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June 20, 2019

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Dr. Mark A. Hussey, President
Texas A&M University – Kingsville
700 University Blvd., MSC 102
Kingsville, TX 78363

RE: Desk Review of Formula Funding at Texas A&M University – Kingsville

Dear Dr. Hussey:

I am attaching the final report for *A Compliance Monitoring Desk Review of Formula Funding at Texas A&M University-Kingsville*, Report No. THECB-CM-FF-19-006. There was one finding related to information technology controls resulting from this engagement. Management's response has been incorporated into the final report.

Summary

Information security controls over student enrollment information at Texas A&M University – Kingsville (TAMUK) require improvement. TAMUK did not perform an information security review, as required biennially by Texas Administrative Section §202.76(c) Security Control Standards Catalog. Management's response indicates that an IT audit is scheduled for June-July 2019.

This Compliance Monitoring report will be presented to the Texas Higher Education Coordinating Board (THECB) Committee on Agency Operations, a standing committee of the THECB Board, in July 2019.

The cooperation of your staff during this review is greatly appreciated. If you have any questions or comments, please let me know.

Sincerely,

Mark A. Poehl, CPA, CIA, CISA, CFE
Director, Internal Audit and Compliance

Detailed Observation, Recommendation, and Management's Response

1. Information technology processes and controls over security access to the student information system should be strengthened to ensure compliance with the Texas Administrative Code Section §202.76.

Information technology processes and controls over security access to the student information system should be strengthened to ensure compliance with the Texas Administrative Code Section §202.76. There has not been a comprehensive or formalize information security review performed over the student information system at Texas A&M University – Kingsville in over four years, in 2014. Texas A&M University - Kingsville has not met the security standards requirement established by the Department of Information Resources (DIR) for institutions of higher education.

The Texas Administrative Code Section §202.76(c) states that "a review of the institution's information security program for compliance with these standards will be performed at least biennially...."

Enhanced oversight of the University's student information system and compliance with statutory requirements provides greater assurance that student information security and integrity are achieved.

Recommendation:

Strengthen oversight of student information security and comply with Texas Administrative Code §202.76 and DIR security standards.

Management Response:

"We concur with the findings of the Desk Review of Formula Funding at Texas A&M University – Kingsville. The iTech Department will undergo a TAMU System IT audit during June-July, 2019, which will address the findings. The report of the TAMU System IT audit will be submitted to the THECB, if requested."

PERFORMED BY:

Ms. Elizabeth M Steele, Compliance Specialist
Ms. Jamyen Robinson-Hall, Compliance Specialist

Cc:

THECB

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