for proposals shall be distributed to all members of the consortium at least 30 working days prior to the due date for proposals.]~~

~~[(c) The request for proposals shall contain all information necessary to prepare a grant proposal for the program including the financial and other resources available for distribution, the evaluation criteria that will be used by the review panels, and the award selection criteria that shall be used in funding projects.]~~

~~[(d) The request for proposals shall include grant conditions that will describe eligible uses of grant funds, reporting requirements, and relevant administrative restrictions associated with the grants.]~~

~~[(e) Eligible institutions shall submit their proposals in the manner and format specified by the Coordinating Board with all requested information included.]~~

~~[13.194. Proposal Evaluation and Project Selection]~~

~~[(a) The Commissioner shall organize a competitive, peer review system for evaluating proposals.]~~

~~[(b) Prior to the review panel meetings, the advisory committee shall establish the criteria for allocating available funds to projects ranked by peer review. The criteria shall be published on the Coordinating Board's web site.]~~

~~[(c) In evaluating proposals, reviewers shall consider the quality of the academic program, placement record for recent graduates, the feasibility of the institution's plans for increasing enrollments and graduates, and the cost-effectiveness of those plans. The review panels shall deliver a ranked list of competitively selected proposals to the Commissioner.]~~

~~[(d) The advisory committee shall review the selections of the reviewers and the recommendations of the staff and make recommendations to the Board. A committee member shall publicly disclose any personal, professional, or private interest in a proposal pending before the committee and shall not vote or otherwise participate in the decision regarding proposal recommendations. A committee member shall not be personally involved in handling any proposal, award, or other matter in which the member, a member's immediate family, a member's general business partner, or a member's institution has or may have a financial interest.]~~

~~[(e) Any information related to the evaluation and selection of proposals for the grant awards shall be confidential unless released by the Board pursuant to Subsections (f) and (g) of this section.]~~

~~[(f) Reviews will not be disclosed to persons outside the Board at any time, except that each project leader (grant applicant) will receive a copy of the reviewers of his or her proposal with the names, affiliations, and any other identifying characteristics of the reviewers redacted; and]~~

~~[(g) The names and affiliations of reviewers will be released as a group, without an identifying link to any grant application, until after the review process is complete.]~~

~~[(h) Decisions of the Commissioner/Board are final.]~~

~~[13.195. Grants]~~

~~[(a) Grants shall be funded from the technology workforce development account in the general revenue fund and may include both money and in-kind contributions accepted by the Comptroller.]~~

~~[(b) Grants shall be for a period of no longer than five years.]~~

~~[(c) Grants shall be made to eligible institutions.]~~

~~[(d) After making a finding that an institution has failed to perform or failed to conform to grant conditions, the Commissioner may retract or reduce a grant.]~~

~~[(e) Grants must be used to fund new projects or activities or the expansion of existing activities. Grants may not be used to replace current funding for an existing project or activity.]~~

~~[(f) An eligible private or independent engineering institution must match the amount of the grant with an equal amount of contributions made by that institution for the project or activity for which the grant is awarded.]~~

~~[13.196. Reporting]~~

~~[(a) Twice each year, on dates and in a format specified by the Commissioner, eligible institutions that wish to participate in the grant program shall report enrollments and graduates in engineering and computer science.]~~

~~[(b) An institution that is awarded a grant under this program shall report on the use of grant funds and results of the grant not later than September 1 of each year in a format specified by the Board.]~~

~~[(c) An institution that is awarded a grant under this program shall provide a final report within 60 days of termination of the grant in a format specified by the Commissioner.]~~

~~[13.197. External Evaluation]~~

~~[(a) Once each biennium, the Board chair shall appoint a committee to evaluate the effectiveness of the program.]~~

~~[(b) The committee shall consist of at least three persons, all of whom are experts in engineering or computer science. The committee shall include at least one representative of higher education and at least one representative of industry.]~~

~~[(c) The committee shall report the results of its evaluation to the Board not later than September 1 of each even-numbered year.]~~

~~[(d) The Board shall report the results of the evaluation to the governor, the legislature, and the consortium participants not later than October 31 of each even-numbered year.]~~

AGENDA ITEM X-A

Welcome and Committee Chair's Opening Remarks

Mr. Ricky Raven, Chair of the Agency Operations Committee, will provide the Board an overview of the items on the agenda.

AGENDA ITEM X-B

Report on grants and contracts

RECOMMENDATION: No action required

Background Information:

Title 19 Texas Administrative Code, Title 19, Rule 1.16(j) establishes that any contract for the purchase of goods or services that exceeds \$1 million may be entered into only if the contract is approved and signed by the Commissioner, to whom the Board of the Texas Higher Education Coordinating Board (THECB), by virtue of this rule, delegates such approval and signature authority. In addition to the Board receiving a quarterly report on *Contracts Executed by the Agency in Accordance with Board Rule 1.16*, the THECB staff also provides the Board a quarterly report highlighting and listing all grants and contracts exceeding \$1 million.

Nichole Bunker-Henderson, General Counsel, will be available to answer questions.

AGENDA ITEM X-C

Consideration of adopting the recommendation to issue a Request for Proposals (RFP) for selection of a vendor for Bond Counsel relating to the performance of legal services for the agency in support of the student loan bond program

RECOMMENDATION: Approval

Background Information:

The Board utilizes the legal services of specialized bond counsel in support of the College Access Loan program. The student loan program issues private activity tax exempt general obligation bonds to fund the issuance of student loans each year. Bond counsel also assists Agency staff with complex legal issues concerning the issuance of tax-exempt general obligation state bonds, as well as, maintaining compliance with IRS tax code, Security Exchange Commission (SEC) rules and various state laws. This function is critical for the proper operation and legal compliance of the student loan program.

The current contract for bond counsel with McCall, Parkhurst & Horton will expire on August 31, 2021.

Student lending is a very specialized field and there are a limited number of firms with expertise in this area. Therefore, staff is bringing this request forward to allow sufficient time for vendors to respond and for staff to review the qualified respondents.

During the contract period it is anticipated that the board will issue new money bonds and refund the 2012 and 2013 bond series in FY22 and FY23 respectively. Staff is requesting authorization to issue a Request for Proposals (RFP) up to \$440,000 for Bond Counsel for a 2-year period beginning 9/1/2021.

Key points:

- This request is for a 2-year period beginning on 9/1/2021.
- The Office of the Attorney General is required to provide the final approval for a contract for legal services.
- The College Access Loan program is financially self-supporting and does not receive general revenue from the state.
- The current outstanding program bond portfolio is \$1.2 billion.
- The cost of bond counsel services for each bond issue is approximately \$80,000 to \$90,000. This excludes expenditure reimbursements for THECB fees paid by MPH.
- Bond counsel provides a critical role for maintaining legal compliance that supports the existing loan program and future bond issues.
- There is a very narrow field of legal firms who support for student loan bonds nationally.

- Statewide private activity bond demand has increased considerably over the past 3 years. This has constrained the availability of the state's tax exempt private activity bonding authority.
- Bonds are authorized under the Texas Constitution, Sections 50b-4 through 50b-7.
- 10% of state volume cap is reserved for state voter issuers (Tx Gov. Code, Sec. 1372).
- These bonds are backed by the full credit of the state (AAA rating June 2020).
- The Board typically issues between \$150 and \$180 million of new bonds annually to fund new student loans.

Regulatory requirements

1. Texas Constitution, Art III, Sec. 50b thru 50b-7
2. TX Gov. Code, Chapter. 1372 – Private Activity Bonds and Certain Other Bonds
3. TX Gov. Code, Chapter. 2254 – Professional and Consulting Services
4. TX Gov. Code, Chapter. 1201 – Public Security Procedures Act
5. TX Gov. Code, Chapter. 1202 – Examination and Registration of Public Securities
6. TX Gov. Code, Chapter. 1207 – Refunding Bonds
7. TX Gov. Code, Chapter. 402 – Attorney General
8. TX Ed. Code, Chapter. 52 – Student Loan Program
9. TX Ed. Code, Section 56.121-56.135 – Revenue Bonds
10. TX Admin Code (TAC) §57.4 Request for Qualification Process
11. TAC §22 – Hinson Hazelwood College Student Loan Program
12. SEC Rule 15c2-12 - (Disclosures)
13. IRS IRC 57(a)(5) – Tax Exempt Interest
14. IRS IRC 141 – Private Activity Bonds
15. IRS IRC 144 – Qualified Student Loan Bond
16. IRS IRC 148 – Arbitrage
17. IRS IRC 147(f) – Public Approval Required for PABs
18. Bond Resolution/Covenants

College Access Loan Program Historical Bond Sales

<u>Series</u>	<u>Par + Net Premium</u>	<u>TIC</u>	<u>Sale Type</u>
2015	\$ 169,502,971	3.05%	Competitive
2016	\$ 179,995,090	4.11%	Competitive
2017	\$ 170,613,632	3.11%	Competitive
2018R	\$ 103,848,967	2.68%	Competitive
2019	\$ 170,275,723	3.35%	Competitive
2019R	\$ 54,855,263	2.12%	Competitive
2020A	\$ 99,714,446	2.25%	Competitive
2020B	\$ 87,491,173	1.42%	Competitive

College Access Loan program disbursement history

CAL Disbursements by Fiscal Year (9/1/ - 8/31)		
Fiscal Year	# of Students	Gross Disbursed
FY 2011	10,541	\$101,414,725.71
FY 2012	10,179	\$103,522,726.80
FY 2013	8,803	\$96,227,751.04
FY 2014	9,428	\$108,205,388.75
FY 2015	10,924	\$128,983,050.13
FY 2016	12,803	\$157,575,807.92
FY 2017	14,414	\$177,070,607.26
FY 2018	11,515	\$145,714,842.99
FY 2019	12,211	\$160,753,002.60
FY 2020	10,389	\$113,247,233.84
Total	111,207	\$1,292,715,137.04
source: Helms Extract 10 15 2020		

General legal services provided

- (1) Respond to general questions and attend regular board meetings of the Agency and other meetings on general matters relating to the operations of the Agency and consult with staff and outside consultants regarding all finance-related matters, to the extent requested.
- (2) Meet with and review reports prepared by the Agency's Financial Advisors and other employees and consultants, to the extent requested.
- (3) Advise the Agency and staff on the legal requirements and responsibilities regarding the issuance of debt Securities and the investment of the proceeds of the Securities.
- (4) Review finance-related proposals relating to proposed financings, consult with underwriters and their counsel and other parties regarding those proposals, and participate in the selection process for underwriters, trustees and other finance-related professionals, to the extent requested.
- (5) Review legal issues relating to the structure of the Securities; issue, prepare and review the documents necessary or appropriate to the authorization, issuance and delivery of the Securities including, but not limited to, the Bond Resolution, Paying Agent/Registrar Agreement, Escrow Agreement, if applicable, Pricing Certificate and various closing and other certificates; and participate in the preparation and coordination of financing schedules for various bond issues to avoid conflicts. Outside Counsel will also coordinate the authorization and execution of such documents.
- (6) Assist the Agency in seeking from other governmental authorities such approvals, permissions and exemptions as Outside Counsel determines are necessary or appropriate in connection with the authorization, issuance and delivery of the Securities, except that Outside Counsel will not be responsible for any Blue Sky filings.

- (7) Subject to the completion of proceedings to Outside Counsel's satisfaction, render Outside Counsel's legal opinion to the Agency (the "Approving Opinion") regarding the validity and binding effect of the Securities, the source of payment and security for the Securities, and, if the Securities are issued on such basis, the excludability of interest on the Securities from gross income for federal income tax purposes.
- (8) Assist the Agency in presenting information to bond rating organizations and providers of credit enhancement relating to legal issues affecting the issuance of Securities, to the extent required or requested, and review and negotiate on behalf of the Agency the terms of any credit enhancement agreements with respect to the Securities.
- (9) Attend informational meetings with prospective purchasers of Securities and meetings with bond rating agencies, to the extent required or requested.
- (10) Submit the transcript of legal proceedings pertaining to the authorization and issuance of the Securities to the Attorney General of Texas for approval.
- (11) Supervise the execution, printing, Attorney General's approval, and Comptroller of Public Accounts' registration of the Securities, and delivery thereof to the purchaser.
- (12) Render an opinion to the Agency and to the purchasers of the Securities to the effect that the information in the disclosure document relating to the Securities, the Bond Resolution under which the Securities are issued, the security for the Securities, tax matters, investments and the Approving Opinion is a fair and accurate summary of the information purported to be shown or are correct as to matters of law, as applicable.
- (13) Advise the Agency and staff regarding the requirements of finance-related documents.
- (14) Prepare all forms and responses that need to be filed with the IRS relating to any securities issued during this OCC Term or previously or to be issued by the Agency. Outside Counsel is not responsible for making calculations relating to rebate pursuant to Section 148 of the Internal Revenue Code of 1954, as amended.

Ken Martin, Assistant Commissioner for Financial Services/CFO, will present this item to the Board and be available to answer any questions.

AGENDA ITEM X-D

Consideration of adopting the recommendation to approve a two-year extension for the student loan software contract with Nelnet.

RECOMMENDATION: Approval

Background Information:

The Texas Higher Education Coordinating Board (THECB) is requesting approval to invoke an extension of the current contract for the student loan software for a two-year period from July 2021 through June 2023. The estimated cost is \$1.9 million over this two-year period. Nelnet is the current software vendor. The software supports loan origination, servicing, and litigation functions for all student loan programs. The current contract contains an initial four-year period and two two-year extensions, for a total of eight-years.

The THECB Higher Education Loan Management System (HELMS) is very specialized for the student lending industry. There are very few vendors developing and supporting student loan servicing software that meet the THECB's needs. It would require at least a two-year cycle to source a new vendor, if one could be identified.

- The main loan programs utilizing this software are: College Access Loan (CAL), B-On-Time (BOT), and the Texas Armed Services Scholarship Program (TASSP).
- The THECB Higher Education Loan Management System (HELMS) is very specialized toward the student lending industry.
- The number of federal loan originators was substantially reduced after 2010 when the Department of Education limited federal student loan originations to a few select vendors.
- The current contract allows for two two-year extensions after the initial four-year period ended in June 2019.
- The extension will not change the current pricing and terms.
- The current contract was negotiated in 2015 after an extensive RFP period.
- The annual costs for the previous loan software were \$1.4 million per year under previous contract.
- The THECB issues approximately \$150 million of loans and related bonds annually.
- The THECB currently services \$1.5 billion of student loans.
- The THECB sells tax exempt private activity bonds to support the CAL program loan funding needs. General Revenue TASSP loan program.
- The THECB is required to maintain compliance with various state statutes, Securities Exchange Commission rules and Internal Revenue Service regulations.
- The bonds are general obligation bonds that are backed by the State with a AAA credit rating.

HELMS Projected Two-Year Software Costs

HELMS Software Estimated Cost	July 2021 - June 2023
Annual Software License	\$ 695,000
Annual GT Software Maintenance	\$ 35,000
Enhancement/Upgrades	\$ 200,000
Projected Annual Cost	\$ 930,000
Total 2 Year Contract Estimate	\$ 1,860,000

Student Loan History (all loans)

Fiscal Year	Outstanding Balance
2014	\$1.21 billion
2015	\$1.29 billion
2016	\$1.38 billion
2017	\$1.47 billion
2018	\$1.61 billion
2019	\$1.67 billion

Ken Martin, Assistant Commissioner for Financial Services/CFO, will present this item to the Board and is available to answer any questions.

AGENDA ITEM X-E

Consideration of adopting the recommendation to issue a Request for Proposals (RFP) for selection of a vendor to provide software for supporting and servicing of the agency's student loan programs.

RECOMMENDATION: Approval

Background Information:

The Texas Higher Education Coordinating Board (THECB) is requesting approval to initiate a request for proposals for student loan software that would support current and future student loan programs. for a two-year period from July 2021 through June 2023. The estimated cost is \$8.0 million over an eight-year period. Nelnet is the current software vendor. The software supports loan origination, servicing, and litigation functions for all student loan programs. The current contract expires July 2023.

The THECB student loan programs require very specialized software to support the student loan programs. There are very few vendors developing and supporting student loan servicing software that meet the THECB's needs. It would require at least a two-year cycle to source a new vendor, if one could be identified.

- The main loan programs utilizing this software are: College Access Loan (CAL), B-On-Time (BOT), and the Texas Armed Services Scholarship Program (TASSP).
- The number of federal loan originators was substantially reduced after 2010 when the Department of Education limited federal student loan originations to a few select vendors.
- The previous contract was negotiated in 2015 after an extensive RFP period.
- The THECB issues approximately \$150 million of loans and related bonds annually.
- The THECB currently services \$1.7 billion of student loans.
- The THECB sells tax exempt private activity bonds to support the CAL program loan funding needs. General Revenue TASSP loan program.
- The THECB is required to maintain compliance with various state statutes, Securities Exchange Commission rules and Internal Revenue Service regulations.
- The bonds are general obligation bonds that are backed by the State with a AAA credit rating.

Projected Eight-Year Software Costs

Student Loan Software	\$ 900,000
Annual Maintenance	\$ 50,000
Enhancements/Upgrades	\$ 200,000
Estimated Annual Cost	\$ 1,150,000
Estimated 8 Yr. Cost	\$ 9,200,000

Student Loan History (all loans)

Fiscal Year	Outstanding Balance
2014	\$1.21 billion
2015	\$1.29 billion
2016	\$1.38 billion
2017	\$1.47 billion
2018	\$1.61 billion
2019	\$1.67 billion

Ken Martin, Assistant Commissioner for Financial Services/CFO, will present this item to the Board and is available to answer any questions.